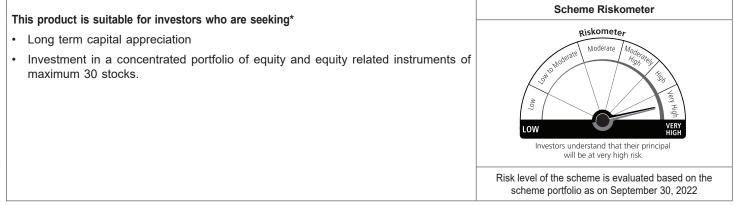
L&T Financial Services Mutual Fund

# L&T Focused Equity Fund

An open ended equity scheme investing in maximum 30 stocks in large, mid and smallcap companies



\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

## MUTUAL FUND

L&T Mutual Fund Head Office: 6th Floor, Brindavan, Plot No. 177, CST Road, Kalina, Santacruz East, Mumbai 400 098

## TRUSTEE

L&T Mutual Fund Trustee Limited CIN: U65993MH1996PLC211198

### **Registered Office:**

Brindavan, Plot no. 177, CST Road, Kalina, Santacruz (East), Mumbai - 400 098

### **INVESTMENT MANAGER**

L&T Investment Management Limited CIN:U65991MH1996PLC229572

## **Registered Office:**

Brindavan, Plot no. 177, CST Road, Kalina, Santacruz (East), Mumbai - 400 098

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the Scheme/Mutual Fund, Due Diligence Certificate by the AMC, Key Personnel, Investors' Rights & Services, Risk Factors, Penalties & Pending Litigations, Associate Transactions etc. investors should, before investment, refer to the Scheme Information Document and Statement of additional Information available free of cost at any of the Investor Service Centre or distributors or from the website www.ltfs.com

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations, 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

Investors are advised to consult their Legal, Tax, Finance and other Professional Advisors before making decision to invest in or redeem the units in regard to tax/legal issues relating to their investments in the Scheme(s)/Plan(s).

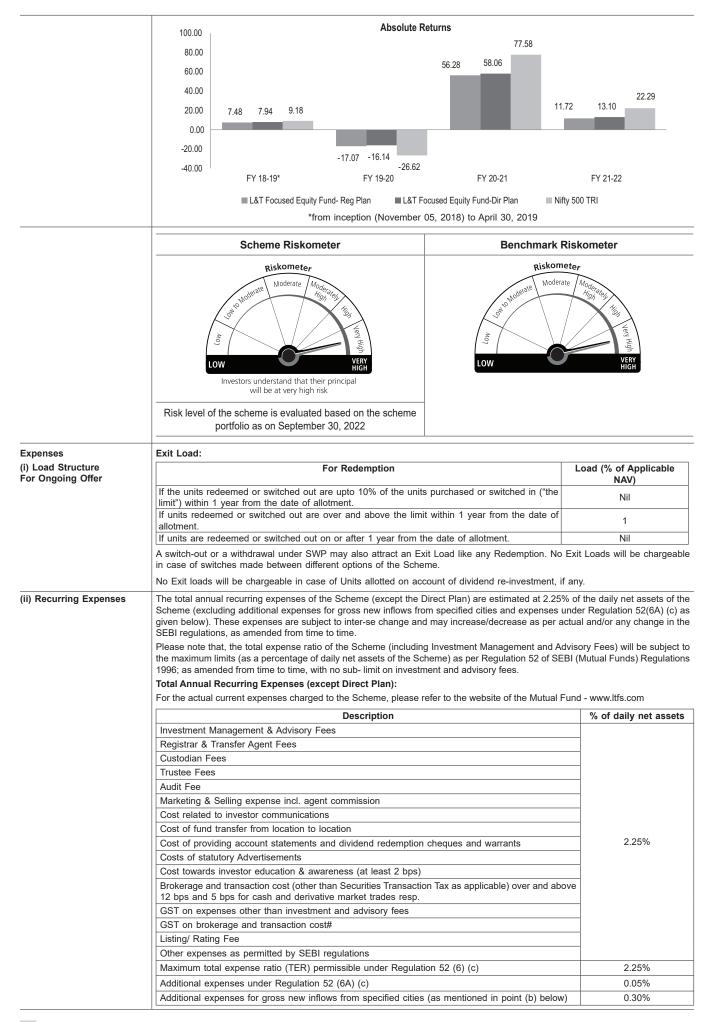
The Scheme Information Document is dated October 25, 2022 and the data/information is as on September 30, 2022, unless otherwise mentioned.

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Investment Objective	The investment objective of the scheme is to provide capital appre maximum 30 stocks. There is no assurance that the investment of that the investment objective of the scheme will be realized.						
Type of Scheme	An open-ended equity scheme investing in maximum 30 stocks in	n large, mid and sma	allcap companies				
Asset Allocation Pattern			Allocation				
	Types of Instruments	(% of tot Maximum	al assets) Minimum	Risk Profile			
	Equity and equity related securities including derivatives	100%	65%	High			
	Debt and money market instruments	35%	0%	Low to Medium			
	Units issued by REITs and InvITs	10%	0%	Medium to High			
	Please refer to the SID for details relating to investments in other asset	1					
	With effect from July 1, 2022, for the deviation from the asset all rebalanced within the timelines mentioned in SEBI Circular no. SE or any circulars issued by SEBI from time to time in this regard.						
Risk Profile of the Schemes	Mutual Fund Units involve investment risks including the possible lo carefully for details on risk factors before investment.	oss of principal. Plea	se read the Scheme	Information Docum			
	As per SEBI circular no. SEBI/IMD/CIR No.10/22701/03 dated Decel should have a minimum of 20 Unit Holders and no single Unit H Scheme/plan. The aforesaid conditions should be met in each cale the first condition i.e. minimum of 20 investors in the scheme/plar plan shall be wound up by following the guidelines prescribed by be redeemed at the Applicable NAV. SEBI has further prescribec rebalancing period of one month will be allowed to the investor an given 15 days notice to redeem his exposure over the 25% limit. I excess exposure, the excess holding will be automatically redeem <b>Risk associated with investment in Equities:</b>	lolder should accour ndar quarter on an a n, for each calendar SEBI and Unit Hold I that if any investor I that if any investor nd thereafter the inv n the event of failure hed by the Fund foll	nt for more than 25 average basis. In cas quarter as specified ers' investment in su- breaches the 25% estor who is in brea on part of the said owing the guidelines	% of the corpus of e of non-fulfilment v by SEBI, the scher ch scheme/plan wo limit over a quarte ch of the limit shall investor to redeem prescribed by SEE			
	Equity and equity related securities are volatile and prone to price in the Scheme may be restricted by trading volumes and settlem by unforeseen circumstances. The inability of the Scheme to mal could cause the Scheme to miss certain investment opportunities portfolio would result at times, in potential losses to the Scheme, s held in the Scheme's portfolio.	ent periods. Settlem ke intended securities. Similarly, the inab	nent periods may be es purchases, due to ility to sell securities	extended significat settlement problem held in the Schem			
	Investments in equity and equity related securities involve a degree of risks and investors should not invest in the Scheme unles they can afford to take the risk of losing their investment.						
	Securities which are not quoted on the stock exchanges are inherently illiquid in nature and carry a larger liquidity risk in comparison with securities that are listed on the exchanges or offer other exit options to the investors, including put options.						
	Risk associated with investment in debt securities and Money market instruments:						
	Investments in money market instruments would involve a moderate credit risk i.e. risk of an issuer's liability to meet the principa payments.						
	Money market instruments may also be subject to price volatility due to factors such as changes in interest rates, general i of market liquidity and market perception of credit worthiness of the issuer of such instruments. The AMC endeavours to mar such risk by the use of inhouse credit analysis. The NAV of the Scheme's Units, to the extent that the Scheme is investe money market instruments, will be affected by the changes in the level of interest rates. When interest rates in the market rise value of a portfolio of money market instruments can be expected to decline. The NAV will also be affected by Risks associ with investments made in derivatives. The NAV of the Scheme will also be affected by Risk Factors associated with scrip len and investments in Foreign Securities.						
	in the broader fixed income, money market and derivatives market and may be influenced by factors influencing such m in general including but not limited to economic conditions, changes in interest rates, price and volume volatility in the income markets, changes in taxation, currency exchange rates, foreign investments, political, economic or other develop and closure of the stock exchanges. Further the investments made by the Scheme will also be affected by interest rate risk, credit risk or default risk, sovereign risk, liquidity risk, re-investment risk, settlement risk, risk associated with investm derivatives and foreign securities.						
	Risks associated with REITs and InvITs: Market Risk: REITs and InvITs Investments are volatile and subj conditions and factors impacting the underlying assets. AMC/Fu market movements may be at variance with the anticipated trends	nd Manager's will c					
	Liquidity Risk: As the liquidity of the investments made by the Schem periods, dissolution of the trust, potential delisting of units on the e the investments in the scheme may be long in the event of immedi lead to increase in the scheme portfolio risk. As these products are Reinvestment Risk: Investments in REITs & InvITs may carry rei	ne(s) could, at times, exchange etc, the tin iate redemption requ new to the market th	ne taken by the Muti irement. Investment hey are likely to be e	ual Fund for liquidat in such securities n xposed to liquidity ri			
	Trusts in form of buyback of units or dividend pay-outs, interest prates prevailing on the interest or maturity due dates may differ fr may get invested at a lower rate. Credit Risk: REITs & InvITs are likely to have volatile cash flows as	om the original cou	pon of the bond. As	a result, the proce			
	Regulatory/Legal Risk: REITs and InvITs being new asset classe impact the investments in REITs and InvITs.	s, regulatory guideli					
	<b>Risks Factors associated with transaction in Units through s</b> In respect of transaction in Units of the Scheme through BSE and/ Business Day will depend upon the order processing/settlement l corporations on which the Fund has no control.	or NSE and/or ICEX					
	Risks associated with investments in securitised debt: Risk due to prepayment: In case of securitised debt, changes in absolute amount of receivables for the investors but may have a an investor receives on securitised papers. In the event of pre-p changes in tenure and yield.	in impact on the re-	investment of the p	eriodic cash flows t			
	Liquidity Risk: Presently, despite recent legal developments perm market for securitised debt in India is not very liquid. Even if a mo in such instruments may be at a discount to initial issue price due Limited Recourse and Credit Risk: Certificates issued on invest	e to changes in the	elops in the future, s interest rate structur	secondary transacti e.			
	underlying receivables and there is no obligation on the issuer, se loan can adversely affect the pay outs to the investors and thereb to repossess and sell the underlying asset, various factors can de such assets may be low.	eller or the originator y, adversely affect th	r in that regard. Defane NAV of the Scher	aults on the underly ne. While it is poss			

Investors should also indicate "Direct" in the ARN column of the application form. However, in case distributor code is mentioned or "Direct" mentioned in the ARN column, the application service of or the Scheme without distributor code or "Direct" mentioned in the ARN column, the application will be processed under the Direct Plan. Investors proposing to purchase units of the Scheme through an ARN Holder can invest under the Regular Plan. Investors proposing to purchase units of the Scheme through an ARN Holder can invest under the Regular Plan. The options referred below are available under both the above mentioned plans. The above plans have a common portfolio However, Regular Plan and Direct Plan have different NAVs. The application(s) will be processed under Direct / Regular Plan as stated in the table below: Scenario Distributor / broker code Plan mentioned by the investor application shall be processed under there is application of the application form, the application of the application form, the application of the application form the theresof' distributor code of application without any exit lead. Options - Crowth - Income Distribution cum Capital Withdrawal (IDCW) Option (Reinvestment and Payout) Applicable NAV - The Cubroff time of the capel table shares Su y and funds are available for utilization before the cubroff time of the cosing NAV								
PBL Deviative products are specialized instruments that require investment net of the underlying netiment to its margin which provides the passibility of press profile correstment in the investment and intervestment and int		and the co Scheme co so as to m	urt in such proceedings concludes the build experience losses or delays in the inimize the risk of the sale to the true	at the sale of the assets from originator payments due. Normally, care is taken i st not being construed as a 'true sale'.	to the trust was not a 'true sale', then the			
companies. The scheme's would have the flexibility to invest acress market disputizion.     The key features of the Scheme's investment at highly include:     Diversification: Subject to SEBI regulations, the Scheme will be well diversified acress stocks and sectors. A limited exposure     to various capital derivatives that offer the best value is halve to the disput probability capital processor.     They well record companies that offer the best value is halve to the manager well focus on understanding involves     or applies and management quality. When assess that offer the best value is halve to the manager well focus on understanding involves     or applies and management quality derivatives instruments in the organization of the scheme will be excludeed to the investment restrictions specified under the Regulation     which would help in milling certain ticks ending to investment and the involvement.     The Scheme may invest in equity derivatives instruments to the octent parential of a most control well with applicable     Regulation, including for the upproves of helping profitio biademing and opinning returns. Hedging does not them analized to     returns biol, including for the upproves of helping profitio biademing and opinning returns.     Hedging does not management quality deviations and maximization     returns biol or disc frame and the scheme directly from the Fund for investing and planting induces.     The scheme may invest in equity derivatives instruments in the data may be intered in the investing induces.     Heads in the ABP i		RBI. Deriv associated the derivat margin wh are highly	ative products are specialized instru- with stocks and bonds. The use of a ive itself. Trading in derivatives carrie ich provides the possibility of great pr leveraged instruments. Even a small	nents that require investment technique derivative requires an understanding ne es a high degree of risk although they a ofit or loss in comparison with the princi price movement in the underlying sect	es and risk analysis different from those of only of the underlying instrument but o are traded at a relatively small amount o ipal investment amount. Thus, derivatives			
Diversification: Subject to SEBI regulations, the Scheme will be velid diversified across stocks and sectors. A limited exposure to various equily derivatives instruments in likely - of the purpose of hedging, periodic relatancing and optimizing terms.           Stock Selection: The fund managers will adopt a methodology of bottom-up atock selection, with an emphasis on first-hand managers will close on understanding how each of a factors will change over fina.         Investment in proving Resourchings shall be aubidited to the investment restrictions specified by SEBI/REI from time to time. The tund manager will consider all relevant risks before making any investment in Poreign Securities.           Purper, the portfold of the Scheme will be constructed in accordance with the investment excitications specified by SEBI/REI from time to time. The tund manager will consider all relevant risks relating to investment in securities.           Purper, the portfold of the Scheme will be constructed in accordance with the investment excitications specified under the Regulation which would help in mitigating certain risks relating to investment in securities market.           Pars         Direct Plan:           Preventers under the Direct Plan under the Direct Plan.         Investments on the under the Direct Plan under the Direct Plan under the Direct Plan under the Direct Plan.           Investments busching to purchase units of the Scheme elicited by form the Fund (is: investments not routed through an ARF togeta Plan.           Investments under the Direct Plan under the Direct Plan and under rad in accordance with the application form.           Investments busching durpt accordance by the Plan of the intesthaplan to the tunde to the secting the tunde to the t	Investment Strategy							
Investments in Foreign Securities shall be subject to the investment restrictions specified by 25B/RBI from time to time. The fund manager will consider all relevant risks before making any investment in Foreign Securities. Further, the portfolio of the Scheme will be constructed in accordance with the investment restrictions specified under the Regulation which would help in mitiging certain risks before making any investment in Securities market. The Scheme may invest in equily derivatives instruments to the extent permitted under and in accordance with the applicable Regulations, including for the purposes of hedging, portfolio basing and optimizing the accordance with the applicable of teams but only attempts to rotace systemic or market tink that may be interest in the investments. Plans Direct Plan: Investments under the Direct Plan can be made through various modes offered by the Fund for investing directly with the Fund or through Registreet investment Afrider (MQ) (except Stuck Exchange Pattennel) and all other platform ords in mechanic spectromes should also inclusion: There in the ANN colume of the application form. Unseen, in case distribution with the process during the Direct Plan. Futhre, where application is received for the Scheme value application and the Scheme through an ANF Regulater Plan: The ANN colume of the application with application off. Regular Plan: and Direct Plans rule, where application is received for the Scheme Value and the application form. The application with application off. Regular Plan: Investors proposing to purchase units of the Scheme through and ANF the Scheme Plane. Regular Plan: Investors proposing to purchase units of the Scheme through and ANF the Scheme Plane. The application of the ANN Column, the application with a above a common portfolio 1 Note: mentioned in the ANF Column and different NAVs. The application of the Column, the application without any exit under the Regular Plan. Note: Regular Plan and Dince Plan we different NAVs. The application (Struggere		Diversificato various Stock Sel research. capital and	ation: Subject to SEBI regulations, the equity derivatives instruments is likely ection: The fund managers will ado They will favour companies that offer a management quality. When assessing	e Scheme will be well diversified acros y - for the purpose of hedging, portfolio pt a methodology of bottom-up stock s the best value relative to their respectiv	re-balancing and optimizing returns. election, with an emphasis on first-hand re long-term growth prospects, returns on			
The Scheme may invest in equity derivatives instruments to the extent permitted under and in accordance with the applicable Regulations, including for the purposes of hedging, portfolio balaning and optimizing returns. Hedging does not mean maximizatio of returns but only attempts to reduce systemic or market risk that may be inherent in the investment.           Plans         Direct Plan:           Investors proposing to purchase unlis of the Scheme directly from the Fund (i.e. investments not routed through an AMF Registration Numer K(RA) Holder() can lowest under the Direct Plan.           Investments under the Direct Plan can be made through various modes offered by the Fund of investing directly with the Fund or a runticing directly with the Fund or a runticing directly with the Fund or a publication from through Registred numer the Direct Plan in linelisted against the Scheme name, the distributor code or "Direct" methode in the ARN column.           Investors proposing to purchase units of the Scheme through an ARN Holder can invest under the Direct Plan.         Regular Plan:           Investors proposing to purchase units of the Scheme through an ARN Holder can invest under the Regular Plan.         Regular Plan:           Investors proposing to purchase units of the Scheme through an ARN Holder can invest under the Regular Plan.         The options referred below are available under both the above mentioned plans. The above plans have a common portfolio However, Regular Plan and Direct Plan New different NAvs.           Regular Direct in the ARN columa and Direct Plan Area different NAvs.         The options that be processed under Direct / Regular Plan the below:           Scenarin         Distributor / broker code		Investmen fund mana Further, the	ts in Foreign Securities shall be subj ger will consider all relevant risks be e portfolio of the Scheme will be constru	fore making any investment in Foreign sucted in accordance with the investment r	Securities. estrictions specified under the Regulations			
Investors proposing to purchase units of the Scheme directly from the Fund (i.e., investments not routed through an AMF Registration Number (ANN) Holder) calinity and the Direct Plan.           Investments under the Direct Plan can be made through various modes offered by the Fund for investing directly with the Fund or infrozofh Registrene (Plans) Holder (Plans) (Each Scheme Plans) and other platform(s) where investor application for subscription of units are routed through distributors).           Investors subscription of units are routed through distributors).         Investors subscription of units are routed through distributors).           Investors subscription of units are routed through distributors).         Investors subscription of units are routed through distributors).           Investors subscription of units are routed through distributors).         Investors subscription of units are routed through distributors).           Investors subscription of units are routed through an ARN Holder can invest under the Direct Plan.         Investors proposing to purchase units of the Scheme through an ARN Holder can invest under the Regular Plan.           Investors proposing to purchase units of the Scheme through an ARN Holder can invest under the Regular Plan.         The options referred below are available under borter / Regular Plan and Direct Plan           Investors proposing to purchase units of the Scheme through an SRN Holder can invest and the table below:         Scenario           Scenario         Distributor / borker code         Plan mentioned by the investor         Default plan in which the anot mentioned by the investor           Jokt mentioned		The Scher Regulation	ne may invest in equity derivatives in s, including for the purposes of hedgin	nstruments to the extent permitted under g, portfolio balancing and optimizing retu	er and in accordance with the applicable rns. Hedging does not mean maximizatior			
Investors subacibing under the Direct Plan will have to indicate "Direct Plan" assubacibing the Scheme name in the application form, but "Direct Plan" is indicated against the Scheme name, in the application will be ignored and the application will be processed under the Direct Plan." Investors proceeding the Direct Plan. Turber, where application is received for the Scheme without distributor code or "Direct" mentioned in the ARN Column, the application will be processed under the Direct Plan. Regular Plan: Investors proposing to purchase units of the Scheme through an ARN Holder can invest under the Regular Plan. The options referred below are available under both the above mentioned plans. The above plans have a common portfolio However, Regular Plan and Direct Plan have different NAVs. The application's) will be processed under Direct / Regular Plan as stated in the table below:           Scenario         Distributor / broker code         Plan mentioned by the investor         Default plan in which the application is all be processed           1         Not menioned         Direct         Direct Plan         Direct Plan           3         Not menioned         Direct         Direct Plan           4         Menioned         Direct         Direct Plan           5         Direct         Regular         Direct Plan           6         Direct         Regular         Direct Plan           7         Menioned         Direct         Direct Plan           8         Direct         Regular         Direct Plan           10         Regular         Direct Plan	Plans	Investors p Registratio Investment or through	proposing to purchase units of the S n Number (ARN) Holder) can invest u s under the Direct Plan can be made Registered Investment Advisor (RIA)	under the Direct Plan. through various modes offered by the {except Stock Exchange Platform(s) a	Fund for investing directly with the Fund			
Regular Plan:           Investors proposing to purchase units of the Scheme through an ARN Holder can invest under the Regular Plan.           The options referred below are available under both the above mentioned plans. The above plans have a common portfolio However, Regular Plan and Direct Plan have different NAVs.           The application(s) will be processed under Direct / Regular Plan as stated in the table below:           Scenario         Distributor / broker code mentioned by the investor         Default plan in which the applications hall be processed           1         Not mentioned         Direct Plan         Direct Plan           2         Not mentioned         Direct Plan         Direct Plan           4         Mentioned         Direct Plan         Direct Plan           5         Direct         Direct Plan         Regular Plan           8         Mentioned         Regular         Regular Plan           8         Mentioned         Regular Plan         Regular Plan           8         Mentioned         Regular Plan         Regular Plan           9         Cost Cost Cost Cost Plan         Regular Plan         Regular Plan           10         cases of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under form the investor/ distributor. In case, the correct code is not received within 30 calendard days of the erceipt of the application shall be apo		Investors subscribing under the Direct Plan will have to indicate "Direct Plan" against the Scheme name in the application form. Investors should also indicate "Direct" in the ARN column of the application form. However, in case distributor code is mentioned in the application form, but "Direct Plan" is indicated against the Scheme name, the distributor code will be ignored and the application will be processed under the Direct Plan. Further, where application is received for the Scheme without distributor code						
Investors proposing to purchase units of the Scheme through an ARN Holder can invest under the Regular Plan. The options referred below are available under both the above mentioned plans. The above plans have a common portfolio However, Regular Plan and Ditect Plan have different NAXs.           The application(s) will be processed under Direct / Regular Plan as stated in the table below:           Scenario         Distributor / broker code mentioned by the investor         Default plan in which the application shall be processed 1           Not mentioned         Direct         Direct Plan           2         Not mentioned         Direct Plan           3         Not mentioned         Direct Plan           4         Mentioned         Direct Plan           5         Direct         Regular         Direct Plan           6         Direct         Regular         Regular Plan.           7         Mentioned         Regular         Regular Plan.           8         Mentioned         Regular Plan.         Regular Plan.           9         Orect         Regular Plan.         Not mentioned and batin the correct ARN code within 30 calendar days of the receipt of the application form from the investor distributor. In case, the correct ARN code within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application without any exit load.           Options         • Growth         • In corme Distribution cum Capital With								
The options referred below are available under both the above mentioned plans. The above plans have a common portfolio However, Regular Plan and Direct Plan have different NAVS.           The application(s) will be processed under Direct / Regular Plan as stated in the table below:           Scenario         Distributor / broker code         Plan mentioned         Direct Plan           1         Not mentioned         Direct         Direct Plan           2         Not mentioned         Direct         Direct Plan           3         Not mentioned         Direct         Direct Plan           4         Mentioned         Regular         Direct Plan           5         Direct         Not Mentioned         Direct Plan           6         Direct         Regular         Direct Plan           7         Mentioned         Regular         Direct Plan           8         Mentioned         Regular Plan         Not Mentioned           8         Mentioned         Regular Plan         Not Mentioned         Regular Plan           1         Not mentioned         Not Mentioned         Regular Plan         Not Mentioned           9         Growth         -         Rogular Plan         Not Mentioned         Regular Plan           • Growth         -         In cases of wrong invaild i								
However, Regular Plan and Direct Plan have different NAVs.         The application(s) will be processed under Direct / Regular Plan as stated in the table below:         Scenario       Distributor / broker code       Plan mentioned by the investor       Default plan in which the application shall be processed         1       Not mentioned       Direct       Direct Plan       And mentioned         2       Not mentioned       Direct       Direct Plan       And mentioned         3       Not mentioned       Direct       Direct Plan       And mentioned         6       Direct       Regular       Direct Plan       And mentioned         7       Mentioned       Regular       Regular Plan       Regular Plan         7       Mentioned       Regular Plan       Regular Plan       Regular Plan         8       Mentioned       Not Mentioned on the application form, the application shall be processed under the Regular Plan.       Regular Plan         9       Growth       -       Corvet Plan from the date of application without any exit load.         9       -       Growth       -       For Purchases         1       -       Corvet Plan from the date of application without any exit load.       -         9       -       Corvet Provecoff the Applicable NAV will be as under:       For Purchas								
Scenario         Distributor / broker code mentioned by the investor         Plan mentioned by the investor         Default plan in which the application shall be processed           1         Not mentioned         Not mentioned         Direct         Direct Plan           2         Not mentioned         Direct         Direct Plan         Direct Plan           3         Not mentioned         Direct         Direct Plan         Direct Plan           4         Mentioned         Direct         Direct Plan         Direct Plan           6         Direct         Regular         Direct Plan         Direct Plan           7         Mentioned         Regular         Regular Plan         Plan mentioned           8         Mentioned         Regular         Regular Plan         Plan mentioned           9         Mentioned         Not Mentioned         Regular Plan         Not Mentioned           1         ncases of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under the Regular Plan         Not Mentioned           1         ncases of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application share code is not received within 30 calendar days, the AMC shall contact and obtain the correct ARN code within 30 calendar days, the AMC shall contact and obtain the core code is not received within 30 calendar days, the AMC shall cot								
Scenario         Distributor / broker code mentioned by the investor         Plan mentioned by the investor         Default plan in which the application shall be processed           1         Not mentioned         Not mentioned         Direct Plan         Direct Plan           2         Not mentioned         Direct         Direct Plan         Direct Plan           3         Not mentioned         Direct         Direct Plan         Direct Plan           4         Mentioned         Direct         Direct Plan         Direct Plan           6         Direct         Regular         Direct Plan         Direct Plan           7         Mentioned         Regular         Regular Plan         Plan mentioned All Regular Plan           8         Mentioned         Not Mentioned         Regular Plan         Plan mentioned All Regular Plan           9         Growth         -         In cases of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under the Regular Plan.         Not Mentioned           9         Growth         -         Income Distributor. In case is of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under the splication is received upto 3.00 p.m. on a Business Day and funds are available for utilization before the cut-of time of the explication is received aplf a.300 p.m. on a Business Day and funds are availabl								
mentioned by the investor         application shall be processed           1         Not mentioned         Direct         Direct Plan           2         Not mentioned         Direct         Direct Plan           3         Not mentioned         Direct         Direct Plan           4         Mentioned         Direct         Direct Plan           5         Direct         Not Mentioned         Direct Plan           6         Direct         Regular         Direct Plan           7         Mentioned         Regular         Direct Plan           8         Mentioned         Regular Plan         Regular Plan           8         Mentioned         Regular Plan         Regular Plan           8         Mentioned         Regular Plan         Not Mentioned on the application form, the application shall be processed under the Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application without any exit load.           Options         • Growth         •         Income Distribution cum Capital Withdrawal (IDCW) Option (Reinvestment and Payout)           Applicable NAV         The Cut-off time and the Applicable NAV will be as under:         For Purchases:         .           i.         Where the application is received aff					1			
2         Not mentioned         Direct         Direct Plan           3         Not mentioned         Regular         Direct Plan           4         Mentioned         Direct         Direct Plan           5         Direct         Not Mentioned         Direct Plan           6         Direct         Regular         Direct Plan           7         Mentioned         Regular         Regular Plan           8         Mentioned         Regular Plan         Regular Plan           8         Mentioned         Not Mentioned on the application form, the application shall be processed under the reacept of the application form from the investor/ distributor. In case, the correct CARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct cARN code within 30 calendar days, the AMC shall epicocess the transaction under Direct Plan from the date of application without any exit load.           Options         • Growth         • Income Distribution cum Capital Withdrawal (IDCW) Option (Reinvestment and Payout)           Applicable NAV         The Cut-Off time and the Applicable NAV will be as under:           For Purchases:         i.         Where the application is received upto 3.00 p.m. on a Business Day and funds are available for utilization before the cut-off time - the closing NAV of the Business Day and funds are available for utilization on the same day or before the cut-off time of the next Business Day and funds are available for		Scenario		Fian mentioned by the investor				
3         Not mentioned         Regular         Direct Plan           4         Mentioned         Direct         Direct Plan           5         Direct         Not Mentioned         Direct Plan           6         Direct         Regular         Direct Plan           7         Mentioned         Regular         Regular Plan           8         Mentioned         Regular Plan           8         Mentioned         Regular Plan           9         Mentioned         Regular Plan           8         Mentioned         Regular Plan           9         Mentioned         Regular Plan           9         Growth         Regular Plan           • Growth         • Carowth         • Carowth           • Income Distribution cum Capital Withdrawal (IDCW) Option (Reinvestment and Payout)           Applicable NAV         The Cut-off time and the Applicable NAV will be as under:           For Purchases:         i.         Where the application is received upto 3.00 p.m. on a Business Day and funds are available for utilization before the cut-off time of the next Business Day on which the funds are not available for utilization and be applicable.           ii.         Irrespective of the cut-off time of the next Business Day and funds are available for utilization and a cut-off time - the closing NAV of the time of receipt of applications,			Not mentioned	Not mentioned	Direct Plan			
4         Mentioned         Direct         Direct Plan           5         Direct         Not Mentioned         Direct Plan           6         Direct         Regular         Direct Plan           7         Mentioned         Regular         Regular Plan           8         Mentioned         Regular Plan         Regular Plan           In cases of worng/ invalid/ incomplete ARN codes mentioned on the application shall be processed under the Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application without any exit load.           Options         • Growth         • Income Distribution cum Capital Withdrawal (IDCW) Option (Reinvestment and Payout)           the Cut-off time and the Applicable NAV will be as under:         For Purchases:         i.           i.         Where the application is received upto 3.00 p.m. on a Business Day and funds are available for utilization before the cut-of time - the closing NAV of the Business Day shall be applicable.         iii.           iii.         Irrespective of the time of receipt of application, where the funds are not available for utilization before the cut-of time - the closing NAV of next Business Day on which the funds are available for utilization before the cut-of time - the closing NAV of next Business Day on which the funds are not available for utilizatin be ap			Not mentioned	Direct	Direct Plan			
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the next Business Day will be applicable								

(Lumpsum Investment per Application)		Initial Investment			Additional		
Minimum Application Si	₹ 5,000				₹1,	000	
Minimum Application Size Systematic Investment per	Min. Instalment Amount	Mir	. No. of Inst	alments	Mi	n. Aggregate Inv	vestment
Application)	₹ 500	(a) Mo	nthly: 6 (b) C	Quarterly: 4		₹ 3,000	
	All t	the above thr	e condition	s to be joint	ly fulfilled		
Waiver of minimum subscription amount	Pursuant to SEBI circular no. SEBI/HO/IMD/ DOF5/P/CIR/2021/629 dated September 2' Asset Management Companies (AMCs) w (i.e. initial application amount and additiona investment made in the Scheme by the re circulars.	0, 2021 rega ith the Unitho al application	ding 'Alignn Iders of the amount) as	nent of intere Mutual Fur mentioned in	est of Key Emplo nd Schemes', the n the SID and KI	oyees/Designate e minimum sub M shall not be a	ed Employees of scription amoun applicable for the
Minimum Redemption Size	₹ 500 or 50 units. In case of Units held in de of Units and the provisions pertaining to m		,		0		n only in numbe
Dispatch of Repurchase (Redemption) Request	Within 10 Business Days of the receipt of the	e valid redem	tion reques	t at the Inves	tor Service Centr	res/Official Poin	ts of Acceptance
Benchmark Index			Nifty 500 T	ſRI			
Levy of stamp duty on mutual fund transactions	losses, expenses and taxes, if any, to Unit for distribution in the opinion of the Truste frequency of distribution shall be final. The of Unit Holders in the IDCW option of the advance. Further, the NAV shall be adjuster hours on record date. Within one day of th shall issue notice to the public communica from the issue of notice. Such notice shall newspaper published in the language of the the option of receiving the dividend or rein investors opting for IDCW payout facility, th the record date of declaration of dividend. the Unit Holder is less than ₹ 100, then th Pursuant to Notification No. S.O. 4419(E) Government of India, read with Part I of C 2020 issued by Legislative Department, M duty @ 0.005% of the transaction value w of stamp duty, the number of units allotted the unit holders would be reduced to that d	ee. The Trus e dividend will e Scheme on d to the exter ne decision b tating the deci- be given in c e region wher twesting the s he AMC shall Under the II he dividend a dated Decer Chapter IV of Lay rould be levie d on Purchas	ee's decisic be due to the record t of dividence t of dividence t the Truste cion includin ine English te the head of ame. The d dispatch to iCW payout mount will be nher 10, 20 Notification t and Justic d on mutual	on with rega only those L date which d distribution es regarding g the record daily newspa office of the r ividend will the Unit Ho t facility of the c compulso 19 issued b dated Febru e, Governm I fund invest	rd to availability Jnit Holders who will be fixed by and statutory lee g dividend distrib d date. The reco aper having natic nutual fund is sit be reinvested at lders, the divide te Scheme, if th rily reinvested in y Department of uary 21, 2019 a ent of India on fi ment transaction	and adequacy se names apport the Trustees a yy, if any, at the ution rate and rd date shall be onwide circulatio uated. The Unit the ex-dividend ind proceeds wit e amount of divithe Scheme. If Revenue, Min ind Notification the Finance Act is. Accordingly,	, rate, timing ar ear in the registe and announced close of busines record date, AM & 5 calendar day on as well as in Holders will hav d NAV. In case thin 15 days fro yidend payable istry of Finance dated March 30 t, 2019, a stamp pursuant to levy
of Acceptance	of RTA inter-operable Platform for enhanci Kfin Technologies Private Limited and Con – A digital platform for Mutual Fund invest Mutual fund investments and service relate	nputer Age N tors. MFCent	anagement	Services Lir	mited (CAMS) ha		
	enabling various digital /phygital services to of the Platform. MFCentral will be enablin using https://mfcentral.com/ and a Mobile <i>J</i> to increase digital penetration of Mutual fur – Designated investor Service Centre) w. of physical document as per the requirem	o Mutual fund ng various fea App in future nds, L&T Mut e.f. 23rd Sep	significantly investors a tures and s With a view ual Fund de tember 202	v reduces the across fund h services in a w to comply signates MF 21. Any regis	e need for subm nouses subject to a phased manne with all provisio Central as its Of stered user of M	ission of physic papplicable Ter r. MFCentral m ns of the afore fficial point of a IFCentral, requ	nobile app for al al documents by ms & Conditions ay be accessed said circular and cceptance (DISC iring submission
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Note: At least 5% of the total recurring expenses are charged towards distribution expenses/ commission in the Regular Plan. The total recurring expenses of the Direct Plan will be lower to the extent of the abovementioned distribution expenses/ commission (at least 5%) which is charged in the Regular Plan. For example, in the event that the TER of the Regular option is 1%, the TER of the Direct Plan would not exceed 0.95% p.a.

#GST on brokerage and transaction cost, over and above 12 bps and 5 bps for cash and derivative market transactions charged to the Scheme will be part of Total Expense Ratio limit as defined above.

\*Other expenses: Any other expenses which are directly attributable to the Scheme, may be charged with approval of the Trustee within the overall limits as specified in the Regulations except those expenses which are specifically prohibited.

The purpose of the above table is to assist the investor in understanding the various costs and expenses that the investor in the Scheme will bear directly or indirectly.

These estimates have been made in good faith as per the information available to the Investment Manager based on past experience and are subject to change inter-se or in total subject to prevailing Regulations.

The AMC may incur actual expenses which may be more or less than those estimated above under any head and/or in total. The AMC will charge the Scheme such actual expenses incurred, subject to the statutory limit prescribed in the Regulations.

As per Regulation 52, the statutory limit on the annual recurring expenses and investment management and advisory fees are as given below. Any excess over these limits will be borne by the AMC.

#### Maximum Recurring Expenses:

Daily net assets	Maximum as a % of daily net assets
First 500 Crores	2.25%
Next 250 Crores	2.00%
Next 1,250 Crores	1.75%
Next 3,000 Crores	1.60%
Next 5,000 Crores	1.50%
Total expense ratio reduction of 0.05% for ev	very increase of ₹ 5,000 crores of daily net assets or part thereof on the next ₹40,000

 crores of the daily net assets.

 Balance Assets

 1.05%

Note: The total annual recurring expenses of the Direct Plan will be lower to the extent of the distribution expenses/ commission which is charged in the non direct plan.

### Fees and expenses:

The AMC shall charge the Scheme with investment management and advisory fees in accordance with Regulation 52 (2) of SEBI Regulations.

The Mutual Fund/AMC shall annually set apart at least 2 basis points of the daily net assets of a scheme within the maximum limit of total recurring expenses as per Regulation 52 for investor education and awareness initiatives.

In accordance with Regulation 52 (6A), the following expenses can be charged in addition to the existing total recurring expenses charged under Regulation 52 (6):

(a) Brokerage and transaction cost (other than Securities Transaction Tax as applicable) which are incurred for the purpose of execution of trade and is included in the cost of investment, not exceeding 0.12 % in case of cash market transactions and 0.05 % in case of derivatives transactions;

Please note that any payment towards brokerage and transaction costs (other than Securities Transaction Tax as applicable), over and above the said 12 bps and 5 bps for cash market and derivatives transactions respectively, shall be charged to the Scheme within the total recurring expenses limit specified under Regulation 52. Any expenditure in excess of the said limit will be borne by the AMC/Trustees/ Sponsors.

(b) additional recurring expenses up to 30 basis points on daily net assets of the Scheme, if the new inflows from cities and type of investors as specified by SEBI are at least (a) 30% of gross new inflows in the scheme; or (b) 15% of the average assets under management (year to date) of the Scheme, whichever is higher.

In case inflows from such cities are less than the higher of (a) or (b) stated above, additional expenses on daily net assets of the Scheme can be charged on a proportionate basis.

The expenses so charged can be utilised for distribution expenses incurred for bringing inflows from such cities.

The amount incurred as expense on account of inflows from such cities shall be credited back to the Scheme in case the said inflows are redeemed within a period of one year from the date of investment. The additional TER shall be charged based on inflows from retail investors from beyond top 30 cities (B-30 cities), the term 'retail investor' has been defined. Accordingly, inflows of amount upto Rs 2,00,000/- per transaction, by individual investors shall be considered as inflows from "retail investor".

(c) additional expenses, incurred towards different heads mentioned under sub-Regulations 52 (2) and 52 (4), not exceeding 0.05 % of the daily net assets of the scheme or as specified by the SEBI. However, in terms of SEBI Circular No SEBI/ HO/ IMD/ DF2/ CIR/P/ 2018/15 dated February 02, 2018, in case exit load is not levied / not applicable, the AMC shall not charge the said additional expenses.

### Goods and Services Tax:

- GST on the investment management and advisory fees will be charged to the Scheme in addition to the total recurring expenses limit specified under Regulation 52.
- b) GST, if any, on any other fees/expenses (including brokerage and transaction costs on asset purchases) shall be charged to the Scheme within the total recurring expenses limit specified under Regulation 52.

The Mutual Fund would update the current expense ratios on the website (www.ltfs.com). However, any change in the base TER (i.e. TER excluding additional expenses provided in Regulation 52(6A)(b) and 52(6A)(c) of SEBI (Mutual Funds) Regulations, 1996) in comparison to previous base TER charged to the Scheme shall be updated at least three working days prior to the effective date of the change and on the link https://www.ltfs.com/companies/Int-investment-management/ statutory-disclosures

(ii) Actual Recurring Expenses	Direct	Regular
(% p.a. of daily net assets) for	1.09%	2.33%
the Financial Year 2021-22		

	1				
Transaction Charge(s)	AMC shall deduct Transaction Charge(s) from the subscription amount and pay it to the distributor who has opted to receive the same for a particular product category. The details of the same are mentioned below:-				
	• $\mathbf{R}$ 150 if the application is received from a First Time Mutual Fun	d Investor.			
	• ₹ 100 if the application is received from an investor other than a First Time Mutual Fund Investor i.e. Existing Investor in Mutual Funds.				
	In case of investments through SIP, Transaction Charge(s) shall be instalment x Number of instalments) amounts to ₹ 10,000 or more instalments.				
	However, Transaction Charge(s) will not be deducted for the following	ng:-			
	Purchase/Subscription submitted by investor at the designated	collection centres or through AMC's website viz. www.ltfs.com			
	and which are not routed through any distributor.				
	Purchase/Subscription through a distributor for an amount less to	than ₹ 10,000.			
	Transactions such as Switches, STP i.e. all such transactions v similar to Purchase/Subscription.	wherein there is no additional cash flow at a Mutual Fund level			
	Purchase/Subscriptions through any stock exchange.				
Tax Treatment for the Investors (Unit holders)	Investors are advised to refer to the details in the Statement of Addition	onal Information and also independently refer to their tax adviser.			
Daily Net Asset Value (NAV) Disclosure	The NAVs will be calculated and disclosed on every Business Day (www.ltfs.com) and of the Association of Mutual Funds in India-AM the same day. The AMC shall extend the facility of sending the late a special request in this regard. Alternatively, investors can call u free) to access the NAV.	VFI (www.amfiindia.com) on every Business Day by 11 pm on est available NAVs to unitholders through SMS, upon receiving			
For Investor	Computer Age Management Services Drivets Limited				
Grievances please contact	Computer Age Management Services Private Limited New No. 10, Old No. 178, M. G. R. Salai, Nungambakkam, Chennai - 600 034. For any grievances with respect to transactions through BSE and/ or NSE and/or ICEX, the investors/Unit Holders should approach either the stock broker or the investor grievance cell of the respective	<b>Mr. Ankur Banthiya</b> 6th floor, KGN Towers, No.62 Ethiraj Salai, (Commander-In-Chief Road), Egmore, Chennai – 600 105. Tel: 1800 2000 400 or 1800 419 0200, Fax: 044-4902 2818			
	stock exchange.	E-mail: investor.line@Intmf.co.in			
Unit Holders' Information	Consolidated Account Statements/Account Statements				
	Applicable to investors who opt to hold units in non-demat form				
	Account statements to be issued in lieu of Unit Certificates under the Scheme shall be non-transferable. The account statement shall not be construed as a proof of title.				
	All Units of a the Scheme will rank pari passu, among Units within the same option in the Scheme, as to assets and earnings.				
	For normal transactions during ongoing sales and repurchase:				
	• A consolidated account statement for each calendar month to the Unit Holder(s) in whose folio(s) transaction(s) has/ have taken place during the month on or before 15th of the succeeding month shall be sent physically or by e-mail.				
	• In the event the account has more than one registered holder, the first named Unit Holder shall receive the CAS/ account statement.				
	• The transactions viz. purchase, redemption, switch, IDCW payout, etc., carried out by the Unit Holders shall be reflected in the CAS on the basis of Permanent Account Number (PAN).				
	The CAS shall not be received by the Unit Holders for the folio(s) not updated with PAN details. The Unit Holders are therefore requested to ensure that the folio(s) are updated with their PAN.				
	<ul> <li>For folios not included in the CAS (due to non-availability of PAN), the AMC/ Mutual Fund shall issue monthly account statement to such Unit Holder(s), for any financial transaction undertaken during the month on or before 10th of succeeding month physical mode.</li> </ul>				
	In case of a specific request received from the Unit Holders, the AMC/ Mutual Fund will provide the account statement physical to the investors within 5 Business Days from the receipt of such request.				
	The Unit Holder may request for a physical account statemen 1800 4190 200.	t by calling the investor line of the AMC at 1800 2000 400 or			
	Half Yearly Consolidated Account Statement:				
	<ul> <li>The AMC/Mutual Fund will provide to unit Holders a CAS det end of every six months (i.e. September/ March), on or before has taken place during that period. The half yearly consolidat Holders whose e-mail address is available, unless a specific ro a Unit Holder in respect of folios whose PAN details are not up</li> </ul>	21st day of succeeding month, in whose folios no transaction and account statement shall be provided by e-mail to the Unit equest is made to receive in physical. CAS will not be sent to			
	Further, CAS issued for the half-year (September/ March) sha SEBI:	all provide the following, in the prescribed format provided by			
	a) Total purchase value / cost of investment in each scheme invested by the investor.				
	b) The amount of actual commission paid by the AMC/Mutual Fur period against the investor's total investments in each scheme				
	The term 'commission' here refers to all direct monetary paym trips, event sponsorships etc. by the AMC/MF to distributors.				
	c) The scheme's average Total Expense Ratio (in percentage te plan, for each scheme where the investor has invested in.				
	Such half-yearly CAS shall be issued to all MF investors, exists schemes and where no commission against their investment period.				
	Applicable to investors who have a demat account and opt to	hold units in non-demat form			

### Monthly SCAS:

A single Securities Consolidated Account Statement ("SCAS")^ for each calendar month to the unit holder(s) who are holding a demat account ('Beneficial Owner(s)') in whose folio(s) transaction(s) has/have taken place during the month on or before 15<sup>th</sup> of the succeeding month shall be sent physically or by e-mail.

^SCAS shall contain details relating to all the transaction(s)\*\* carried out by the Beneficial Owner(s) (including transaction charges paid to the distributor) across all schemes of the Mutual Funds and transactions in securities held in dematerialized form across demat accounts, during the month and holdings at the end of the month.

\*\*transaction(s) shall include purchase, redemption, switch, IDCW payout, IDCW reinvestment, systematic investment plan, systematic withdrawal advantage plan, systematic transfer plan, etc.

- Consolidation of account statement shall be done on the basis of PAN.
- In case of multiple holding, identification shall be based on the PAN of the first holder and the pattern of holding.
- The SCAS will be sent by e-mail to the investor(s) whose e-mail address is registered with the Depositories. In case an investor does not wish to receive SCAS through e-mail, an option shall be given by the Depository to receive SCAS in physical.
- The SCAS shall not be received by the Unit Holder(s) for the folio(s) not updated with PAN and/or KYC details.
- Where PAN is not available, the account statement shall be sent to the Unit holder by the AMC/ Mutual Fund.
- In case of a specific request received from the Unit Holder(s), the AMC/ Mutual Fund will provide an account statement (reflecting transactions of the Mutual Fund) to the Unit Holder(s) within 5 Business Days from the receipt of such request.
- In case an investor does not wish to receive SCAS, an option shall be given by the Depository to indicate negative consent.
- Investor(s) having multiple demat accounts across the Depositories shall have an option to choose the Depository through which the SCAS will be received.

### Periodic SCAS:

- In case there is no transaction in the folio, a half yearly SCAS detailing holding across all schemes of mutual funds and securities held in dematerialized form across demat accounts shall be sent by Depositories to investors at the end of every six months (i.e. September/March), on or before 21<sup>st</sup> day of succeeding month.
- The half yearly SCAS will be sent physically or by e-mail as per the mode of receipt opted by the investors to receive monthly SCAS.
- In case of demat accounts with NIL balance and no transactions in mutual fund folios and in securities, the depository shall send physical statement to investor(s) in terms of regulations applicable to Depositories.

Unit holders/ Investors opted for physical dispatch and who are not eligible for receiving SCAS/ CAS shall continue to receive a monthly account statement from the AMC/ Mutual Fund.

### Applicable to investors who opt to hold units in demat form

Where the investor has opted for units held in dematerialised mode, unit holder/ investor will receive the holding statement directly from their respective Depository Participant at such a frequency as may be defined in the Depository Act or regulations or on specific request.

### For SIP / STP / SWP transactions:

- Account Statements for transactions under SIP/SWP/STP will be despatched once every quarter ending March, June, September and December within 10 working days of the end of the respective quarter.
- A soft copy of the account statement shall be mailed to the Unit Holders under SIP/SWP/STP to the e-mail address provided by the Unit Holder on a monthly basis, if so mandated.
- The first account statement under SIP/SWP/STP shall be issued within 10 working days of the initial investment/ withdrawal/ transfer.
- In case of specific request received from investors, the AMC will provide the account statement to the investors within 5 working days from the receipt of such request without any charges.

#### Annual Account Statement:

- The Mutual Fund will provide the account statement to the Unit Holders who have not transacted during the last six months prior to the date of generation of account statements. The account statement shall reflect the latest closing balance and value of the Units prior to the date of generation of the account statement. The account statements in such cases may be generated and issued along with the Portfolio Statement or Annual Report of the Scheme.
- Alternately, soft copy of the account statements shall be mailed to the investors' e-mail address, instead of physical statement, if so mandated.

### Annual Report

An annual report of the Scheme will be prepared as at the end of each financial year (i.e. March 31) and copies of the report or an abridged summary thereof will be sent to all Unit Holders as soon as possible but not later than 4 months from the closure of the relevant financial year. In case of an Unit Holder whose email address is available with the AMC/Mutual Fund, the annual report or abridged summary thereof will be sent by way of an e-mail at the email address provided by the Unit Holder and such Unit Holder will not receive physical copies of the annual report or abridged summary thereof unless a specific request is received by the AMC/Mutual Fund in this behalf from the Unit holder.

The Unit Holder may request for physical copies of the annual report or abridged summary thereof by calling the toll-free investor line of the AMC at 1800 2000 400 or 1800 4190 200 (toll-free).

An Unit holder whose e-mail address is not available with the AMC/Mutual Fund, the AMC shall continue to dispatch the annual report or an abridged summary thereof in physical form.

The full report or the abridged summary thereof will be displayed on the website of the Mutual Fund i.e. www.ltfs.com and on the website of AMFI www.amfiindia.com. The full report or the abridged summary will also be available for inspection at the registered office of the AMC and a copy thereof will be provided on request to the Unit Holder.

### Half yearly disclosures: Portfolio

This is a list of securities where the corpus of the Scheme is invested. The market value of these investments is also stated in the portfolio disclosures. The Mutual Fund shall within 10 days from the close of each half year that is on 31st March and on 30th September disclose the portfolio statement of the scheme on its website www.ltfs.com and on the website of AMFI www. amfiindia.com. The Mutual Fund shall publish an advertisement disclosing the hositing of half yearly portfolio statement of its schemes in one national English daily newspaper and one national Hindi daily newspaper.

#### **Financial Results**

The Mutual Fund shall before the expiry of one month from the close of each half year (i.e. March 31 and September 30) display its unaudited financial results on the website of the Mutual Fund.

Further, an advertisement disclosing the hosting of the aforesaid results on the website shall be published in one national English daily newspaper circulating in the whole of India and in a Marathi daily newspaper.

### Monthly Portfolio Disclosures:

The Mutual Fund/ AMC will disclose portfolio (along with ISIN) of the Scheme as on the last day of the month on its website www. Itfs.com and on the website of AMFI www.amfiindia.com on or before the tenth day of the succeeding month in a user-friendly and downloadable format.

## Note on Employee Unique Please note that disclosing Employee Unique Identity Number is important, especially in case of advisory transactions, as it will help us in resolving your query and assist in tackling the problem of misselling of Mutual Fund schemes.

Risk Mitigation Factors	volu	Investments in equity and equity related securities and debt securities carry various risks such as inability to sell securities, trading volumes and settlement periods, interest rate risk, liquidity risk, default risk, reinvestment risk etc. Whilst such risks cannot be eliminated, they may be mitigated by diversification and hedging.					
		ther, the portfolio of the Scheme will be constructed in a gulations which would help in mitigating certain risks relating		rictions specified under the			
Other Disclosures	(i) a.	Portfolio details Top 10 holdings as of September 30, 2022					
		Name of the Issuer	Rating / Industry	% to Net Assets			
		Listed / awaiting listing on the stock exchanges					
		Hindustan Unilever Limited	Diversified FMCG	6.20%			
		Infosys Limited	IT - Software	6.14%			
		Reliance Industries Limited	Petroleum Products	5.59%			
		Larsen & Toubro Limited	Construction	5.49%			
		ITC Limited	Diversified FMCG	5.00%			
		Blue Dart Express Limited	Transport Services	4.96%			
		Tata Consultancy Services Limited	IT - Software	4.59%			
		Maruti Suzuki India Limited	Automobiles	3.92%			
		ABB India Limited	Electrical Equipment	3.74%			
		Greaves Cotton Limited	Industrial Products	3.45%			
		Total of Top 10 Holdings		49.08%			
		Total Investments		92.83%			
		Cash, Cash Equivalents and Net Current Assets		7.17%			
		Grand Total		100.00%			
	b.	Sector Classification as on September 30, 2022 Sector % OF NAV					
		Sector					
		Information Technology	13.70%				
		Fast Moving Consumer Goods	12.46%				
		Healthcare	10.99%				
		Capital Goods		8.69%			
		SERVICES		8.06%			
		Automobile and Auto Components		7.80%			
		Oil Gas & Consumable Fuels		5.59%			
				5.49%			
		CEMENT & CEMENT PRODUCTS		4.24%			
		FINANCIAL SERVICES		3.21%			
		Telecommunication		3.09%			
		POWER		2.75%			
		CONSUMER SERVICES		2.62%			
		METALS & MINING		1.55%			
		Realty		1.32%			
		CHEMICALS		1.27%			
		Investors are advised to refer to the website of M management/statutory-disclosures.html) for the lates		companies/Int-investment-			
	c.	Aggregate investments as on September 30, 2022 • Directors of AMC: ₹ Nil					
		<ul> <li>Fund Manager(s): ₹ 1,644,094.75</li> </ul>					
		• Key personnel: ₹ 3,208,136.28					
	(ii)	The portfolio turnover ratio as on September 30, 202	2 was 0.2520				

### (ii) Illustration:

#### Impact of the total expense ratio on the returns of the Scheme:

Expense ratio, normally expressed as a percentage of Average Assets under Management, is calculated by dividing the permissible expenses under the Regulations by the average net assets.

To further illustrate the above, for the Scheme under reference, suppose an investor invested ₹ 10,000/- under the Growth Option, the impact of expenses charged will be as under:

Particulars	Regular Plan			Direct Plan		
	Amount (₹)	Units	NAV	NAV (₹)	Units	NAV (₹)
Invested in the NFO (A)	10,000	1,000	10.0000	10,000.00	1,000	10.0000
Value of above investment after 1 year from the date of allotment (post all applicable expenses) (B)	10,700	10,700	10,700	10,700	10,700	10,700
Expenses charged during the year (other than Distribution Expenses) (C)	50			50		
Distribution Expenses charged during the year (D)	50			0		
Value of above investment after 1 year from the date of allotment (after adding back all expenses charged) (E) [E= B+C+D]	10,800	1,000	10.8000	10,800	1,000	10.8000
Returns (%) (post all applicable expenses) (F) [F= (B-A)/A]		7.00%			7.50%	
Returns (%) (without considering any expenses) (G) [G= (E-A)/A]		8.00%			8.00%	

### Kindly note the following:

- The purpose of the above illustration is to purely explain the impact of expense ratio charged to the Scheme and should not be construed as indicative returns of the Scheme.
- Calculations are based on assumed NAVs, and actual returns on your investment may be more or less.
- · It is assumed that the expenses charged are evenly distributed throughout the year.
- The expenses of the Direct Plan under the Scheme will be lower to the extent of the above mentioned distribution expenses/ commission.

Any tax impact has not been considered in the above example, in view of the individual nature of the tax implications. Each investor is advised to consult his or her own financial advisor.

### (iii) Restriction on redemption:

### The following requirement shall be observed before imposing restriction on redemptions:

- a) Restriction may be imposed when there are circumstances leading to a systemic crisis or event that severely constricts market liquidity or the efficient functioning of markets such as:
- Liquidity issues when market at large becomes illiquid affecting almost all securities rather than any issuer specific security.
- Market failures, exchange closures when markets are affected by unexpected events which impact the functioning of
  exchanges or the regular course of transactions. Such unexpected events could also be related to political, economic,
  military, monetary or other emergencies.
- Operational issues when exceptional circumstances are caused by force majeure, unpredictable operational problems
  and technical failures (e.g. a black out). Such cases can only be considered if they are reasonably unpredictable
  and occur in spite of appropriate diligence of third parties, adequate and effective disaster recovery procedures and
  systems.
- b) Restriction on redemption may be imposed for a specified period of time not exceeding 10 working days in any 90 days period.
- c) Any imposition of restriction would require specific approval of Board of AMC and Trustees.
- d) When restriction on redemption is imposed, the following procedure shall be applied:
  - i. No redemption requests upto INR 2 lakh shall be subject to such restriction.
  - ii. Where redemption requests are above INR 2 lakh, AMC shall redeem the first INR 2 lakh without such restriction and remaining part over and above INR 2 lakh shall be subject to such restriction.

### (iv) Provision of advisory services

The AMC will offer non-binding, non-discretionary advisory services to pooled assets, as permitted under Regulation 24(b) of the SEBI (Mutual Funds) Regulations, 1996, as amended from time to time ("the Regulations").

Further, while providing these services, the AMC shall ensure that:

- i. There is no conflict of interest with the activities of the Fund;
- ii. There exists a system to prohibit access to insider information as envisaged under the Regulations; and
- iii. Interest of the Unit holder(s) of the Scheme(s) of the Fund are protected at all times.

The Securities and Exchange Board of India vide its letter date March 18, 2016 has communicated it's no objection to provide the aforesaid services

## **Product Differentiation**

The investment themes of the existing equity oriented and Index schemes of the Mutual Fund (along with the asset under management and number of folios) are as stated below:

Sr. No.	Name and type of the Scheme	Asset Allocation Pattern	Primary Investment Pattern/ Strategy	Differentiation	AUM as on September 30, 2022 (₹ in crores)	Number of Folios as on September 30, 2022
1.	L&T Midcap Fund – An open ended equity scheme predominantly investing in mid cap	<ul> <li>a) Equity and equity related securities: 80%- 100% of total assets.</li> <li>b) Debt Securities, Securitized Debt &amp; Money Market instruments (including cash/ call money): 0 - 20% of total assets.</li> </ul>	The investment strategy of the Scheme would be primarily to invest in mid cap equity and equity related securities as mentioned in the investment objective of the Scheme. The Scheme will invest in a universe of stocks, which has been arrived at using various filters like management quality, liquidity, competitive position and valuations. Using various analytical tools, management meetings and so on, the universe is continuously updated by our investment team. The strategy will be to build up diversified portfolio of quality stocks, with medium to long term potential	The Scheme seeks to generate return by investing primarily in midcap stocks as per the investment objective and asset allocation. The Scheme will invest at least 65% of its total assets in mid cap stocks. Mid Cap stocks will comprise of any equity and equity related instruments of companies that are ranked between 101st and 250th based on their market capitalisation.	6,580.80	356239
2.	L&T Flexicap Fund - An open ended equity scheme investing across large cap, mid cap, small cap stocks	<ul> <li>a) Equity and equity related securities: 80%- 100% of total assets.</li> <li>b) Money market instruments: 0-20% of total assets.</li> </ul>	The investment approach is bottom-up stock picking. The Scheme seeks to add the best opportunities that the market presents, without any sector/ cap bias. The key features of the Fund's investment strategy include diversification, bottom- up stock picking and no cap bias.	The Scheme is a diversified open-ended equity scheme that predominantly invests in the Indian markets without any sector or market cap bias. The Scheme does not have any style bias. The investment approach is bottom up stock picking.	2,787.19	122749
3.	L&T Large and Midcap Fund - An open ended equity scheme investing in both large cap and mid cap stocks	<ul> <li>a) Equity and equity related securities: 80%-100% of total assets.</li> <li>b) Money market instruments: 0-20% of total assets.</li> </ul>	<ul> <li>The Scheme is primarily a diversified equity fund, which seeks to maintain allocation of at least 35% each to Large cap and mid cap companies. The scheme may focus on bottom-up stock picking with an emphasis on "Special Situations" - these are situations that are out-of-the-ordinary and which therefore present interesting stock picking opportunities. The type of companies which falls within the scope of such Special Situations include but are not limited to:</li> <li>Companies whose growth potential.</li> <li>Companies whose growth potential, may not be fully recognised by the market.</li> <li>Companies with interesting product pipelines which could offer good earnings potential.</li> <li>Companies which could be potential candidates for mergers and acquisitions related activities. Such investments will be made across sectors and market caps.</li> </ul>	The Scheme is a diversified, open-ended equity scheme. The key focus is seeking investment opportunities in companies that could be facing situations that are out of the ordinary ('Special Situations"). The Scheme would maintain allocation of at least 35% each to Large cap and mid cap companies. Large cap and mid cap companies are defined as top 100 companies and 101st to 250th company by market capitalization respectively.	1,575.83	79735

Sr. No.	Name and type of the Scheme	Asset Allocation Pattern	Primary Investment Pattern/ Strategy	Differentiation	AUM as on September 30, 2022 (≹ in crores)	Number of Folios as on September 30, 2022
4.	L&T Tax Advantage Fund - An open ended equity linked saving scheme with a statutory lock in of 3 years and tax benefit	<ul> <li>a) Equity and equity related securities: 80%- 100% of total assets.</li> <li>b) Money market instruments: 0-20% of total assets.</li> </ul>	The investment strategy adopted by the Scheme is similar to L&T Flexicap Fund. However, it differs to a certain extent on account of the mandatory lock-in period for the subscriptions received under the Scheme.	The Scheme is an equity linked savings Scheme as per the Equity Linked Savings Scheme, 2005 notified by Ministry of Finance (Department of Economic Affairs). The Scheme is a diversified equity Scheme with a mandatory 3-year lock - in period. The Scheme predominantly invests in the Indian markets without any sector or market cap bias.	3,177.70	314969
5.	L&T India Large Cap Fund - An open ended equity scheme predominantly investing in large cap stocks.	<ul> <li>a) Equity and equity related securities (including Indian and foreign equity securities as permitted by SEBI/ RBI): 80%- 100% of total assets.</li> <li>b) Money market instruments: 0-20% of total assets.</li> </ul>	The Scheme will primarily be a diversified equity fund which will likely (in normal market conditions) invest predominantly in large cap stocks to generate long term capital appreciation. The investment approach is bottom- up stock picking. A limited exposure to various equity derivatives instruments is likely - for the purposes of hedging, portfolio balancing and optimizing returns.	The Scheme is a diversified, equity scheme that will predominantly invest in large cap stocks (at least 80%). Large cap stocks will comprise of any equity and equity related instruments of top 100 companies based on their market capitalisation.	724.99	57702
6.	L&T Infrastructure Fund - An open ended equity scheme investing in infrastructure sector.	<ul> <li>a) Equity and equity related instruments (including equity derivative instruments): 80%-100% of total assets.</li> <li>b) Debt and Money market instruments*: 0 - 20% of total assets.</li> <li>*Investment in securitized debt, if undertaken, will not exceed 20% of total assets</li> </ul>	The Scheme will invest primarily in companies that are engaged in the area of infrastructure. The industries that fall under infrastructure sector would broadly include Banking & Financial Services, Cement &	The Scheme Will predominantly invest in securities of the companies in the infrastructure sector.	1,405.01	88377
7.	L&T India Value Fund- An open ended equity scheme following a value investment strategy.	<ul> <li>Equity and equity related securities:</li> <li>a) Indian equity securities: 80- 100% of net assets.</li> <li>b) Foreign Securities including overseas ETFs (as permitted by SEBI/RBI): 0-10% of total assets.</li> <li>c) Debt Securities, Money market instruments, Cash and domestic ETFs: 0-20% of total assets.</li> </ul>	The Fund Managers aim to identify undervalued stocks having the potential to deliver long term superior risk-adjusted returns. Undervalued stocks would include stocks which the Fund Managers believe are trading at less than their assessed values.	The Scheme is a diversified, open- ended, value style bias equity fund that invests largely in undervalued companies across sectors and market caps. Such companies include companies whose shares, as per fund managers' analysis, are trading at less than their assessed values.	7,639.51	303693
8.	L&T Hybrid Equity Fund - An open ended hybrid scheme investing predominantly in equity and equity related instruments	<ul> <li>a) Equity and equity related securities: 65%-75% of total assets.</li> <li>b) Debt and money market instruments including units of debt/fixed income schemes launched by mutual funds registered with SEBI: 25%- 35% of total assets.</li> </ul>	For equity and equity related instruments, the Fund Manager(s) will generally aim to identify stocks which as per the Fund Manager's belief are sound, but which are mispriced. The Fund Manager(s) does this by analyzing a company's business model and financial parameters, valuations and business expectations. For investments in debt and money market instruments, the portfolio will be constructed and managed to generate returns to match the investment objective and to maintain adequate liquidity to accommodate funds movement. Capital appreciation opportunities could be explored by extending credit and duration exposure.	The Scheme is an open-ended hybrid equity scheme wherein the maximum exposure to equity and equity related securities is 75% and minimum exposure to debt and money market instruments (including units of debt/fixed income scheme launched by mutual fund registered with SEBI) is 25% of total assets.	4,563.13	127091

Sr. No.	Name and type of the Scheme	Asset Allocation Pattern	Primary Investment Pattern/ Strategy	Differentiation	AUM as on September 30, 2022 (≹ in crores)	Number of Folios as on September 30, 2022
9.	L&T Balanced Advantage Fund- An open ended dynamic asset allocation fund	<ul> <li>A). Equity and Equity related instruments – 65% to 100% of Total Assets</li> <li>A1). Net long equity – 20% to 90% of Total Assets</li> <li>A2). Equity and equity derivatives (arbitrage opportunities) – 0% to 70% of Total Assets</li> <li>B). Debt, Money Market Instruments and Government Securities (including Tri-Party Repo/ reverse repos and securitized debt) – 20% to 35% of Total Assets.</li> <li>The Scheme may invest upto 35% of its net assets in securitized debt.</li> </ul>	The investment strategy of the Scheme would be to allocate assets between equity and debt depending on prevailing market and economic conditions. Among the metrics considered for deciding the debt-equity mix at any point of time will be the interest rate cycle, equity valuations (P/E, P/ BV, Dividend Yield, Earnings yield, market cap to GDP ratio etc), medium to long term outlook of the asset class, etc. The objective of the equity strategy will be to build a portfolio of companies diversified across major industries, economic sectors and market capitalization that offer an acceptable risk reward balance. Investment in debt securities will be guided by credit quality, liquidity, interest rates and their outlook.	The scheme is an open ended with dynamic asset allocation and will have a diversified portfolio of equity and equity related securities including debt and money market instruments and arbitrage opportunities in the cash and derivatives segments of the equity markets	1,819.05	41047
10.	L&T Arbitrage Opportunities Fund - An open-ended scheme investing in arbitrage opportunities.	<ul> <li>a) Equity and equity related securities and equity derivatives: 65% to 90% of total assets.</li> <li>The Scheme may invest in Foreign Securities upto 10% net assets subject to the Eligible Investment Amount.</li> <li>The Scheme may invest upto 90% of net assets in equity derivatives.</li> <li>b) Debt and money market instruments including units of liquid schemes launched by mutual fund: 10% to 35% of total assets.</li> <li>In the event of adequate arbitrage opportunities not being available in the equity and derivative markets, 100% of the portfolio may be invested in short term debt and money market instruments (including units of liquid schemes of mutual funds).</li> </ul>	The investment strategy includes identifying and investing into arbitrage opportunities between spot/cash and futures prices of individual stocks. The Scheme may also invest in debt and money market securities instruments. The Scheme will deploy "Cash and Carry Arbitrage" strategy wherein the Fund Manager will evaluate the difference between price of an individual stock in the futures market and in the spot/cash market.	The Scheme seeks to generate return by investing in arbitrage opportunities in the cash and derivatives segments of the market as per the investment objective and asset allocation.	2,418.30	82613
11	L&T Business Cycles Fund- An open-ended equity scheme following business cycles based investing theme.	,	The Scheme is a thematic equity fund which invests predominantly in equity and equity related securities with focus on riding business cycles through dynamic allocation between various sectors and stocks at different stages of business cycles in the economy.	The Scheme is an open- ended equity scheme following business cycles-based investing theme. The Scheme Invests predominantly in equity and equity-related securities, including equity derivatives in Indian markets with focus on riding business cycles through dynamic allocation between various sectors and stocks at different stages of business cycles in the economy.	553.91	28720

Sr. No.	Name and type of the Scheme	Asset Allocation Pattern	Primary Investment Pattern/ Strategy	Differentiation	AUM as on September 30, 2022 (≹ in crores)	Number of Folios as on September 30, 2022
12	L&T Equity Savings Fund - An open ended scheme investing in equity, arbitrage and debt	<ul> <li>A. Equity and equity related instruments: 65% to 90% of total assets</li> <li>A1. Equity and equity derivatives (arbitrage opportunities): 20% to 50% of total assets</li> <li>A2. Net long equity: 15% to 45% of total assets</li> <li>B). Debt, Money Market Instruments and Government Securities (including TREP/ reverse repos, Credit default swaps, equity linked debentures, margin money and securitized debt): 10% to 35% of total assets</li> </ul>	The Scheme would primarily focus on investing in arbitrage opportunities in the cash and derivatives segment of the equity market and debt and money market instruments. The Scheme looks to invest a portion of the portfolio in equity and equity related instrument, without any hedging, with a view to generate long term capital appreciation.	The Scheme looks to invest in a mix of arbitrage opportunities in cash and derivative markets, debt and corporate bonds, along with un- hedged equity exposure. The Scheme aims to generate long term appreciation of capital which is commensurate with the overall level of risk undertaken which is expected to be lower than a normal equity scheme.	182.93	7081
13.	L&T Emerging Businesses Fund - An open-ended equity scheme predominantly investing in small cap stocks	<ul> <li>a) Equity and equity related securities (including indian and foreign equity securities as permitted by SEBI/ RBI): 65%-100% of total assets</li> <li>b) Debt and money market instruments: 0-35% of total assets</li> </ul>	The Scheme will primarily be a diversified equity fund which will invest at least 65% of the portfolio in small cap stocks to generate long term capital appreciation. Small cap stocks will comprise of any equity and equity related instruments of companies that are beyond top 250 companies based on the market capitalization. The investment approach is bottom- up stock picking.	The Scheme seeks to generate return by investing primarily in emerging companies (small cap stocks) as per the investment objective and asset allocation. Emerging companies are businesses which are typically in the early stage of development and have the potential to grow their revenues and profits at a higher rate as compared to broader market.	8,405.71	478858
14.	L&T Nifty 50 Index Fund - An open-ended Equity Scheme tracking NIFTY 50 Index.	<ul> <li>a) Equity and equity related securities covered by Nifty 50 Index: 95% -100% of total assets</li> <li>b) Debt &amp; Money Market Instruments: 0% - 5% of total assets</li> </ul>	The Scheme invest predominantly in stocks constituting the underlying index in the same proportion as in the Index and endeavor to track the benchmark index. A very small portion (0-5% of the Net Assets) of the fund may be kept liquid to meet the liquidity and expense requirements.	The Scheme shall predominantly invest in Stock comprising the Nifty 50 including derivative, debt, money market instruments, cash and cash equivalents.	106.46	10086
15.	L&T Nifty Next 50 Index Fund - An open-ended Equity Scheme tracking Nifty Next 50 Index	<ul> <li>a) Equity and equity related securities covered by Nifty Next 50 Index: 95% -100% of total assets</li> <li>b) Debt &amp; Money Market Instruments: 0% - 5% of total assets</li> </ul>	The Scheme invest predominantly in stocks constituting the underlying index in the same proportion as in the Index and endeavor to track the benchmark index. A very small portion (0-5% of the Net Assets) of the fund may be kept liquid to meet the liquidity and expense requirements.	The Scheme shall predominantly invest in Stock comprising the Nifty Next 50 including derivative, debt, money market instruments, cash and cash equivalents.	59.29	5578

For more details asset allocation pattern and investment strategy, investors are requested to refer to the Scheme Information Documents of the respective schemes.

Ready Reckoner For Schemes	1		I	
Scheme	Available Options	Available dividend Mode	Available dividend Frequency	Minimum Investment Amount (₹)
L&T Flexicap Fund	Growth & IDCW	Pay Out & <u>Reinvestment</u>	N/A	5,000
L&T Tax Advantage Fund	Growth & IDCW	Pay Out	N/A	500
L&T Large and Midcap Fund	Growth & IDCW	Pay Out & <b>Reinvestment</b>	N/A	5,000
L&T India Large Cap Fund	Growth & IDCW	Pay Out & <u>Reinvestment</u>	N/A	5,000
L&T Hybrid Equity Fund	Growth & IDCW	Pay Out & <u>Reinvestment</u>	Annual	5,000
L&T Balanced Advantage Fund	Growth & IDCW	Pay Out & <b>Reinvestment</b>	N/A	5,000
L&T Midcap Fund	Growth & IDCW	Pay Out & <b>Reinvestment</b>	N/A	5,000
L&T Infrastructure Fund	Growth & IDCW	Pay Out & <b>Reinvestment</b>	N/A	5,000
L&T Focused Equity Fund	Growth & IDCW	Pay Out & Reinvestment	N/A	5,000
L&T Arbitrage Opportunities Fund	Growth & IDCW	Pay Out & <b>Reinvestment</b>	Monthly & Quarterly	5,000
L&T Business Cycles Fund	Growth & IDCW	Pay Out & <b>Reinvestment</b>	N/A	5,000
L&T Equity Savings Fund	Growth & IDCW	Pay Out & <b>Reinvestment</b>	Monthly & Quarterly	5,000
L&T Emerging Businesses Fund	Growth & IDCW	Pay Out & <b>Reinvestment</b>	N/A	5,000
L&T India Value Fund	Growth & IDCW	Pay Out & <b>Reinvestment</b>	N/A	5,000
L&T Flexi Bond Fund	Growth & IDCW	Pay Out & <b>Reinvestment</b>	Annual	10,000
L&T Overnight Fund	Growth & IDCW	Pay Out & <u>Reinvestment</u>	Daily, Weekly & <u>Monthly</u>	10,000 for all options except Daily IDCW Reinvestment. For Daily IDCW Reinvestment- 1,00,000
L&T Banking and PSU Debt Fund	Growth & IDCW	Pay Out & <u>Reinvestment</u>	Daily, Weekly & <u>Monthly</u>	10,000
L&T Low Duration Fund	Growth & IDCW	Pay Out & <u>Reinvestment</u>	Annual	10,000
L&T Triple Ace Bond Fund	Growth & IDCW	Pay Out & <u>Reinvestment</u>	<u>Quarterly</u> ,Semi Annual & Annual	10,000
L&T Gilt Fund	Growth & IDCW	Pay Out & <u>Reinvestment</u>	Quarterly	10,000
L&T Conservative Hybrid Fund	Growth & IDCW	Pay Out & <u>Reinvestment</u>	Monthly & Quarterly	10,000
L&T Liquid Fund	Growth & IDCW	Pay Out & <u>Reinvestment</u>	Daily & <u>Weekly</u>	10,000 for all options except Daily IDCW Reinvestment. For Daily IDCW Reinvestment- 1,00,000
L&T Ultra Short Term Fund	Growth & IDCW	Pay Out & <u>Reinvestment</u>	Daily, Weekly, <u>Monthly</u> & Semi Annual	10,000 for all options except Daily IDCW Reinvestment. For Daily IDCW Reinvestment- 1,00,000
L&T Money Market Fund	Growth & IDCW	Pay Out & <b>Reinvestment</b>	Daily, Weekly, <u>Monthly</u>	10,000
L&T Credit Risk Fund	Growth & IDCW	Pay Out & <b>Reinvestment</b>	Annual	10,000
L&T Short Term Bond Fund	Growth & IDCW	Pay Out & <b>Reinvestment</b>	<u>Monthly</u> , Quarterly & Annual	10,000
L&T Resurgent India Bond Fund	Growth & IDCW	Pay Out & <u>Reinvestment</u>	Annual	5,000
L&T Nifty 50 Index Fund	Growth & IDCW	Pay Out & <u>Reinvestment</u>	N/A	5000
L&T Nifty Next 50 Index Fund	Growth & IDCW	Pay Out & <b>Reinvestment</b>	N/A	5000

If the Option, Dividend Mode or Dividend frequency is not indicated, the underlined Option, Dividend Mode and dividend frequency will be taken as default and processed accordingly

IDCW: Income Distribution cum Capital Withdrawal

Common	Application	Form
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Drawn on Bank

App. No.				Time Stamp
Please refer to the general instruction	ons for assistance and complete a	Il sections in English. For legibility,	, please use BLOCK LETTEF	RS in black or dark ink.
Distributor/RIA Code	Sub-Distributor ARN	Sub-Distributor Code	EUIN	Branch Code
	he transaction charges deductible are Resource to the section charges would be levied if you are	s. 150/- if you are investing in Mutual Fund	Is for the first time. If you are mak	r if your distributor has opted to receive transaction ing a SIP Investment, the transaction charges would an Rs.10,000/
Investor's Declaration where EUIN is not f	urnished: I/We confirm that the EUIN box	k has been intentionally left blank by me/u	us as this is an "execution only" t	ransaction without any interaction or advice by the
employee/relationship manager/sales pers and the distributor has not charged any ad Sole/1st Applicant	on of the above distributor and/or notwi visory fees on this transaction.		ss, if any, provided by the employ	ee/relationship manager/sales person of distributor
	i			to Investment & Payment Information section.)
1. EXISTING UNIT HOLDER S		o with L&T Mutual Fund, please furnish		to investment & Payment mormation section.)
Name of Sole/1st Unit Holder I Mr.	Ms. M/s First Name	e Middle Name	Last Name	Folio No.
PAN/PEKRN#	KIN^		Date of Bir	th <sup>^</sup>   D   D   M   M   Y   Y   Y   Y
Mobile No. +91-	E-mail Id			
Applicable for Non-Indivuduals				
Legal Entity Identifier (LEI) Number ^ ^Legal Entity Identifier (LEI) is applica	able for both Inward (Subscriptions)	& Outward (Redemptions /IDCWs) r	<b>J J J J</b>	EI) Expiry Date D D M M Y Y Y Y d above from Non Individuals
2. NEW APPLICANT(S) PERSO	ONAL INFORMATION			
Name of 1st/Sole Applicant	□ Ms. □ M/s First	Name	Middle Name	Last Name
PAN/PEKRN#	KIN^		Date of Birth <sup>^</sup>	
Mobile No.* +91	C -Dependent Children DS- Dep	pendent Siblings DP- Dependent		(Mandatory if first applicant is a minor) PM- PMS CD-Custodian PO-POA
E-mail Id*	· · · · · · · · · · · · · · · · · · ·	_		
*Mobile No and Email ID registration		<b>.</b> .		PM- PMS 🗌 CD-Custodian 🗌 PO-POA
Applicable for Non-Indivuduals		5113.		
Legal Entity Identifier (LEI) Number ^			Legal Entity Identifier (LE	El) Expiry Date D D M M Y Y Y Y
^Legal Entity Identifier (LEI) is applica Guardian (For Minor Investmen	· · · · ·	, , ,	emittance of Rs 50 Crores ar	d above from Non Individuals
Name  Mr.  Ms.  M/s	First Name	Middle Na	ime	Last Name
PAN/PEKRN <sup>#</sup>	KIN <sup>^</sup>		Date of Birth <sup>^</sup>	D     D     M     Y     Y     Y       (Mandatory if first applicant is a minor)
	DC -Dependent Children DS- De	pendent Siblings 🗌 DP- Dependent	Parents GD-Guardian	PM- PMS CD-Custodian PO-POA
	DC -Dependent Children 🗌 DS- De	pendent Siblings 🗌 DP- Dependent	Parents 🗌 GD-Guardian 🗌	PM- PMS 🗌 CD-Custodian 🗌 PO-POA
*Mobile No and Email ID registration	ons are subject to further validation	ons.		
Relationship with Minor Applicant	Proof of Date of Birth	O Passport Copy		minor Passport Copy O Court Appointment Order
Court Appointment Guardian 3. DETAILS OF OTHER APPL	Oullers		Others inor. no ioint holders are a	
Name of 2nd Applicant  Mr.  Mr.		••	/iddle Name	Last Name
PAN/PEKRN <sup>#</sup>	KIN <sup>^</sup>		Date of Birth <sup>^</sup>	
Mobile No. +91-	E-mail Id			(Mandatory if first applicant is a minor)
Name of 3rd Applicant	s. 🗆 M/s First Nar	ne	/iddle Name	Last Name
PAN/PEKRN <sup>#</sup>	KIN <sup>^</sup>		Date of Birth	
Mobile No. +91- *Investors providing e-mail id will rec postal address, please tick here KYC is mandatory. Please enclose co ^ 14 digit KYC Identification Number	pies of KYC acknowledgement lette	ers for all applicants. #PEKRN require	ed for Micro investments upto	
ACKNOWLEDGEMENT SLIP (To b		······		L&T Financial Services
Received from			an application for	Mutual Fund
investment in Scheme L&T		Option		App. No.
Investment Type (✓) ○ Lumps			O Multi-Scheme Lumpsum	For Office Use Only
Investment Cheque Details : Instrume		Rs Dated		Acknowledgement Stamp & Date
Drawn on Bank	Branch	City		- Stamp & Date 1

Branch \_\_\_\_

\_\_\_ City \_\_\_\_

|--|

4. Address (Address as per KRA records will overwrite this address if you are KYC compliant)					
Complete Correspondence Address					
Overseas Address (Mandatory for NR	Is/PIOs)				
City/Town	Pin	State	Country		
Tel (R) (ISD) (STD)	Tel (O) (STD)	<b>Fax</b> (ISD) (§	STD)		
5. Tax status of Sole/First Applicant Resident Indian Individual Non Resident Indian Individual (NRI) - Non Resident Indian Individual (NRI) - Minor (Resident Indian) Minor (NRI - Repatriable) Minor (NRI - Non Repatriable) Hindu Undivided Family (HUF) - Indiar Hindu Undivided Family (HUF) - NRI - Repatriable Person of Indian Origin (PIO)	Sole Proprietorship     Partnership Firm     Public Ltd. Co.     Private Ltd. Co.     Body Corporate     Unlisted Company     Government Body     Non-     Provident Fund / EPF /     Mutual Fund     Trust	<ul> <li>Society</li> <li>Superannuation Fund</li> </ul>	<ul> <li>Overseas Corporate Body</li> <li>Non Govt. Organization (NGO)</li> </ul>		
6. BANK ACCOUNT INFORMATION	(Mandatory for receiving Redemption)	/IDCW payments) Account Type: O Saving	as O Current O NRE O NRO		
Account Number		Please ✓any one ○ FCNR	-		
Bank Name		Branch			
City		MICR			
	om the above mentioned bank account, p o attach proof of the Minor bank account	please attach an original cancelled cheque in case of Minor Investments.	e leaf of the above account with the name		
7. MODE OF HOLDING		0			
Please ✓ ○ Sole/1st Holder only (If the mode of operation is not specified,	<b>,</b>	Joint ant, the mode of operation would be taken as	s "Any one or Survivor")		
8. NOMINATION DETAILS (Please not	e that where the sole/1st applicant is a m	inor, no nomination is allowed)			
A) I / We wish to Nominate //We do hereby nominate the person(s) n payments and settlements made to Nomi	inee(s), and signature(s) of the Nominee(s)	of holding my/our credit in my/our folio in the event of acknowledging receipt thereof, will be note red by the AMC, thus overwriting all earlier n	d as the valid discharge by the AMC/Mutual		
Particulars	1st Nominee	2nd Nominee	3rd Nominee		
Name					
Relationship with Sole / First Unitholder					
Allocation %					
PAN					
Date of Birth (in case nominee is a minor)	D D M M Y Y Y Y	D D M M Y Y Y Y	D D M M Y Y Y Y		
Guardian Name (in case nominee is a minor)					
Relationship with Minor	○ Father ○ Mother ○ Court appointed guardian	○ Father ○ Mother ○ Court appointed guardian	○ Father ○ Mother ○ Court appointed guardian		
Complete Address					
Pincode					
Signature of Guardian (if nominee is minor)					
Signature of Nominee					
B) I / We do not wish to Nominate (Nomination OPT-OUT): I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.					
Sole/First Applicant/G	uardian 🖉 So	econd Applicant	📧 Third Applicant		
This is only acknowledgment of receipt of application and will be processed as per the contents filled in the application, subject to realisation of cheque and furnishing of mandatory information/ documents.					

Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021. Please retain this slip till you receive your account statement.

call 1800 4190 200 or 1800 2000 400 email investor.line@Intmf.co.in www.ltfs.com

Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday

9. INVESTMENT	& PAYMENT INFORMATI	ON (Please ensure t	hat the cheque con	nplies to tl	he CTS 2010 standa	rds)	
1. Investment Type	e (✔) ◯ Lumpsum ◯ Micro SIP (For SIP/Mi		ulti-Scheme Lumpsu	IM	○ Multi-Scheme Sl	P (Please fill Multi-Scheme	SIP Investment Form)
For Lumpsum & Sl	IP Investment (Please issue						
Investment Amoun	nt (₹)	DD Charges	s (if applicable ₹)			Net Amount (₹)	
Scheme Name   &	Τ		1			/ <sup>@</sup> Payout ○ IDCW <sup>@</sup> Reinv	
	(√wherever applicable)					Annual^ Semi-/	
	(P/Multi-Scheme Lumpsum (P		•		,		
	mount (₹)	-	-				
Scheme 1 : L&T				Option (v		CW <sup>@</sup> Payout ○ IDCW <sup>@</sup> Rei	nvestment 〇 Bonus^
Amount (₹)				IDCW <sup>@</sup> F	requency		
Scheme 2 : L&T				Option (v	✓) ○ Growth* ○ ID(	CW <sup>@</sup> Payout ○ IDCW <sup>@</sup> Rei	nvestment 〇 Bonus^
Amount (₹)				IDCW <sup>@</sup> F	requency		
Scheme 3 : L&T				Option (•		CW <sup>@</sup> Payout ○ IDCW <sup>@</sup> Rei	nvestment 〇 Bonus^
Amount (₹) <sup>@</sup> Note: IDCW stands sale price that repre	s for 'Income Distribution cum sents realized gains, as may	Capital Withdrawal op	tion'. The amounts c ustees at its discretion	can be distr	requency	s' capital (Equalization Rese	rve), which is part of the
in accordance with t		···· <b>,</b> · ·					
-	: For Lumpsum and SIP/M		-				
○ Cheque / DD / Pa				<i>,</i> .	Lumpsum and SIP Ir		
If cheque / DD / Pa	y Order, please fill Instrumer	nt No.	Instru	ument Date	D D M M Y	Y Y Y	
					Ne	et Amount (₹)	
Drawn on	Bank Name		Bank Bra	anch		Bank City	/
Account Type (✓)	⊖ Saving ⊖ Cu	urrent O NRE	○ NRO		R Others		
If electronic transf	<b>er,</b> please fill UTR No.						
Amount	Debit Ba	ank Name			Account No		
If One Time Manda	<b>ite,</b> Please fill, Unique Manda	te Reference Number	(UMRN)				
Amount	Debit Ba	ank Name			Account No		
Note: Effective Febru amount. Net Asset V	t selected ^Available in s to avoid Third Party Payment uary 1, 2021, units will be allot /alue (NAV) will be applied bas S (Mandatory. If left blank th	ted only upon receipt of ed on realization of fu	oplicable : D Bank of subscription amou nds for all purchases	ker's Certific ant by the F	ate for DD 🔲 Third P Fund House for utilisat	ion irrespective of any sche	m me category/investmen
CATEGORIES	First Applicant/	Guardian	See	cond Appl	icant	Third Ap	plicant
	O Below 1 lac	○ 1-5 Lacs	O Below 1 lac		○ 1-5 Lacs	O Below 1 lac	○ 1-5 Lacs
Gross Annual Income	<ul> <li>5-10 Lacs</li> <li>25 Lacs - 1 crore</li> </ul>	<ul> <li>○ 10-25 Lacs</li> <li>○ &gt; 1 Crore</li> </ul>	<ul> <li>5-10 Lacs</li> <li>25 Lacs - 1 cro</li> </ul>	ore	<ul> <li>10-25 Lacs</li> <li>&gt; 1 Crore</li> </ul>	<ul> <li>5-10 Lacs</li> <li>25 Lacs - 1 crore</li> </ul>	<ul> <li>○ 10-25 Lacs</li> <li>○ &gt; 1 Crore</li> </ul>
(For Individuals	Net-worth in (Mandatory for	Non-Individuals)	Net-worth			Net-worth	
and Non Individuals)	(₹)	as on	(₹)		as on	(₹)	as on
		(Not older than 1 year)	DD/MM/Y		Not older than 1 year)		(Not older than 1 year)
Occupation Details (For Individuals only)	<ul> <li>Private Sector Service</li> <li>Public Sector Service</li> <li>Government Service</li> <li>Business</li> <li>Professional</li> </ul>	<ul> <li>Retired</li> <li>Student</li> <li>Forex Dealer</li> <li>Agriculturist</li> <li>Housewife</li> </ul>	<ul> <li>Private Sector 3</li> <li>Public Sector 5</li> <li>Government Se</li> <li>Business</li> <li>Professional</li> </ul>	Service ervice	<ul> <li>Retired</li> <li>Student</li> <li>Forex Dealer</li> <li>Agriculturist</li> <li>Housewife</li> </ul>	<ul> <li>Private Sector Service</li> <li>Public Sector Service</li> <li>Government Service</li> <li>Business</li> <li>Professional</li> </ul>	<ul> <li>Retired</li> <li>Student</li> <li>Forex Dealer</li> <li>Agriculturist</li> <li>Housewife</li> </ul>
<b>C</b> (1)	Others Plea	se specify Person	Others		e specify Person	Others Ple	ase specify
Others (For Individuals only)	<ul><li>I am Related to Political</li><li>Not Applicable</li></ul>		<ul> <li>I am Related to</li> <li>Not Applicable</li> </ul>	o Politically		<ul> <li>I am Related to Politica</li> <li>Not Applicable</li> </ul>	
Additional KYC De	tails for Non-Individuals Is the company a Listed Co	many or Subsidions	of Listed Company of	or Controller	d by a Listed Company		○ NO
Others (For Non-	Is the company a Listed Co (If No, please attach Ultima If the Entity involved/providi	te Beneficiary Owners	hip Declaration man	ndatorily)	by a Listed Compar 6 (Please ✓ from belo	,	
Individuals only)	⊖ Gaming/Gambling/Lotter	• ,	-	Exchange/	Money Changer Ser	vices O Money Lendin	g/Pawning

## 11. INFORMATION REQUIRED FOR TAX REPORTING (Mandatory. If left blank the application is liable to be rejected)

Category	Sole/First Applicant/Guardian	Second Applicant	Third Applicant			
Gender						
Father's Name						
Type of address given at the KRA	○ Residential or Business	○ Residential or Business	○ Residential or Business			
	⊖ Residential	○ Residential	⊖ Residential			
	⊖ Business	⊖ Business	⊖ Business			
	○ Registered Office	○ Registered Office	<ul> <li>Registered Office</li> </ul>			
Permissible documents are O Passport O Election ID Card O PAN Card O Govt. ID Card O Driving License O UIDAI Card O NRE/GA Card O Others						
Country/Place/City of Birth						
Country of citizenship/nationality	$\odot$ Indian $\odot$ U.S. $\odot$ Others	$\odot$ Indian $\odot$ U.S. $\odot$ Others	○ Indian ○ U.S. ○ Others			
	(Please, specify)	(Please, specify)	(Please, specify)			

I am a tax resident of India and not a resident of any other country  $\, \odot \, {
m Yes} \,$ 

If No, please mandatorily enclose the FATCA & CRS Declaration for Individual Investors.

FOR NON-INDIVIDUALS: Please mandatorily enclose the FATCA, CRS & UBO Declaration for Non Individuals with all the sections filled.

### 12. POWER OF ATTORNEY (PoA) HOLDER DETAILS

If your investment is being made by a Constituted Attorney on your behalf, please furnish the below details and enclose a original <u>notarised copy</u> of the Power of Attorney for registering the same:

POA Holder's Name 🗆 Mr. 🗆 Ms.	First Name	Middle Name	
POA for O Sole / First Applicant O Secon	d Applicant 🔘 Third Applicant	E-mail Id	

PAN of POA Holder Date of Birth<sup>^</sup> D D M M Y Y Y Y (POA Holder needs to comply with applicable KYC requirements). <sup>^</sup> 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).

### 13. DEMAT ACCOUNT INFORMATION (Mandatory for crediting units in demat account)

If you wish to hold your investment in dematerialised mode please furnish the below details and enclose a copy of the Client Master that you may have received from your Depository Participant. ONSDL OCDSL

NSDL/CDSL: Depository Participant Name \_\_\_\_\_\_ Depository Participant ID \_\_\_\_\_\_ Beneficiary A/c No. \_\_\_\_\_\_ Enclosed: O Client Master O Transaction / Statement Copy / DIS Copy

### 14. DECLARATION, CONSENT & SIGNATURES

I/We have read and understood the contents of the Scheme Information Document, Statement of Additional Information and Key Information Memorandum of the aforesaid Scheme(s) of L&T Mutual Fund including the sections on "Who cannot invest", "Foreign Account Tax Compliance Act (FATCA) / Common Reporting Standard (CRS)" ("Reporting Guidelines")" and "Important Note on Anti Money Laundering, Know-Your-Customer and Investor Protection". I/We hereby apply for allotment/purchase of Units in the Scheme(s) and agree to abide by the terms and conditions applicable thereto. I/We hereby declare that I/We ann/are authorised to make this investment and that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any authority in India. I/We hereby authorise L&T Mutual Fund ("the Fund"), its Investment Manager ("LTIM") and its agents to disclose details of my investment to my bank(s)/ Fund's bank(s) and/or Distributor/Broker/Investment Adviser/any governmental or regulatory authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing schemes of various Mutual Funds from amongst which the Scheme(s) is being recommended to me/us. I/We accept and agree to abide by the terms and conditions and privacy policy (as mentioned on HYPERLINK "www.Iffs.com/) with respect to my/our dealings with L&T Mutual Fund/its Investment Manager through various channels. In case there is any change in the information (especially pertaining to the Reporting Guidelines) already provided to LTIM / Fund, I/We agree that I/We sall inform the same to LTIM/Fund within 30 days of the change. I/We authorize LTIML/Fund/RTA, to share the information provided by me / us with other SEBI Registered Intermediaries. I/We authorize LTIML/Fund/RTA, to share the information provided by me / us wi

I/We hereby accord my/our consent to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/us, including all changes, updates to such information as and when provided by me/ us to the group companies of L&T Financial Services for any valid business purposes including marketing or sales promotion or with any statutory or judicial authorities, without any prior intimation to me/us, until notified by me/us otherwise.

APPLICABLE FOR NON-ADVISORY TRANSACTIONS ONLY: I/We, hereby acknowledge and confirm that the above transaction is "Execution Only" as explained vide SEBI Circular No. CIR/IMD/DF/13/2011 dated 22 August 2011. This investment is being made notwithstanding the advice of the appropriateness/inappropriateness of the same. On such transaction(s), I am not being charged any kind of transaction fee(s) by the AMFI registered distributor. On this transaction, the distributor would be compensated by the Mutual Fund House/Asset Management Company concerned in lines with the commission rate(s) disclosed by the distributor.

\*APPLICABLE FOR NRIs/PIOs/FIIs/FPIs INVESTING ON REPATRIATION BASIS ONLY: I/We confirm that I am/we are Non-Resident(s) of Indian Nationality/Origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE/FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE/FCNR Account.

APPLICABLE FOR INVESTMENT THROUGH SEBI REGISTERED INVESTMENT ADVISER / PORTFOLIO MANAGER : I/We hereby give you my/our consent to share/provide the transactions data feed/ portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan to the above mentioned SEBI Registered -Investment Adviser/ Portfolio Manager.

Date: D D M M Y Y Y Y

Sole/First Applicant/Guardian

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## **GENERAL INSTRUCTIONS FOR COMMON APPLICATION FORM**

Please read the below instructions carefully before filling the form. Please fill up the form in English in BLOCK LETTERS with black or dark ink. All information sought in the form is mandatory except where it is specifically indicated as optional. All instructions & notes are subject to SEBI & AMFI guidelines as amended from time to time. Please note in case of any error while fi lling the form all applicants must sign against the corrections.

 Existing Unit Holders: If you hold a folio with us, please furnish the Folio Number, Name and PAN of Sole/First Applicant in Section 1 of the Form. Your investment would be processed in the specified folio.
 Your personal information and bank account details would apply to this

investment as well.

2. New Applicants: New Applicants need to provide their personal information in Section 2 of the Form.

If there are more than one applicants and the mode of operation of the account is not indicated, the same would be treated as "Any one or Survivor".

### In case of Non-Individual Applicants :

a. SEBI has mandated identification of Ultimate Beneficial Owners for non-individual investors other than listed companies (and majority owned subsidiary of such companies).

If the applicant investing is not the ultimate beneficiary owner, please also fill up the Declaration For 'Ultimate Beneficiary Ownership' form to furnish details of such person(s).

- b. The Foreign Account Tax Compliance Act (FATCA) of the USA, stipulates identification of US account holders and reporting of details related to US Account Holders to the Inland Revenue Service (IRS) in the U.S. India has agreed to sign the Inter-Governmental Agreement with USA which inter alia includes reporting of holdings and transactions in specified US Accounts. Accordingly, certain additional information are being sought from investors. Non-Individual investors also need to indicate if they qualify under any of the heads of classification stipulated under FATCA.
- 3. Investments by Minor Applicants: If the Sole/First applicant is a minor i.e. below 18 years of age:
  - a. Payment for investment by means of Cheque, Demand Draft or any other mode shall only be accepted from the bank account of the minor or from a joint account where the minor is one of the holders with the guardian.
  - b. details of Guardian for the minor applicant needs to be provided
  - c. the relationship of the Guardian with the Applicant needs to be indicated in the form
  - d. proof of date of birth of the applicant and the relationship of the guardian with the applicant needs to be provided
  - e. the Guardian's PAN needs to be provided
  - f. the Guardian needs to comply with applicable KYC requirements.

Please further note that joint applicants are not permitted where the Sole / First applicant is minor. Please also note that a minor cannot be a joint applicant in a folio.

- **4. Investments by Attorneys**: Where the investment is being made by a Constituted Attorney on behalf of the applicant,
  - a. the name and PAN of the POA Holder needs to be provided in the form
  - a notarized copy of the Power of Attorney duly signed by the Applicant & the Constituted Attorney needs to be attached with the application. Alternatively, the original POA can be submitted which will be returned after verification.
  - c. nomination on behalf of an applicant signed by an attorney would not be registered.
  - d. The Fund House reserves the right to hold redemption proceeds in case the requisite documents are not submitted.
- 5. Micro Investments: As per AMFI notification and guidelines issued on July 4, 2009, SIPs or lumpsum purchases by eligible investors in a rolling 12 month period or in a financial year not exceeding Rs. 50,000 shall be exempt from the requirement of PAN. From January 1, 2012, KYC is mandatory for all holders of Micro investments.
  - a. The exemption of PAN requirement is only available to individuals.
  - b. In case of investments held jointly, the first holder must not possess a PAN.
  - c. Eligible investors may invest through SIP or lumpsum purchase without providing PAN subject to the threshold amount as mentioned above.
  - d. Eligible investors should attach a copy of KYC acknowledgment letter quoting PAN exempt KYC Reference No. (PEKRN) along with the application form.

### 6. KYC (Know Your Customer):

- a. KYC is mandatory for investment in mutual funds and all applicants need to comply with this requirement.
- b. With effect from 1st February 2017, any individual customer who is new to KRA system and whose KYC is not registered or verified in the KRA system, needs to fill in new KYC form viz., "CKYC Form" to comply with the KYC requirements. For more information on KYC requirements, please visit our web site (www.lntmf.com) or speak to your investment adviser.

For non-Individual applicants, KYC Compliance requires identification of the customer by seeking relevant information on incorporation, commencement of business, tax status, nature of entity, nature of business, address and certain details of Promoters/Partners/ Trustees/Whole Time Directors/Kartas of HUF. SEBI has appointed KYC Registration Agencies ( KRA) to facilitate a single KYC across all SEBI registered intermediaries. For more information on KYC requirements, please visit our web site or speak to your investment adviser.

- c. If the investment is being made on behalf of a minor applicant, the Guardian needs to comply with KYC requirements.
- d. If the investment is being made by a Constituted Attorney on behalf of an applicant, the Attorney needs to comply with KYC requirements.

### 7. Address & Contact Information:

- a. Please furnish your full address with postal code in the Application Form. PO Box Number is not sufficient
- b. Please note that your address on our records would be automatically updated with your address appearing in the records of the KRA. If your address in the application form is different from what appears in the records of the KRA, please ensure that your latest address is updated in the records of the KRA.
- c. Please provide the Mobile Number and E-Mail Address of the Sole / First Applicant in case of of Individual Applicant and Key Contact Person in case of Non-Individual Applicants in the form. This would help us seamlessly communicate with you on your investments.
- d. Please tick the appropriate boxes of the family code (mentioned below the Mobile Number and E-Mail Address) to ascertain the Actual / End user of the given Mobile Number and E-Mail Address.
- 8. Permanent Account Number (PAN): Furnishing of PAN is mandatory for all applicants except where specific dispensation is provided under law.
- 9. Demat Account: If you wish to hold your investments in the Dematerialised mode, please provide the name and DP ID of your Depository Participant and your Beneficiary Account Number. Please also attach a copy of the Client Master that you may have received from your Depository Participant.

Please ensure that the sequence of names in the application form matches the sequence in which the Demat account is held. If the sequence of names does not match with the Demat account details provided therein, the Units will not be transferred to the Demat Account and the Units will be held in the physical mode.

Please note that this is an additional facility offered to unit holders who wish to hold units in the dematerialised mode. This is not a mandatory requirement and unit holders can continue holding units physically with the mutual fund. If you do not wish to hold your mutual fund units in the dematerialised form, please strike off this section.

If the demat account details in the application form are incomplete/incorrect or does not match with the records of the Depository, units would be allotted and held physically in the Fund's books and an Account Statement confirming the unit allotment would be sent to you..

### 10. Bank Account:

- a. Please furnish your complete Bank Account details to be registered against your folio for redemption / IDCW payments.
- b. If your investment cheque is not being issued from the Bank Account indicated in the Section tiltled "Bank Account Information" please attach an original cancelled cheque leaf of this account for verification. If the cheque leaf does not have your name pre-printed, please additionally provide an extract of your bank statement or passbook duly attested evidencing the correctness of your bank details or a letter from your bank confirming your bank details.
- c. Electronic Payments: We offer electronic payment of redemptions and IDCWs. To avail this facility please furnish :
  - IFSC This is a 11 character code. If you don't see this printed on your cheque leaf, please ask your Bank for the same ;
  - MICR Code This is a 9 digit number appearing next to your cheque number;
  - **CBS Bank Account Number** Most banks have now implemented core-banking solutions leading to a change in Account Number of customers. Please provide your latest core banking Account Number.
- d. We offer the facility to register multiple bank accounts against your investments. If you wish to avail this facility, please ask for a Multiple Bank Details Registration Form.
- e. If your units are held in the Dematerialised Form, please note that your Bank Account Details appearing in the Depository's records would be considered for redemption / IDCW payments.
- **11. Nomination**: You can appoint upto a maximum of 3 nominees for your investments. Please note the following with respect to nomination.
  - a. The nomination can be made only by individuals / sole proprietorship firm applying for/holding units on their own behalf singly or jointly.

Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu undivided family, holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders will sign the nomination form.

- b. A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unitholder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- c. The Nominee shall not be a trust, other than a religious or charitable trust, society, body corporate, partnership firm, karta of Hindu undivided family or a Power of Attorney holder. A Non-Resident Indian can be a Nominee subject to the exchange controls in force, from time to time.
- d. In view of the SEBI circular dated July 29, 2022, in the event of non-submission of nomination details/opt out status, with effect from April 1, 2023, the folio will be liable to be frozen for debits.

For more details on Nomination, please refer the Statement of Additional Information (SAI).

### 12. Investment & Payment Details:

- a. Please mention the name of the Scheme where you plan to make your investment and your preferred option. If you do not indicate your preferred option, your application would be processed based on the terms & conditions set out in the Scheme Information Document.
- b. If there is a difference in the scheme name between application form and investment cheque issued, scheme on the application form will be considered for processing.
- c. If you are not investing through a Distributor, please suffix "Direct Plan" after the scheme name.
- d. Your investment cheque should be crossed "Account Payee only" and drawn favouring the scheme name where the investment is in a specific scheme.
- e. Please ensure that the investment cheque issued by you complies with CTS 2010 requirements stipulated by the Reserve Bank of India. The words "CTS 2010" should appear on the face of the cheque.
- f. Payments made by Cash/Money Order/Postal Order, Non-MICR cheques Outstation cheques are not accepted. Post dated cheques will not be accepted except for investment made under Systematic investment Plan.

### List of documents to be submitted along with the application form:

- g. Third Party Payments for investments are not accepted except in the below cases :
  - Payment by employer on behalf of employees under SIP or lump sum subscription through Pay Roll deductions or deductions out of expense re imbursements.
  - Custodian on behalf of an FII or Client made by Custodian.
  - Payment by an AMC to its empanelled distributor on account of commission/incentive etc. in the form of Mutual Fund units through SIP or lump sum/one time subscription.
  - Payment by a Corporate to its Agent/Dealer/Distributor on account of commission or incentive payable for sale of its goods/services in the form of Mutual Fund units through SIP or lump sum/one time subscription.
- h. Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.
- 13. Signatures: All signatures should be in English or any other Indian Language. Thumb impressions should be from the left hand in case of males and right hand in case of females. All such thumb impressions need to be attested by a Magistrate, Notary Public or Special Executive Magistrate under his/her official seal.

## Investors are requested to sign both under the nomination section (8) and also on the declaration portion (14).

**14.** Employees Unique Identification Number (EUIN): If you are making this investment based on an investment advise received from your distributor, please quote the Employees Unique Identification Number (EUIN) of your relationship manager in the Application Form.

If your distributor has, however, not given you any advice pertaining to the investment or your investment decision is not withstanding the advice provided by your distributor regarding inappropriateness of the scheme visà-vis your investment needs, the EUIN box may be left blank. In this case, please sign in the space provided in the respective section to confirm your acceptance to the declaration stated therein.

Serial	Documents	Individuals	NRIS	Investments through Constituted Attorney	Companies	Trusts	Societies	Partnership Firms
1	Signed A/c Payee cheque/draft favouring the scheme	~	~	$\checkmark$	~	~	✓	$\checkmark$
2	KYC acknowledgment	~	~	$\checkmark$	~	~	✓	$\checkmark$
3	Copy of cancelled cheque ( Required where pay out bank details are different from the instrument bank)	√	~	$\checkmark$	~	~	√	$\checkmark$
4	Proof of Date of Birth (For Minor investments)	~	~					
5	Third Party declaration where applicable	~	√					
6	FIRC where payment is made through a DD from NRE/ FCNR A/c where applicable		~					
7	Client Master List (Required for crediting units in Demat Account)	√	~	$\checkmark$	~	~	✓	$\checkmark$
8	Notarised Power of Attorney			$\checkmark$				
9	Resolution/Authorisation to invest				~	~	✓	$\checkmark$
10	List of authorized Signatories with Specimen Signatures				~	~	✓	$\checkmark$
11	MOA & AOA( Not required if the KYC status is VERIFIED)				$\checkmark$			
12	Trust Deed					~		
13	Bye Laws						✓	
14	Partnership Deed							$\checkmark$
15	FATCA Declaration	√	~	$\checkmark$	~	~	✓	√

All documents numbered 8-14 should be originals or true copies certified by the Director/Trustee/Company Secretary/Authorised Signatory/Notary Public/ Partner as applicable.

## **Note:** For product labelling please refer to the cover page.

 call 1800 4190 200 or 1800 2000 400
 email investor.line@lntmf.co.in
 www.lntmf.com

Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday.

## Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

CL09945

## Systematic Investment Plan (SIP) / Micro SIP Form

Please refer to the general instructions for ass	istance and complete all sections in Englisi	n. For legibility, please use BLOCK LE	TTERS in black or dark ink.	Time Stamp
Distributor/RIA Code	Sub-Distributor ARN	Sub-Distributor Code	EUIN	Branch Code
Investor's Declaration where EUIN is not furnishe	ed: I/We confirm that the EUIN box has been inter	ntionally left blank by me/us as this is an "	execution only" transaction without any interaction	ributor has opted to receive transaction charges for tion charges would be deducted over 3-4 instalments. n any mutual fund, please tick here no nor advice by the employee/relationship manager/sales n has not charged any advisory fees on this transaction.
✓ Sole/1st Applicant	🗷 2nd App	licant	⊮ 3rd Applicant	
OTM DEBIT MANDATE FOR	M FOR NACH/ECS/AUTO I	DEBIT	· · · · · · · · · · · · · · · · · · ·	
		Office use only		Date D D M M Y Y Y Y
Utility Code				lodify O Cancel
Sponsor Bank Code	I	/We hereby authorize	L&T Mut	tual Fund
to debit ( $\checkmark$ ) $\bigcirc$ SB $\bigcirc$ CA $\bigcirc$ CC	○ SB-NRE ○ SB-NRO ○ Othe	r Bank A/c No.		
With Bank	Bank Name		IFSC/ MICR	
an amount of Rupees	A	mount in words		₹
Debit Type Fixed Amount	Maximum Amount Frequ	ency Monthly X C	Nuarterly $\boxtimes$ Half Yearly $\boxtimes$	Yearly 🗹 As & when presented
Reference 1		Reference 2		
1 Lagree for the debit of mandate proce	essing charges by the bank whom I am	authorizing to debit my account a	as per latest schedule of charges of the l	bank. 2. This is to confirm that thae declaration
has been carefully read, understood & n	nade by me/us. I am authorising the us	ser entity / Corporate to debit my	account, based on the instructions as a	greed and signed by me. 3. I have understood or the bank where I have authorized the debit.
Period				
From DDMMYY	YY			
To         3         1         1         2         2         0         9           or         X         Until Cancelled         X	9     9       Image: Signature of First       1.		nature of Second Account Holder Name as in Bank Records	<ul><li>Signature of Third Account Holder</li><li>Name as in Bank Records</li></ul>
APPLICANT INFORMATION				
	First Name	Middle Name	Last Name	
Name of Sole/1st Unit Holder			1 1	Folio No.
PAN/PEKRN**	rst Unit Holder	Şecond Unit H	older	Third Unit Holder
KIN* First Uhi	t Holder	Se¢on¢ Urlit Ho	older	Third Unit Holder
	M M Y Y Y Y Date of Bir	th* (2nd Unit Holder) D   D   M	M   Y   Y   Y   Y Date of Birth* (3r	d Unit Holder) D D M M Y Y Y Y
Mobile No. +91	Mandatany if laft blank the anni	E-mail ID	١	
•				the folio. (If selected, Section 4 to be filled in mandatorily)
○ OTM Debit Mandate is already r	registered in the folio. Please fill, Un	ique Mandate Reference Number (		
Debit Bank Name		Ac	count No.	
Scheme Name L&T				
		i'. The amounts can be distribute n from time to time (subject to the		Reserve), which is part of the sale price that alculated in accordance with the Regulations).
First Instalment Details: Instrument N			✓ Account Type (✓) ○ Savings ○	
Drawn On	Bank Name		Bank Branch	Bank City
SIP Amount ₹ SIP Debit Date ○ 1st ○ 5th ○ 7th ○	10th* (15th (20th (25th (28th (		y ○ Monthly* ○ Quarterly	
(Note: Minimum gap of 30 days required betw Equity schemes & 1000 for Non Equity scher	veen first cheque and subsequent instalment nes)	. In case of discrepancy in the SIP Peri	od, the one mentioned in the Debit Mandate wi	Il be considered.) (For SIP amount Minimum 500 for ^Available in select schemes only
Reason for your SIP (✓) ○ Children ○ SIP Top Up (Optional) - Available of		-	C Retirement O Others Top Up Amount ₹	Amount in multiples of ₹ 500 only
Top Up to continue till SIP amount read	, ,	continue till #   D   D   M   M   Y		Please ✓any one) ○ Half Yearly ○ Yearly*
^ SIP Top Up will cease once the ment	ioned amount is reached. <sup>#</sup> It is the	e date from which SIP Top-Up am	ount will cease. *Default option if no	ot selected ctive of any scheme category/investment
amount. Net Asset Value (NAV) will	be applied based on realization of	funds for all purchases includ	ling systematic transactions registe	red prior or post February 1, 2021.
DECLARATION, CONSENT & S I/We have read and understood the respective Scheme application will result in aggregate investments exceed	IGNAIURES (Mandatory. If left I e Information Document, Statement of Additional Info ling Rs 50 000 in a year 1/We have neither received	principal contraction is real of the principal contraction is real of the principal contraction of the	IE TO DE rejected) f L&T Mutual Fund. I/We hereby declare that I/We do n the or indirectly in making this Systematic Investment	ot have any existing Micro SIPs which together with the current
trail commission or any other), payable to him for the di payments referred above through participation in ECS.	fferent competing schemes of Mutual Funds from ar /ACH/Auto Debit. If the transaction is delayed or not	effected at all for reasons of incomplete or in	ded to me/us. I/We hereby declare that the particulars correct information, I/We would not hold L&T Mutual F	to have any existing Micro SIPs which together with the current The ARN holder has disclosed to me/us all the commissions (in given here are correct and express my/our willingness to make und, their Investment Manager - L&T Investment Management al agreed to the terms and conditions mentioned overleaf.
Immed, or any or meir appointed service providers or I/We hereby accord my/our consent to disclose, share, for any valid business purposes including marketing of	representatives responsible. I/vve will also inform L& remit in any form, mode or manner, all/any of the inf r sales promotion or with any statutory or indicial and	an investment management Limited about an ormation provided by me/us, including all cha chorities, without any prior intimation to me/us	y changes in myour bank account. I/We have read ar nges, updates to such information as and when provid , until notified by me/us otherwise	nd agreed to the terms and conditions mentioned overleaf. ed by me/ us to the group companies of L&T Financial Services
SIGNATURE/S AS PER L&T MUTU/			,	
- Colo/First An-lines //O		d Applicant		t
Sole/First Applicant/Guardian	z Secon	d Applicant	🧭 Third Applica	nt 7

### **GENERAL INSTRUCTIONS**

Please read the below instructions carefully before filling the form. Please fill up the form in English in BLOCK LETTERS with black or dark ink. All information sought in the form is mandatory except where it is specifically indicated as optional. All instructions & notes are subject to SEBI & AMFI guidelines as amended from time to time. Please note in case of any error while filling the form all applicants must sign against the corrections.

1. Applicant Information: Please furnish the Folio Number, Name and PAN of Sole/First Applicant Section 1 of the Form. Your investment would be processed in the specified folio.

Your personal information and bank account details would apply to this investment as well.

Please provide the Mobile Number and E-Mail Address of the Sole / First Applicant in the form in case of Individuals and Key Contact in case of Non Individuals. This would help us seamlessly communicate with you on your investments.

KYC is mandatory. Please enclose copies of KYC acknowledgement letters for all applicants. \*\*PEKRN required for Micro investments upto Rs. 50,000 in a year.

\* 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).

**Permanent Account Number (PAN):** Furnishing of PAN is mandatory for all applicants except where specific dispensation is provided under law.

### 2. SIP & Investment Details:

- a. New Investors who wish to enroll for SIP investment are required to fill (i) OTM Mandate Form (ii) SIP Registration Form and (iii) the respective Scheme Application form (included in the Key Information Memorandum). New Investors can apply for SIP into respective Scheme / Plans / Option without any existing investment /folio. Please mention the name of the Scheme where you plan to make your investment and your preferred option. If you do not indicate your preferred option, your application would be processed based on the terms & conditions set out in the Scheme Information Document. If you are not investing through a Distributor, please suffix "Direct Plan" after the scheme name.
- b. Where a One Time Mandate is already registered in a folio for a bank account, the Unit Holder(s) is not required to fill the OTM debit mandate again. However, please mention the UMRM, debit bank name & account number.
- c. Where the OTM mandate is getting submitted for the first time, please fill the OTM debit mandate form for NACH/ ECS/ Auto debit mandatorily. Enclose cancelled cheque leaf of the bank where OTM is getting registered if the initial purchase cheque is not from the same bank account.
- d. Micro SIP: As per AMFI notification and guidelines issued on July 4, 2009, SIPs or Lumpsum purchases by eligible investors in a rolling 12 month period not exceeding Rs. 50,000 shall be exempt from the requirement of PAN. From January 1, 2012, KYC is mandatory for all holders of Micro investments.
- The exemption of PAN requirement is only available to individuals.
- Eligible investors may invest through SIP or lumpsum purchase without providing PAN subject to the threshold amount as mentioned above.
- Eligible investors should attach a copy of KYC acknowledgment letter quoting PAN exempt KYC Reference No. (PEKRN) along with the application form.
- e. Third Party Payments: Third Party Payments for investments are not accepted except in the below cases

- Payment by employer on behalf of employees under SIP or lump sum subscription through Pay Roll deductions or deductions out of expense re imbursements.
- Custodian on behalf of an FPI or Client made by Custodian.
- Payment by an AMC to its empanelled distributor on account of commission/ incentive etc. in the form of Mutual Fund units through SIP or lump sum/one time subscription.
- Payment by a Corporate to its Agent/Dealer/Distributor on account of commission or incentive payable for sale of its goods/services in the form of Mutual Fund units through SIP or lump sum/one time subscription.

In the above cases, necessary declaration / banker's certificate needs to be provided confirming the source of funds for the investment. Please refer the SAI for more details.

- f. SIP Top-Up Facility: Investors can opt for SIP Top Up facility wherein the amount of SIP can be increased at fixed intervals.
- The minimum amount of increase under SIP Fixed Amount Top-Up facility should be Rs. 500/- and in multiples of Rs.500/- thereof.
- SIP Top-Up frequency in case of investors availing Monthly SIP facility will be half yearly and yearly and for availing Quarterly SIP facility, the frequency will be yearly. In case the SIP Top-Up frequency is not indicated under Monthly SIP, it will be considered as yearly.
- g. Your investment cheque should be crossed "Account Payee only" and drawn favoring the scheme name where the investment is in a specific scheme
- Please ensure that the investment cheque issued by you complies with CTS 2010 requirement stipulated by the Reserve Bank of India. The words "CTS 2010" should appear on the face of the cheque.
- i. Payments made by Cash/Money Order/Postal Order, Non- MICR cheque, outstation cheques are not accepted.
- j. For detailed terms and conditions on SIP, SIP Top-up, OTM facility, please visit our website www.ltfs.com and also refer to scheme related documents.
- k. Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.

### 3. Declarations & Signatures:

Unit holders need to sign here in accordance to the Mode of Holding provided to us and as per the mode of holding in the bank account in the same sequence and manner in the relevant boxes provided in the form.

### 4. Debit Mandate:

- a. Please fill all the required details in the OTM Debit Mandate Form for NACH/ ECS/Auto Debit. The sole/first holder must be one of the holders in the bank account.
- b. The UMRN, the Sponsor Bank Code and the Utility Code are meant for office use only and need not be filled by the investors.
- c. The 9 digit MICR code and the 11 digit IFSC are mandatory requirements without which your SIP applications will be rejected. You should find these codes on your cheque leaf.

www.ltfs.com

CHECK LIST FOR SIP INVESTMENT FORM		
Application No./Folio No. quoted on the Form	0	
Name of the Sole/First Holder furnished in the Form	0	
SIP details listed below are furnished         SIP installment amount       No. of SIP installments       SIP date       SIP frequency       SIP period       SIP Scheme	0	
Complete Bank details are furnished in the form         Name of the Sole/1 <sup>st</sup> Holder of the Bank Account       Bank A/c No       MICR       IFSC		
All holders have signed in accordance with the Mode of Holding as provided to us at the relevant place		
All Bank Account Holders have signed in the same manner and sequence as their Bank Account in the relevant place		

call 1800 4190 200 or 1800 2000 400 email investor.line@Intmf.co.in

Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.

Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday.

## Nomination Form (For Nominee Registration)



Please refer to the General Instructions for assistance.	7
PERSONAL DETAILS	
Name of Sole/1st Unit Holder First Name Middle Na	me Last Name Folio No.
PAN/PEKRN**   First Unit Holder   \$	
Date of Birth* (1st Unit Holder)   D   D   M   M   Y   Y   Y   Y   Date of Birth* (2nd Unit Holder)	r) D D M M Y Y Y Y Date of Birth* (3rd Unit Holder) D D M M Y Y Y Y
Mobile No. +91E-ma	
KYC is mandatory. Please enclose copies of KYC acknowledgement letters for al	applicants. **PEKRN required for Micro investments upto Rs. 50,000 in a year.
Date of Birth is mandatory for Individual(s) who has registered under Central KY	C Records Registry (CKYCR).
REGISTRATION OF NOMINATION	
payments and settlements made to Nominee(s), and signature(s) of the Nominee(s) a	my/our credit in my/our folio in the event of my/our death. I/We also understand that al acknowledging receipt thereof, will be noted as be a valid discharge by the AMC/Mutua d by the AMC, thus overwriting all earlier nomination details or opt out status submitted to the AMC.
Name and Address of 1 <sup>st</sup> Nominee	Guardian detail to be furnished in case Nominee is a minor (Strike out if not applicable)
Name	Name
Relationship with Sole / First Unit Holder	Relationship with Minor O Father O Mother O Court appointed guardian
Allocation %	PAN
	Complete Address
Complete Address	
Country Pin Code	Country Pin Code
Date of Birth D D M M Y Y Y Y (in case Nominee is a minor)	
Signature of Nominee	Signature of Guardian 🗷
Name and Address of 2 <sup>nd</sup> Nominee	Guardian detail to be furnished in case Nominee is a minor (Strike out if not applicable)
Name	Name
Relationship with Sole / First Unit Holder	Relationship with Minor O Father O Mother O Court appointed guardian
Allocation %	PAN
PAN	Complete Address
Complete Address	
Country Pin Code	
Date of Birth D M M Y Y Y Y (in case Nominee is a minor)	Country Pin Code
Signature of Nominee	Signature of Guardian Z
Name and Address of 3 <sup>rd</sup> Nominee	Guardian detail to be furnished in case Nominee is a minor (Strike out if not applicable)
Relationship with Sole / First Unit Holder	Name
Allocation %	Relationship with Minor O Father O Mother O Court appointed guardian
PAN	PAN
Complete Address	Complete Address
Country Pin Code	Country Pin Code
Date of Birth	
Signature of Nominee	Signature of Guardian 🗷
YOUR SIGNATURES (To be signed by all Unitholders even if the Mod	e of Holding is Anyone or Survivor)
💋 (Sole/First Unit Holder) 🖉 (Secon	d Unit Holder) 🧭 (Third Unit Holder)

ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant)		L&T Financial Services
Received from	Folio no/ Application no:	For Office Use Only
Mobile No:	PAN:	Acknowledgement
Request submitted		Acknowledgement Stamp & Date

Subject to further verification and furnishing of mandatory information/ documents. Please retain this slip until processed

### **GENERAL INSTRUCTIONS**

Please read the below instructions carefully before filling the form. Please fill up the form in English in BLOCK LETTERS with black ink. All information sought in the form is mandatory except where it is specifically indicated as optional. All instructions & notes are subject to SEBI & AMFI guidelines as amended from time to time.

- a) You can appoint upto a maximum of 3 nominees for your investments.
- In view of the SEBI circular dated July 29, 2022, in the event of nonsubmission of nomination details/opt out status, with effect from April 1, 2023, the folio will be liable to be frozen for debits
- c) The nomination can be made only by individuals / sole proprietorship firm applying for/holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu undivided family, holder of Power of Attorney cannot nominate. Even if the Mode of Holding is "Anyone or Survivor, all joint holders should sign the nomination form.
- d) A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unitholder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- e) The Nominee shall not be a trust, (other than a religious or charitable trust) society, body corporate, partnership firm, karta of Hindu undivided family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time.
- f) Nomination will not be allowed in a folio held by a minor.
- g) In the event of the unit holders not indicating the percentage of allocation/share for each of the nominees, the AMC, by invoking the default option will settle the claim equally amongst all the nominees.
- Nominee details will be recorded at a folio level and will apply for all investments in that folio.

For more details on Nomination, please refer the Statement of Additional Information.

call 1800 4190 200 or 1800 2000 400

email investor.line@Intmf.co.in

www.Intmf.com

Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully. CL09947



Date D D M M Y Y Y Y

To,

## L&T Mutual Fund

6th Floor, Brindavan, Plot No. 177, CST Road, Kalina, Santacruz East, Mumbai 400 098

Folio Number / Application Number	
Sole / First Holder Name	
Second Holder Name	
Third Holder Name	

I / We do hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holders, my / our legal heirs would need to submit all the requisite documents issued by court or such other competent authority, based on the value of the assets held in the mutual fund folio.

## NAME AND SIGNATURE OF UNITHOLDER(S)

First Unitholders Signature	Second Unitholders Signature	Third Unitholders Signature
Name of First Unitholder	Name of Second Unitholder	Name of Third Unitholder

This Form should be signed by all the unitholder irrespective of mode of holding under the folio / application and can not be signed by the power of attorney holder.

The latest nomination details submitted by the investor will be considered by the AMC, thus overwriting all earlier nomination details or opt out status submitted to the AMC.

In view of the SEBI circular dated July 29, 2022, in the event of non-submission of nomination details/opt out status, with effect from April 1, 2023, the folio will be liable to be frozen for debits.

call 1800 4190 200 or 1800 2000 400 whatsapp @ 9326929294 email investor.line@Intmf.co.in www.Intmf.com

Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

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## FATCA – CRS DECLARATION & SUPPLEMENTARY INFORMATION (For Individual Investors including Sole Proprietor & POA Holder)



Please refer to the Instructions for assistance and complete all sections in English. For legibility, please use BLOCK letters in black or dark ink. Please seek appropriate advice from a tax professional on FATCA related information applicable to you.

APPLICANT(S) INFORMATION			
Folio No./Application No.			
Name of Sole/1st Unit Holder	First Name	Middle Name	Last Name
Name of 2nd Applicant	First Name	Middle Name	Last Name
Name of 3rd Applicant	First Name	Middle Name	Last Name
PAN/PEKRN**	lolder	βecoἡd Uŋit Hoļder	Third Unit Holder
KIN^		Seçond Unlit Hølder	Third Unit Holder
Date of Birth^ (1st Unit Holder)	Y   Y   Y     Date of Birth^ (2nd U)	Init Holder)	of Birth^ (3rd Unit Holder) D   D   M   M   Y   Y   Y   Y

^ 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).

E-mail ID

Mobile No. +91-					

FATCA &	CRS	DETAILS	5
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Category	Sole/First Applicant/Guardian	Second Applicant	Third Applicant	
Gender				
Father's Name				
Type of address given at the KRA	$\bigcirc$ Residential or Business	$\bigcirc$ Residential or Business	$\bigcirc$ Residential or Business	
	⊖ Residential	⊖ Residential	○ Residential	
	⊖ Business	⊖ Business	⊖ Business	
	○ Registered Office	○ Registered Office	○ Registered Office	
Permissible documents are O Pass	port $\bigcirc$ Election ID Card $\bigcirc$ PAN Card	○ Govt. ID Card ○ Driving License ○ N	RE/GA Card O Others	
Country/Place/City of Birth				
Country of citizenship/nationality	$\odot$ Indian $\odot$ U.S. $\odot$ Others	$\odot$ Indian $\odot$ U.S. $\odot$ Others	$\odot$ Indian $\odot$ U.S. $\odot$ Others	
	(Please, specify)	(Please, specify)	(Please, specify)	

I am a tax resident of India and not a resident of any other country  $\bigcirc$  Yes  $\bigcirc$  No

## If 'Yes', please proceed for signature of declaration.

If 'No', please fill below, for all countries (other than India) in which you are resident for tax purposes, i.e. where you are a Citizen/Resident/Green Card Holder/Tax Resident in respective countries.

Country of Tax Residency			
Tax Identification No or Functional Equivalent			
Identification Type (TIN or other, please specify)			
If TIN is not available, please	Reason A $\bigcirc$ , B $\bigcirc$ or C $\bigcirc$	Reason A $\bigcirc$ , B $\bigcirc$ or C $\bigcirc$	Reason A $\bigcirc$ , B $\bigcirc$ or C $\bigcirc$
tick ( $\checkmark$ ) the reason A $\bigcirc$ , B $\bigcirc$ or C $\bigcirc$ [As defined below]	Reason A $\bigcirc$ , B $\bigcirc$ or C $\bigcirc$	Reason A $\bigcirc$ , B $\bigcirc$ or C $\bigcirc$	Reason A $\bigcirc$ , B $\bigcirc$ or C $\bigcirc$

Reason A: The country where the Account holder is liable to pay tax does not issue Tax Identification Numbers to its residents.

> Reason B: No TIN required (Select this reason only, if the authorities of the respective country of tax residence do not require the TIN to be collected)

Reason C: Others please state the reason thereof.

## DECLARATION

I hereby confirm that the information provided hereinabove is true, correct and complete to the best of my knowledge and belief and that I shall be solely liable and responsible for the information submitted above. I also confirm that I have read and understood the FATCA and CRS Terms and condition below and hereby accept the same. I also undertake to keep you informed in writing about any changes/modification to the above information in future within 30 days of the same being effective and also undertake to provide any other additional information as may be required any intermediary or by domestic or overseas regulators /tax authorities.

### Signatures

a	Sala /	4 of Applied	nt / Guardian
2°)	SOIE /	ISLADDIICA	IIIL / Guarulati

🔊 2nd Applican

Srd Applica

### FATCA & CRS TERMS & CONDITIONS

## (Note: The guidance Note/notification issued by the CBDT shall prevail in respect to interpretation of the terms specified in the form)

Details under FATCA & CRS. The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income- tax Rules, 1962 which Rules require Indian financial institutions such as the Bank to seek additional personal tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e. ,within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with (Insert FI's name) or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

### **FATCA & CRS INSTRUCTIONS**

If you have any questions about you tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder ,please include United States in the foreign country information field along with your US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country ,customer to provide relevant Curing Documents as mentioned below:

FATCA & CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/CRS Indicia
U.S place of birth	1. Self-certification that the account holder is neither a citizen of United States of America nor its resident for tax purposes:
	2. Non –US passport or any non - US government issued document evidencing nationality or citizenship (refer list below ) ; AND
	3. Any one of the following documents:
	Certified copy of certificate of Loss of Nationality
	or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship;
	or Reason the customer did not obtain U.S. citizenship at birth
Residence /mailing address in a country other than India	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; <b>and</b>
	2. Documentary evidence (refer list below)
Telephone number in a country other than	If no Indian telephone number is provided
India	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; <b>and</b>
	2. Documentary evidence (refer list below)
	If Indian telephone number is provided along with a foreign country telephone number
	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; <b>OR</b>
	2. Documentary evidence (refer list below)

List of acceptable documentary evidence needed to establish the residence (S) for tax purposes:

1. Certificate of residence issued by an authorized government body\*

2. Valid identification issued by an authorized government body\*(e.g. Passport, National Identity card, etc.)

\* Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident

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Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday.

## Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

# FATCA, CRS & UBO DECLARATION (For Non Individual Investors)

	refer to the Instructions for assistance a seek appropriate advice from a tax pro				or dark ink.
APPL	ICANT(S) INFORMATION				
Folio No	o./Application No.				
Name of	f the entity				
		ntial or Busines	ss O Residential	◯ Business	○ Registered Office
Type of	-		as available in KRA database. In case		-
City of h	ncorporation		Country of Incorporation	or any onange, please approach	Nova houry the changes
PAN			Date of Incorporation	D / M M / Y Y Y Y	
Entity	Constitution O Partnership Fir	m OHUF	-	O Public Limited Company	○ Society ○ AOP/BOI
Type Please (	⊖ Trust ⊖ Lie	quidator	Limited Liability Partnership	○ Artificial Juridical Person	O Othersspecify
Please	tick the applicable tax resident declara	ation -			
1. Is "E	ntity" a tax resident of any country of please provide country/ies in which th	other than Ind		iated Tax ID number below.)	
	Country		Tax Identification Numb	er%	Identification Type
	-				(TIN or Other, please specify)
%In cas	e Tax Identification Number is not avai	ilable, kindly pr	ovide its functional equivalent <sup>\$</sup> .		
	TIN or its functional equivalent is not a			-	
In case t	the Entity's Country of Incorporation / 1	Tax residence i	s U.S. but Entity is not a Specified U.S	8. Person, mention Entity's exemp	tion code here
Please r	refer to para 3(vii) exemption code for	U.S. persons u	Inder part D of FATCA Instructions & I	Definitions	
FATO	CA & CRS Declaration				
PART	A (to be Filled by Financial Institutions	or Direct Repo	orting NFEs)		
1	We are a	GIIN			
	Financial institution <sup>3</sup>		o not have a CIIN but you are sponse	red by another entity please prov	ide your sponsor's GIIN above and indicate
	ar.	your sponsor's		red by another entity, please prov	ide your sponsor's Gint above and indicate
	Direct reporting NFE <sup>4</sup>	Name of spon	soring entity		
	(please tick as appropriate)				
	GIIN not available (please tick as ap	. ,	Applied for		
	If the entity is a Financial institution,	-		ts sub-category <sup>10</sup>	
		<ul> <li>Not obtain</li> </ul>	ned – Non-participating FI		
PART	B (please fill any one as appropriate "t	o be filled by N	IFEs other than Direct Reporting NFE	s")	
1	Is the Entity a publicly traded compa		Yes $\bigcirc$ (If yes, please specify any c	ne stock exchange on which the	stock is regularly traded) No $\bigcirc$
	company whose shares are regularly established securities market)	/ traded on an	Name of stock exchange		
<ul> <li>2 Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded) No </li> </ul>					
	traded on an established securities m	narket)	Name of listed company		
				ary of the Listed Company or O	Controlled by a Listed Company
3	Is the Entity an active <sup>1</sup> Non Financial	Entity (NEE)	Name of stock exchange	protion in the next section (	0
3	is the Linuty an active inon Finalicial		Yes O (If yes, please fill UBO decla	aration in the next section.) No	0
			Nature of Business		
			Please specify the sub-category of A		le – Refer 2C of Part D)
4	Is the Entity a passive <sup>2</sup> Non Financia	ai Enuty (NEE)	Yes O (If yes, please fill UBO decla	aration in the next section.) No	$\cup$
			Nature of Business		

<sup>1</sup> Refer 2 of Part D | <sup>2</sup> Refer 3(ii) of Part D | <sup>3</sup> Refer 1(i) of Part D | <sup>4</sup> Refer 3(vi) of Part D | <sup>10</sup> Refer 1A of Part D

UBO Declaration										
Category (Please tick applicable category): $\bigcirc$ Unliste	ed Company	◯ Partnership Firm		○ Limited Liability	/ Partnership Company					
$\bigcirc$ Unincorporated association / body of individuals		O Public Charitable	e Trust O Religious Trust O Private Trust							
O Others (please specify			)							
Please list below the details of controlling person(s), c EACH controlling person(s).	U			,						
Owner-documented FFI's <sup>5</sup> should provide FFI Owner F										
Name - Beneficial owner / Controlling person Country - Tax Residency* Tax ID No Or functional equivalent for each country <sup>%</sup>	Beneficial Intere	N or Other, please specify est - in percentage Controlling person	Address - Inc Address Type		y, PIN / ZIP Code & Contact Details					
1. Name	Tax ID Type		Address							
Country	Beneficial Intere	st (%)								
Tax ID No. <sup>%</sup>	Type Code		ZIP							
			State:		Country:					
			Address Type	e $\bigcirc$ Residence $\bigcirc$ E	Business $\bigcirc$ Registered office					
2. Name	Tax ID Type		Address							
Country	Beneficial Intere	st (%)								
Tax ID No. <sup>%</sup>	Type Code		ZIP							
			State:		Country:					
			Address Type	e $\bigcirc$ Residence $\bigcirc$ E	Business $\bigcirc$ Registered office					
3. Name	Tax ID Type		Address							
Country	Beneficial Intere	st (%)								
Tax ID No. <sup>%</sup>	Type Code		ZIP							
			State:		Country:					
			Address Type	e $\bigcirc$ Residence $\bigcirc$ E	Business $\bigcirc$ Registered office					
# If passive NFE, please provide below additional detail	s for each of contr	rolling persons. (Please attac	ch additional sh	neets if necessary)						
Name & PAN / Any other Identification Number		Occupation Type -			<b>3</b> - Date of Birth					
(PAN Passport Election ID Govt ID Driving Licen	ce NREGA Job	Service Business Others		Gen	der - Male Female Other					

Card, Others) City of Birth - Country of Birth	Nationality       Father's Name -       Mandatory if PAN is not available	
1. Name & PAN       City of Birth       Country of Birth	Occupation Type Nationality Father's Name	DOB D / M M / Y Y Y Gender Male Female Other
2. Name & PAN City of Birth Country of Birth	Occupation Type Nationality Father's Name	DOB D / M M / Y Y Y Y Gender Male Female Other
3. Name & PAN City of Birth Country of Birth	Occupation Type Nationality Father's Name	DOB D / M M / Y Y Y Y Gender Male Female Other

# Additional details to be filled by controlling persons with tax residency / permanent residency / citizenship / Green Card in any country other than India: \* To include US, where controlling person is a US citizen or green card holder.

% In case Tax Identification Number is not available, kindly provide functional equivalent.

### FATCA - CRS Terms and Conditions

The Central Board of Direct Taxes has notified Rules 114F & 114H, as part of the Income Tax Rules- 1962, which rules required Indian financial Institution such as the bank to seek additional personal, tax and beneficial owner information and certain certifications & documentation from all our accounts holders. In relevant cases, information will have to be reported to Tax authorities/appointed agencies. Towards compliance, we may also be requested to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change any information provided by you, please insure your advice us promptly, i.e. within 30 days.

If any controlling person of any utility is US citizen or Green card holder, please include United States in the foreign country information field along with the US Tax Identification number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issued such identification. If no, TIN is yet available or has not been issued, please provide an explanation and attach this to the form.

### Part C: Certification

I/We have understood the information requirements of this Form (Read along with FATCA & CRS Instructions) and hereby confirm that information provided by me / us on this Form is true, correct & complete. I/We also confirm that I/We have understood the FATCA & CRS Terms & Conditions below and thereby accept the same.

Designation	Name																					
Authorized Signatory     Authorized Signatory     Authorized Signatory	Designation																					
Authorized Signatory Authorized Signatory																						
		Ľ	Author		natory				Ľ	А			ory			Æ	5	Auth			ſ	

Date: D D // M M // Y Y Y

### **PART D FATCA Instructions & Definitions**

(Note:The Guidance Note/notification issued by the CBDT shall prevail in respect to interpretation of the terms specified in the form)

- 1 (i) Financial Institution (FI) The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.
  - (ii) **Depository institution**: is an entity that accepts deposits in the ordinary course of banking or similar business.
  - (iii) Custodial institution: is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where it's income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of-
    - (i) The three financial years preceding the year in which determination is made; or
    - (ii) The period, during which the entity has been in existence, whichever is less.

### (iv) Investment entity is any entity:

- (a) That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer
- (i) Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or
- (ii) Individual and collective portfolio management; or
- (iii) Investing, administering or managing funds, money or financial asset or money on behalf of other persons;

(b) The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above. An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primar-ily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of :

or

- (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made; or
- (ii) The period during which the entity has been in existence.

The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 04, 05, 06 and 07 - refer point 2c.)

(v) Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

	not required to apply for GIIN: Refer Rule 114F(5) of Income Tax Rules, 1962 for the conditions to be satisfied as "Non- Reporting nancial Institution and Guidance issued by CBDT in this regard
A. Reason	s why FI not required to apply for GIIN:
Code	Sub-category
01	Governmental Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI solely because it is an investment entity
05	Qualified credit card issuer
06	Investment Advisors, Investment Managers & Executing Brokers
07	Exempt collective investment vehicle
08	Trust
09	Non-registering local banks
10	FFI with only Low-Value Accounts
11	Sponsored investment entity and controlled foreign corporation
12	Sponsored, Closely Held Investment Vehicle

Code	Sub-category
01	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
02	The stock of the entity is regularly traded on an established securities market or the non-financial entity is a related entity of an entity, the stock of which is regularly traded on an established securities market.
03	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
04	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, on or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for thi status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
05	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business othe than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initia organization of the NFE;
06	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
07	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
08	Any NFE that fulfills all of the following requirements:
	<ul> <li>It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;</li> </ul>
	It is exempt from income tax in India;
	It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
	The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and
	The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof.
	Explanation For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:-
	(i) an Investor Protection Fund referred to in clause (23EA);
	(ii) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and
	(iii) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;

2. Active Non-financial entity (NFE) : (any one of the following): Refer Explanation (A) to 114F (6) of Income Tax Rules, 1962 for details

## 3. Other definitions

### (i) Related entity

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity

### (ii) Passive NFE

### The term passive NFE means

- (i) any non-financial entity which is not an active non-financial entity; or
- (ii) an investment entity described in sub-clause (B) of clause (c) of the Explanation to clause (3), which is not located in any of the jurisdictions specified by the Central Board of Direct Taxes in this behalf
- (iii) not a withholding foreign partnership or a withholding foreign trust

### (iii) Passive income

- The term passive income includes income by way of:
- (1) Dividends,
- (2) Interest
- (3) Income equivalent to interest,
- (4) Rents and royalties, other than rents and royalties derived in the active conduct of a trade or business conducted, at least in part, by employees of the NFE
   (5) Annuities
- (5) Annulles
- (6) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income
- (7) The excess of gains over losses from transactions (including futures, forwards, and similar transactions) in any financial assets,
- (8) The excess of foreign currency gains over foreign currency losses
- (9) Net income from swaps
- (10) Amounts received under cash value insurance contracts

But passive income will not include in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as a such dealer.

(iv) Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money-Laundering (Maintenance of Records) Rules, 2005.

In determining the Beneficial Owner, the procedure specified in the following circular as amended from time to time shall be applied, namely:-

- (i) DBOD.AML.BC. NO.71/14.01.001/2012-13, issued on the 18<sup>th</sup> January, 2013 by the Reserve Bank of India; or
- (ii) CIR/MIRSD/2/2013, issued on the 24<sup>th</sup> January, 2013by the Securities and Exchange Board of India; or
- (iii) IRDA/SDD/GDL/CIR/019/02/2013, issued on the 4<sup>th</sup> February, 2013 by the Insurance Regulatory and Development Authority.

In the case of a trust, the controlling person means the settler, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than a trust, the said expression means the person in equivalent or similar positions

(A) Controlli	ng Person Type:
Code	Sub-category
01	CP of legal person - ownership
02	CP of legal person - other means
03	CP of legal person - senior managing official
04	CP of legal arrangement - trust - settlor
05	CP of legal arrangement - trust - trustee
06	CP of legal arrangement - trust - protector
07	CP of legal arrangement - trust - beneficiary
08	CP of legal arrangementtrust-other
09	CP of legal arrangement - Other - settlor equivalent
10	CP of legal arrangement - Other - trustee equivalent
11	CP of legal arrangement - Other - protector equivalent
12	CP of legal arrangement - Other - beneficiary equivalent
13	CP of legal arrangement - Other - other equivalent
14	Unknown

### (v) Specified U.S. person – A U.S person other than the following:

- (i) a corporation the stock of which is regularly traded on one or more established securities markets;
- (ii) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
- (iii) the United States or any wholly owned agency or instrumentality thereof;
- (iv) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- (v) any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a) (37) of the U.S. Internal Revenue Code;
- (vi) any bank as defined in section 581 of the U.S. Internal Revenue Code;
- (vii) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- (viii) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- (ix) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- (x) any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- (xi) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;
- (xii) a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or
- (xiii) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

### (vi) Direct reporting NFE

A direct reporting NFE means a NFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

Exempt	Exemption code for U.S. persons (Refer 114(9) of Income Tax Rules, 1962 for details						
Code	Sub-category						
А	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)						
В	The United States or any of its agencies or instrumentalities						
С	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities						
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)						
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)						
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state						
G	A real estate investment trust						
Н	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940						
I	A common trust fund as defined in section 584(a)						
J	A bank as defined in section 581						
К	A broker						
L	A trust exempt from tax under section 664 or described in section 4947(a)(1)						
М	A tax exempt trust under a section 403(b) plan or section 457(g) plan						

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Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

Multi-Scheme SIP Investment Form



Please refer to the general instructions for a	ssistance and complete all sections in Englis	sh. For legibility, please use BLOCK LETTE	RS in black or dark ink.	Time Stamp
Distributor/RIA Code	Sub-Distributor ARN	Sub-Distributor Code	EUIN	Branch Code
Transaction Charges: SEBI (Mutual Fund) R	egulations allow deduction of transaction ch	narges of Rs. 100/- from your investment fo	r payment to your distributor if your dis	tributor has opted to receive transaction charges for
				ction charges would be deducted over 3-4 instalments. in any mutual fund, please tick here on or advice by the employee/relationship manager/sales
person of the above distributor and/or notwiths	tanding the advice of inappropriateness, if any, p	provided by the employee/relationship manager	/sales person of distributor and the distribut	or has not charged any advisory fees on this transaction.
	⊯ 2nd Ap		⊯ 3rd Applicant	
	RM FOR NACH/ECS/AUTO	DEBIT		
Mutual Fund UMRI	N	Office use only		Date         D         D         M         M         Y         Y         Y         Y
Utility Code			O Create	Nodify O Cancel
Sponsor Bank Code		I/We hereby authorize	L&T Mu	tual Fund
		er Bank A/c No.		
	C O SB-NRE O SB-NRO O Othe			
With Bank	Bank Name		IFSC/ MICR	
an amount of Rupees	/	Amount in words		₹
Debit Type Fixed Amount	Maximum Amount Frequ	uency 🛛 Monthly 🖾 Quar	terly 🛛 Half Yearly 🕅	Yearly As & when presented
Reference 1		Reference 2		
				bank. 2. This is to confirm that thae declaration agreed and signed by me. 3. I have understood
				or the bank where I have authorized the debit.
From D D M M Y Y To 3 1 1 2 2 0	9 9 Ø	t Account Holder	ture of Second Account Holder	Signature of Third Account Holder
To $3 1 1 2 2 0$ or $\square$ Until Cancelled	1. Name as in Ba	nk Records <b>2.</b> Na	me as in Bank Records	3. Name as in Bank Records
2. APPLICANT INFORMATI				
Name of Sole/1st Unit Holder	First Name	Middle Name	Last Name	Folio No.
Name of Sole/1st Unit Holder	First Name	Middle Name	1 1	Folio No.
Name of Sole/1st Unit Holder PAN/PEKRN** F KIN* First Uh	First Name	Secorjd Unjit Hold	er	[Third Unit Holder
Name of Sole/1st Unit Holder	First Name	Second Unit Hold Se¢ond Unit Hold (2nd Unit Holder)	er	Third Unit Holder
Name of Sole/1st Unit Holder PAN/PEKRN** F KIN* Firşt Up Date of Birth* (1st Unit Holder)	First Name	Second Unit Hold     Se¢ond Unit Hold rth* (2nd Unit Holder) D D M M E-mail ID	er	Third Unit Holder
Name of Sole/1st Unit Holder PAN/PEKRN** KIN* Date of Birth* (1st Unit Holder) Mobile No. +91- KYC is mandatory. Please enclose cop	First Name	\$ecorld Unit Holds       Second Unit Holds       Image: second Unit Holds <td>er</td> <td>Third Unit Holder         Third Unit Holder         Unit Holder         Unit Holder         Unit Holder         In a year.</td>	er	Third Unit Holder         Third Unit Holder         Unit Holder         Unit Holder         Unit Holder         In a year.
Name of Sole/1st Unit Holder PAN/PEKRN** KIN* Date of Birth* (1st Unit Holder) Mobile No. +91- KYC is mandatory. Please enclose cop	First Name         irst Unit Holder         iit Holder         DIMINIYIYYY         Date of Bi         ies of KYC acknowledgement letters for         (IN) and Date of Birth is mandatory for	\$ecorld Unit Holds       Second Unit Holds       Image: second Unit Holds <td>er</td> <td>Third Unit Holder         Third Unit Holder         Unit Holder         Unit Holder         Unit Holder         In a year.</td>	er	Third Unit Holder         Third Unit Holder         Unit Holder         Unit Holder         Unit Holder         In a year.
Name of Sole/1st Unit Holder PAN/PEKRN** KIN* Date of Birth* (1st Unit Holder) Mobile No. +91- KYC is mandatory. Please enclose cop * 14 digit KYC Identification Number (H 3. SMART SIP COMBOS (Plane)	First Name         irst Unit Holder         iit Holder         DIMINIYIYYY         Date of Bi         ies of KYC acknowledgement letters for         (IN) and Date of Birth is mandatory for	\$ecorld Unit Holds       Second Unit Holds       Image: second Unit Holds <td>er</td> <td>Third Unit Holder</td>	er	Third Unit Holder
Name of Sole/1st Unit Holder PAN/PEKRN** KIN* Date of Birth* (1st Unit Holder) Mobile No. +91- KYC is mandatory. Please enclose cop ^ 14 digit KYC Identification Number (k 3. SMART SIP COMBOS (Plu BALANCED	First Name	\$ecorld Unit Holds       Second Unit Holds       Image: second Unit Holds <td>er r y y y y y Date of Birth* (3 Micro investments upto Rs. 50,000 in central KYC Records Registry (CK ESSENTIALS SIP ( Constitute IDCW@</td> <td>Third Unit Holder</td>	er r y y y y y Date of Birth* (3 Micro investments upto Rs. 50,000 in central KYC Records Registry (CK ESSENTIALS SIP ( Constitute IDCW@	Third Unit Holder
Name of Sole/1st Unit Holder PAN/PEKRN** KIN* Date of Birth* (1st Unit Holder) Mobile No. +91- KYC is mandatory. Please enclose cop ^ 14 digit KYC Identification Number (k 3. SMART SIP COMBOS (Plu BALANCED Growth*	First Name         irst Unit Holder         itt Holder         ojm Myyyyy         Date of Bi         ies of KYC acknowledgement letters for         (N) and Date of Birth is mandatory for         ease ✓ any one) <b>SIP COMBO</b> IDCW <sup>@</sup> Payout# Reinvestment#	Second Unit Hold Second Unit Hold rth* (2nd Unit Holder) D M M E-mail ID rall applicants. **PEKRN required for I Individual(s) who has registered under	er r Y Y Y Y Date of Birth* (3 Wicro investments upto Rs. 50,000 in <i>c Central KYC Records Registry (CK</i> ESSENTIALS SIP ( Growth* IDCW@ Payout# R	Third Unit Holder  Third Unit Holder  To Unit
Name of Sole/1st Unit Holder PAN/PEKRN** KIN* Date of Birth* (1st Unit Holder) Mobile No. +91- KYC is mandatory. Please enclose cop ^ 14 digit KYC Identification Number (# 3. SMART SIP COMBOS (PM BALANCED	First Name         irst Unit Holder         iit Holder         oj Mimit Holder	Second Unit Hold Second Unit Hold rth* (2nd Unit Holder) D M M E-mail ID all applicants. **PEKRN required for I Individual(s) who has registered under	er          r	Third Unit Holder
Name of Sole/1st Unit Holder         PAN/PEKRN**         PAN/PEKRN**         KIN*         Date of Birth* (1st Unit Holder)         Date of Birth* (1st Unit Holder)         Mobile No. +91-         KYC is mandatory. Please enclose cop         ^ 14 digit KYC Identification Number (#         3. SMART SIP COMBOS (Plu         BALANCED         Growth*         L&T Hybrid Equity Fund	First Name         iirst Unit Holder         iit Holder         of Minited State         of KYC acknowledgement letters for         (N) and Date of Birth is mandatory for         ease ✓ any one)         SIP COMBO         IDCW <sup>@</sup> Payout# Reinvestment#         (₹)         (₹)         Minimed State	Second Unit Hold Second Unit Hold rth* (2nd Unit Holder) D D M M E-mail ID r all applicants. **PEKRN required for I Individual(s) who has registered under umount L&T Flexicap	er	Third Unit  Holder     Third Unit  Holder     Third Unit Holder     Third Unit Holder     To unit Holder)     D D M M Y Y Y Y      To a year.     YCR).  COMBO  IDCW  Amount  IDCW  (₹)
Name of Sole/1st Unit Holder         PAN/PEKRN**         PAN/PEKRN**         Image: Participation of the second secon	First Name         iirst Unit Holder         iit Holder         DIM MYYYY Date of Bi         Description         Date of Birth is mandatory for         ease ✓ any one)         SIP COMBO         IDCW@         Payout# Reinvestment#         (₹)         (₹)         (₹)         (₹)	Second Unit Holds rth* (2nd Unit Holder) D D M M E-mail ID r all applicants. **PEKRN required for I Individual(s) who has registered under mount um Rs. 1000 L&T Flexicap L&T Flexicap L&T India Va	er	Third Unit Holder         Third Unit Holder         Third Unit Holder         IDird Unit Holder         IDird Unit Holder         ID D M M Y   Y   Y   Y         n a year.         YCR).         COMBO         IDCW@         Amount         (₹)         Minimum Rs. 1000         (₹)         (₹)         Minimum Rs. 1000
Name of Sole/1st Unit Holder	First Name irst Unit Holder	Second Unit Hold (Second Unit Holder) E-mail ID all applicants. **PEKRN required for I Individual(s) who has registered under L&T Flexicap L&T Flexicap L&T India Va L&T Midcap I	er  r  y y y y y y y y y y y y y y y y y	Third Unit  Holder         Third Unit Holder         Third Unit Holder         IDird Unit Holder         D D M M Y Y Y Y         n a year.         YCR).         COMBO         IDCW@         Amount         (₹)         Minimum Rs. 1000         (₹)         Minimum Rs. 1000         (₹)         Minimum Rs. 1000         (₹)         Minimum Rs. 1000
Name of Sole/1st Unit Holder         PAN/PEKRN**         First Unit Holder         KIN*         Date of Birth* (1st Unit Holder)         Date of Birth* (1st Unit Holder)         Mobile No. +91-         KYC is mandatory. Please enclose cop         ^ 14 digit KYC Identification Number (#         3. SMART SIP COMBOS (Plu         BALANCED         Growth*         L&T Hybrid Equity Fund         L&T Balanced Advantage Fund         L&T Equity Savings Fund         EXCLUSIVE         Growth*	First Name         iirst Unit Holder         iit Holder         of Minite         of KYC acknowledgement letters for         (N) and Date of Birth is mandatory for         ease ✓ any one)         SIP COMBO         IDCW <sup>@</sup> IDCW <sup>@</sup> (₹)         (₹)         (₹)         (₹)         (₹)         (₹)         (₹)         (₹)         (₹)         (₹)         (₹)         Minim         (₹)         Minim         (₹)         Minim         (₹)         Minim         (₹)         DCW <sup>@</sup> IDCW <sup>@</sup> (₹)         Minim         (₹)         Minim         (₹)         Minim         DCW <sup>@</sup> IDCW <sup>@</sup> IDCW <sup>@</sup> IDCW <sup>@</sup> IDCW <sup>@</sup>	Second Unit Holds         Image: Condent Cond	er	Image: Third Unit Holder         Image: Third Unit Holder         Image: Third Unit Holder         Image: Training the second seco
Name of Sole/1st Unit Holder         PAN/PEKRN**         KIN*         Date of Birth* (1st Unit Holder)         Date of Birth* (1st Unit Holder)         Mobile No. +91-         KYC is mandatory. Please enclose cop         * 14 digit KYC Identification Number (#         3. SMART SIP COMBOS (PI         BALANCED         Growth*         L&T Hybrid Equity Fund         L&T Equity Savings Fund         EXCLUSIVE         Growth*         L&T Business Cycles Fund	First Name         iirst Unit Holder         iit Holder         iit Holder         of Mining         of KYC acknowledgement letters for         KIN) and Date of Birth is mandatory for         ease ✓ any one)         O SIP COMBO         IDCW <sup>@</sup> IDCW <sup>®</sup> IDCM <sup>®</sup> IDCM <sup>®</sup> IDCM <sup>®</sup> IDCM <sup>®</sup> IDCM <sup>®</sup>	Second Unit Holds (2nd Unit Holder) D M M E-mail ID all applicants. **PEKRN required for I Individual(s) who has registered under L&T Flexicap L&T Flexicap L&T India Va L&T Midcap I mount L&T India La	er	Image: Third Unit Holder         Image: Third Unit Holder         Image: Third Unit Holder         Image: Trop of the state of th
Name of Sole/1st Unit Holder         PAN/PEKRN**         PAN/PEKRN**         First Uh         KIN*         Date of Birth* (1st Unit Holder)         Mobile No. +91-         KYC is mandatory. Please enclose cop         * 14 digit KYC Identification Number (#         3. SMART SIP COMBOS (PI         BALANCED         Growth*         L&T Hybrid Equity Fund         L&T Balanced Advantage Fund         L&T Equity Savings Fund         EXCLUSIVE         Growth*         L&T Business Cycles Fund         L&T Large and Mid Cap Fund	First Name         irst Unit Holder         itt Holder         of Minit Holder         of Minit Holder         of Minit Holder         of Minit Holder         of KYC acknowledgement letters for         (N) and Date of Birth is mandatory for         ease ✓ any one)         SIP COMBO         IDCW@         IDCW@         IDCW@         IDCW@         ICCMBO         IDCW@         ICCOMBO         IDCW@         IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII	Second Unit Hold Second Unit Hold rth* (2nd Unit Holder) D M M E-mail ID all applicants. **PEKRN required for I Individual(s) who has registered under Undividual(s) who has registered under Mount Um Rs. 1000 Um Rs. 1000 Um Rs. 1000 L&T Flexicap L&T India Va L&T Midcap I Um Rs. 1000 L&T India La L&T Midcap I	er	Image: Comparison of the second state of the second sta
Name of Sole/1st Unit Holder         PAN/PEKRN**         PAN/PEKRN**         KIN*         Date of Birth* (1st Unit Holder)         Date of Birth* (1st Unit Holder)         Mobile No. +91-         KYC is mandatory. Please enclose cop         ^ 14 digit KYC Identification Number (#         3. SMART SIP COMBOS (Plu         BALANCED         Growth*         L&T Hybrid Equity Fund         L&T Balanced Advantage Fund         L&T Equity Savings Fund         Growth*         L&T Business Cycles Fund         L&T Business Cycles Fund         L&T Large and Mid Cap Fund         L&T Infrastructure Fund	First Name         iirst Unit Holder         iit Holder         of Minited State         of KYC acknowledgement letters for         (KN) and Date of Birth is mandatory for         ease ✓ any one)         SIP COMBO         IDCW <sup>@</sup> IDCW <sup>@</sup> (₹)         Minim         (₹)         Minim         (₹)         Minim         (₹)         Minim         (₹)         Minim         (₹)         Minim         (₹)         (₹)         (₹)         (₹)         (₹)	Second Unit Hold () Second Unit Hold rth* (2nd Unit Holder) D M M E-mail ID all applicants. **PEKRN required for I Individual(s) who has registered under Undividual(s) who has registered under Undivi	er	Image: Comparison of the system of the sy
Name of Sole/1st Unit Holder         PAN/PEKRN**         PAN/PEKRN**         KIN*         Date of Birth* (1st Unit Holder)         Date of Birth* (1st Unit Holder)         Mobile No. +91-         KYC is mandatory. Please enclose cop         ^ 14 digit KYC Identification Number (#         3. SMART SIP COMBOS (Plu         BALANCED         Growth*         L&T Hybrid Equity Fund         L&T Balanced Advantage Fund         L&T Equity Savings Fund         Growth*         L&T Business Cycles Fund         L&T Business Cycles Fund         L&T Large and Mid Cap Fund         L&T Infrastructure Fund	First Name         irst Unit Holder         iit Holder         of Minit Holder         of Minit Holder         of Minit Holder         of KYC acknowledgement letters for         ies of KYC acknowledgement letters for         (is of Minit         (is of Minit	Second Unit Hold Th* (2nd Unit Holder) D D M M E-mail ID rall applicants. **PEKRN required for I Individual(s) who has registered under mount UN Rs. 1000 UN RS. 1000	er	Image: Third Unit Holder         Image: Third Unit Holder         Image: Third Unit Holder         Image: Training COMBO         Image: Training Comment
Name of Sole/1st Unit Holder         PAN/PEKRN**         PAN/PEKRN**         KIN*         Date of Birth* (1st Unit Holder)         Date of Birth* (1st Unit Holder)         Mobile No. +91-         KYC is mandatory. Please enclose cop         ^ 14 digit KYC Identification Number (#         3. SMART SIP COMBOS (Plu         BALANCED         Growth*         L&T Hybrid Equity Fund         L&T Balanced Advantage Fund         L&T Equity Savings Fund         Growth*         L&T Business Cycles Fund         L&T Business Cycles Fund         L&T Large and Mid Cap Fund         L&T Infrastructure Fund	First Name         iirst Unit Holder         iit Holder         of Minited State         of KYC acknowledgement letters for         (KN) and Date of Birth is mandatory for         ease ✓ any one)         SIP COMBO         IDCW <sup>@</sup> IDCW <sup>@</sup> (₹)         Minim         (₹)         Minim         (₹)         Minim         (₹)         Minim         (₹)         Minim         (₹)         Minim         (₹)         (₹)         (₹)         (₹)         (₹)	Second Unit Hold Th* (2nd Unit Holder) D D M M E-mail ID rall applicants. **PEKRN required for I Individual(s) who has registered under mount UN Rs. 1000 UN RS. 1000	er	Third Unit Holder   Third Unit Holder   Third Unit Holder   IDtw@   Amount   IDCW@   (₹)   Minimum Rs. 1000
Name of Sole/1st Unit Holder         PAN/PEKRN**         PAN/PEKRN**         KIN*         Date of Birth* (1st Unit Holder)         Date of Birth* (1st Unit Holder)         Mobile No. +91-         KYC is mandatory. Please enclose cop         ^ 14 digit KYC Identification Number (#         3. SMART SIP COMBOS (Plu         BALANCED         Growth*         L&T Hybrid Equity Fund         L&T Balanced Advantage Fund         L&T Equity Savings Fund         Growth*         L&T Business Cycles Fund         L&T Business Cycles Fund         L&T Large and Mid Cap Fund         L&T Infrastructure Fund	First Name         irst Unit Holder         iit Holder         of Minit Holder         of Minit Holder         of Minit Holder         of KYC acknowledgement letters for         ies of KYC acknowledgement letters for         (is of Minit         (is of Minit	Second Unit Holds rth* (2nd Unit Holder) D D M M E-mail ID r all applicants. **PEKRN required for I individual(s) who has registered under umount um Rs. 1000 um Rs. 1000 um Rs. 1000 L&T India Va L&T Midcap I L&T India La L&T Midcap I L&T India La L&T Midcap I L&T Emerging I DCW@ IDCW@ Payout # Reinvestment #	er	Image: Comparison of the second se
Name of Sole/1st Unit Holder         PAN/PEKRN**         First Unit         Name of Birth* (1st Unit Holder)         Date of Birth* (1st Unit Holder)         Mobile No. +91-         KYC is mandatory. Please enclose cop         * 14 digit KYC Identification Number (#         3. SMART SIP COMBOS (Plu         BALANCED         Growth*         L&T Hybrid Equity Fund         L&T Balanced Advantage Fund         L&T Equity Savings Fund         EXCLUSIVE         Growth*         L&T Business Cycles Fund         L&T Infrastructure Fund         DIY SIP	First Name         irst Unit Holder         iit Holder         of Minit Holder         of Minit Holder         of Minit Holder         of KYC acknowledgement letters for         ies of KYC acknowledgement letters for         (is of Minit         (is of Minit	Second Unit Holds         Second Unit Holds         Isepond Unit Holds         E-mail ID         all applicants. **PEKRN required for I         Individual(s) who has registered under         Image: second Unit Holds         Image: second Un	er	Third Unit Holder   Third Unit Holder   Third Unit Holder   IDtw@   Amount   IDCW@   (₹)   Minimum Rs. 1000

\* Default option if not selected # Default IDCW Frequency will be considered, please refer KIM for Default IDCW Frequency ^^ Maximum period of 20 years. @Note: IDCW stands for 'Income Distribution cum Capital Withdrawal option'. The amounts can be distributed out of investors' capital (Equalization Reserve), which is part of the sale price that represents realized gains, as may be declared by the Trustees at its discretion from time to time (subject to the availability of distributable surplus as calculated in accordance with the Regulations).

4. INVESTMENT & PAYMENT DETAILS	
First Instalment Details: (Please issue cheque favouring "L&T MF Multi Scheme SIP")         Instrument No.       Instrument Amount	Y L Y L Y L Y L
Drawn On       Bank Name       Bank Branch       Bank Ciry       Account Type (✓) ○ Savings ○ Current ○ National Stream of the str	RE O NRO O Others
If electronic transfer, please fill UTR No.	
Amount Debit Bank Name Account No.	
If One Time Mandate, Please fill, Unique Mandate Reference Number (UMRN)	
Amount Debit Bank Name Account No.	
Payment made by (     O     First Unit Holder     O     Others     Please Specify	
Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post Fe	e category/investment bruary 1, 2021.
5. DECLARATION, CONSENT & SIGNATURES (Mandatory. If left blank, the application is liable to be rejected)	
I/We have read and understood the respective Scheme Information Document, Statement of Additional Information and Key Information Memorandum of L&T Mutual Fund. I/We hereby declare that I/We do not have any existing Micro SI application will result in aggregate investments exceeding Rs. 50,000 in a year. I/We have neither received nor been induced by any rebate or gifts directly or indirectly in making this Systematic Investment. The ARN holder has disclo (in trail commission or any other), payable to him for the different competings of Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby declare that the particulars given here are correct are make payments referred above through direct debit/participation in ECS. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold L&T Mutual Fund, their Investment Management Limited about any changes in my/our bank account. I/We have read and agreed to the terms and co	sed to me/us all the commissions and express my/our willingness to er - L&T Investment Management inditions mentioned overleaf.
for any valid business purposes including marketing or sales promotion or with any statutory or judicial authorities, without any prior intimation to me/us, until notified by me/us otherwise.	
SIGNATURE/S AS PER L&T MUTUAL FUND (To be signed as per Mode of Holding)	
Sole/First Applicant/Guardian	
GENERAL INSTRUCTIONS	
<ul> <li>Please read the below instructions carefully before filling the form. Please fill up the form in randatory except where it is specifically indicated as optional. All instructions &amp; notes are subject to SEI &amp; AMF guidelines as amended from time to time.</li> <li>Applicant Information and bank account details would apply to this investment as well.</li> <li>Contact Details: Please provide the Mobile Number and E-Mail Address of the Sole / First Applicant in the form in case of Individuals and Key Contact in case of Nori investment and well.</li> <li>Contact Details: Please provide the Mobile Number and E-Mail Address of the Sole / First Applicant in the form in case of Individuals and Key Contact in case of Nori investments are not accepted ext.</li> <li>First Applicant in the form in case of Individuals and Key Contact in case of Nori investments are not accepted ext.</li> <li>First Applicant in the form in case of Individuals and Key Contact in case of Nori investment Mit you on your investment Nores (NOR).</li> <li>Remarker Control Number (PAN): Furnishing of PAN is mandatory for all applicants.</li> <li>Smart SIP Combos - This combo is suitable for investors wanting to maintain a displication. This comb of investin L&amp;T Hybrid Equity Fund, LaTi a Balanced SIP Combos - This combo insustin L&amp;T Hybrid Equity Fund, LaTi a Balanced Advantage Fund (Formetry known as L&amp;T Dynamic Equity Fund, LaTi a Balanced Advantage Fund (Formetry known as L&amp;T Dynamic Equity Fund, LaTi and a sideal for investors wanting to suitable for investors wanting to suitable for investors looking to built be readed as in the beth Madate Form for National Fund and is ideal for investors whare are looking to built be readed sing to the sole sole sole.</li> <li>The Kern Heuded LaTi India Special Situations Fund, L&amp;T Infrastructure Fund that india Vander Form for National Fund and is ideal for investors looking to strike a balance between large, mid and smail cap allocation. This comb of investing Stri</li></ul>	nave a choice to decide ment is only Monthly. Table schemes, please "Direct Plan" after the cept in the below cases lump sum subscription re imbursements. To or lump sum/one time count of commission or al Fund units through SIP e needs to be provided the SAI for more details. ACH/ECS/Auto Debit. The ant for office use only and the without which your SIP cheque leaf. ayee only" and drawn pomplies with CTS 2010 he words "CTS 2010" Non- MICR cheques action irrespective of Value (NAV) will be including systematic
CHECK LIST FOR MULTI SCHEME SIP INVESTMENT FORM	
Application No./Folio No. quoted on the Form	0
Name of the Sole/First Holder furnished in the Form SIP details listed below are furnished	0
O SIP installment amounts       O Total SIP amount       O SIP date       O SIP period       O SIP Scheme	0
Complete Bank details are furnished in the form	0
<ul> <li>Name of the Sole/1<sup>st</sup> Holder of the Bank Account</li> <li>Bank A/c No</li> <li>MICR</li> <li>IFSC</li> </ul>	0
All Bank Account Holders have signed in the same manner and sequence as their Bank Account in the relevant place	0
call 1800 4190 200 or 1800 2000 400email investor.line@Intmf.co.inwww.ltfs.com	

Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.

Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday.

## **Transaction Form for Financial Transactions**



Please refer to the general instructions for as	sistance and complete all sec	tions in Enalish	. For legibility, please use BL	OCK LETTERS in I		Time Stamp			
Distributor/RIA Code	Sub-Distributor		Sub-Distributor (		EUIN	1	Branch Code		
Initial Commission will be paid by the in	vestor directly to the distrib	outor, based o	n assessment of various f	actors including	the service rendere	ed by the Distributor.			
Transaction Charges: SEBI (Mutual Fund) R investments sourced by him. The transaction No transaction charges would be levied if you	egulations allow deduction of charges deductible are Rs. 150	transaction cha /- if you are inve	rges of Rs. 100/- from your in sting in Mutual Funds for the fir	vestment for paym st time. If you are n	nent to your distributor naking a SIP Investmen	r if your distributor has opt t, the transaction charges we	ed to receive transaction charges for build be deducted over 3-4 instalments.		
No transaction charges would be levied if you Investor's Declaration where EUIN is not furnish									
person of the above distributor and/or notwithst	anding the advice of inappropria	teness, if any, pro	ovided by the employee/relations	hip manager/sales p	person of distributor and	l the distributor has not charg	ed any advisory fees on this transaction.		
Sole/1st Applicant  APPLICANT INFORMATI	ON	∠ 2nd Appl	icant		⊮ 3rd /	Applicant			
I. APPLICANT INFORMATI	ON								
Name of Sole/1st Unit Holder	First Name		Middle Name		Last Nam	E Folio N	0.		
PAN/PEKRN**	irst Unit Holder		Second	Jn it Ho der		Third L	Init Holder		
KIN*	it Holder		Se¢ond U	rlit Hblder		Third	Unit Holder		
Date of Birth* (1st Unit Holder)		Date of Birt	h* (2nd Unit Holder)   D   [		UVIVI Date of	f Birth* (3rd Unit Holder)			
		Date of Birt	,		Duid 0				
Mobile No. +91 Applicable for Non-Indivuduals			E-mail ID						
Legal Entity Identifier (LEI) Number /					Legal Entity Iden	tifier (LEI) Expiry Date	DDMMYYYYY		
<sup>^</sup> Legal Entity Identifier (LEI) is applie KYC is mandatory. Please enclose copi							Ion Individuals		
^ 14 digit KYC Identification Number (K	(IN) and Date of Birth is ma	andatory for In	dividual(s) who has regist	ered under Cent	ral KYC Records Re	gistry (CKYCR).			
2. ADDITIONAL PURCHAS		(5)			<u>.</u>	```			
	Multi-Scheme Lumps	um (Please	issue cheque favouring	L&I MF Multi		1			
Scheme 1 : L&T Option (✓) ○ Growth*		Pavout	IDCW <sup>@</sup> Fred	uency (🗸 )	○ Daily	Amount (₹) ◯ Weekly	○ Monthly*		
O IDCW <sup>@</sup> Reinves			ibow - rice	ucitey (* )	<ul> <li>Quarterly</li> </ul>	O Annual^	⊖ Semi-Annual^		
Scheme 2 : L&T						Amount (₹)			
Option (✓) O Growth* O IDCW <sup>@</sup> Reinves	∴tment ○ IDCW®		IDCW <sup>@</sup> Free	uency (✓)	<ul> <li>Daily</li> <li>Quarterly</li> </ul>	○ Weekly ○ Annual^	<ul> <li>Monthly*</li> <li>Semi-Annual^</li> </ul>		
Scheme 3 : L&T						Amount (₹)			
Option (✓) ○ Growth*			IDCW <sup>@</sup> Free	uency (✓)	○ Daily	◯ Weekly	○ Monthly*		
IDCW <sup>@</sup> Reinves Payment Details: Cheque / I		Electronic	Transfer One	Time Mandate	Quarterly (OTM)	⊖ Annual^	○ Semi-Annual <sup>^</sup>		
				nent Date					
If cheque / DD / Pay Order, pleas		Charges (if			No	t Amount (₹)			
	Name	Charges (ii	applicable ₹) Bank Bran	ch			< City		
Drawn on   Bank     Account Type (✓)   O Savir					◯ Others	Dali	<u>Cony</u>		
If electronic transfer, please fill L									
Amount	Debit Bank Nam	<u>م</u>			Account No.				
If One Time Mandate, Please fill, Amount	Debit Bank Nam				Account No.				
	rst Unit Holder		dian in case of minor	⊖ Othe		lease Specify			
Note: Effective February 1, 2021, un	nits will be allotted only	upon receip	t of subscription amoun	t by the Fund	House for utilisati	ion irrespective of any	scheme category/investment		
amount. Net Asset Value (NAV) will O Demat Account Details	be applied based on rea	lization of fu	nds for all purchases in	luding system	atic transactions	registered prior or pos	at February 1, 2021.		
Depository Participant. O NSDL									
NSDL/CDSL: Depository Participa Depository Participant ID			Beneficiary A/c No						
Please enclose copy of the Client Ma	aster as provided by you	r depository.	*Default option if n		^Available in	select schemes only.			
3. SWITCH									
From Scheme L&T				Option (✓)	⊖ Growth ⊖ IDC\	W <sup>@</sup> Payout ⊖ IDCW <sup>@</sup>	Reinvestment O Bonus^		
IDCW <sup>@</sup> Frequency (✓ wherever a	pplicable) O Daily O	Weekly	Monthly* O Qua	terly O Ser	mi-Annual^ O A	Annual^			
To Scheme L&T IDCW <sup>@</sup> Frequency (✓ wherever a		Wookly			◯ <b>Growth*</b> ◯ I ni-Annual^ ◯ A	DCW <sup>@</sup> Payout ○ IE	OCW <sup>@</sup> Reinvestment		
Please tick any one $(\checkmark)$ O Amo		Weekiy	-	O No. of ur		annuai	OR O All Units		
*Default option if not selected	^Available in select s		ly						
Note: Switch transactions are al	-		•			-			
<sup>®</sup> Note: IDCW stands for 'Income Dist represents realized gains, as may be	ribution cum Capital Withd declared by the Trustees a	rawal option'. t its discretion	The amounts can be dis from time to time (subject	ributed out of in to the availabilit	nvestors' capital (Ec y of distributable su	qualization Reserve), wh rplus as calculated in ac	uch is part of the sale price that cordance with the Regulations).		
ACKNOWLEDGEMENT S	IP (To be filled in by								
							L&T Financial Services		
	Received from		Name of the S	Sole/First Unit	Holder		For Office Use Only		
Scheme/Plan/Option O Additional Purchase Amount (in	Rs)	Drawn	On	I	nstrument No.				
	⊖ Amount		OR ONo. of units			◯ All Units	Acknowledgement Stamp & Date		
<ul> <li>Redemption (Please ✓ anyone)</li> </ul>			OR O No. of units			○ All Units	23		

4. REDEMPTION														
Scheme Name L&T		lookhu O Monthhut		<ul> <li>— Option (✓) ○ Growth ○ IDCW Payout ○ IDCW Reinvestment ○ Bonus^</li> <li>○ Quarterly ○ Semi-Annual<sup>^</sup> ○ Annual<sup>^</sup></li> </ul>										
<b>IDCW Frequency</b> (✓ wherever appl		/eekly O Monthly*	◯ Quarterly ◯ Se	emi-Annuai <sup>A</sup> O Ann	uar									
Please (1) any one O Amount (3)	₹)		OR ONO. of u	inits		OR O All Units								
Please note that if the bank acco	unt has been changed	l and not been intima	ted to us, the proceeds	s will be credited to th	ne bank account registe	ered with us.								
IFSC of the registered bank acco If you have registered multiple bank Name of the Bank/ Branch	mandate and if you wa	ant credit in a bank A/c	other than the default b	· ·	close an original cancelle e bank A/c No. below.	ed cheque leaf)								
*Default option if not selected	^Available in select s	cnemes only												
5. KYC INFORMATION														
FOR INDIVIDUAL INVESTORS	1st Applicar	nt / Guardian	2nd Applican	it / Guardian	3rd Applican	t / Guardian								
(a) Gender (✓)	O Male O Fe	emale	○ Male ○ Fer	nale	○ Male ○ Fer	nale								
(b) Father's / Spouse's Name														
(c) Place / Country of Birth (✓)	◯ India ◯ Other	Please specify	◯ India ◯ Other	Please specify	O India O Other Please specify									
(d) Tax Residency (If you are resident in any country other than India from a taxation perspective, please furnish Country of Tax Residency	Country of Tax Residency	Tax Identification Number (TIN or equivalent)	Country of Tax Residency	Tax Identification Number (TIN or equivalent)	Country of Tax Residency	Tax Identification Number (TIN or equivalent)								
please furnish Country of Tax Residency and Tax Identification Number (TIN) or	1.		1.		1.									
	2.		2.		2.									
	3.		3.		3.									
(g) Gross Annual Income (₹)	<ul> <li>Below 1 lac</li> <li>5-10 Lacs</li> <li>25 Lacs - 1 crore</li> </ul>	<ul> <li>1-5 Lacs</li> <li>10-25 Lacs</li> <li>&gt; 1 Crore</li> </ul>	○ 5-10 Lacs	<ul> <li>○ 1-5 Lacs</li> <li>○ 10-25 Lacs</li> <li>○ &gt; 1 Crore</li> </ul>	○ 5-10 Lacs	<ul> <li>○ 1-5 Lacs</li> <li>○ 10-25 Lacs</li> <li>○ &gt; 1 Crore</li> </ul>								
					ve and (b) Non-Individua									
(h) Net Worth (₹) (Not older than 1 year)	_		_											
(e) Occupation (✓)	Pvt. Sector Service       0         Govt. Service       0         Professional       0         Retired       0	Public Sector Service     Business     Housewife     Student     Agriculturist	<ul> <li>Pvt. Sector Service</li> <li>Govt. Service</li> <li>Professional</li> <li>Retired</li> </ul>	Public Sector Service Business Housewife Student Agriculturist	<ul> <li>Pvt. Sector Service</li> <li>Govt. Service</li> <li>Professional</li> <li>Retired</li> </ul>	Public Sector Service Business Housewife Student Agriculturist								
(f) Others (✓) (Applicable for Karta of HUF also)	<ul> <li>I am a politically expo</li> <li>I am related to a politi</li> <li>Not applicable</li> </ul>	sed person cally exposed person	<ul> <li>I am a politically expose</li> <li>I am related to a politication</li> <li>Not applicable</li> </ul>	ed person ally exposed person	<ul> <li>I am a politically expose</li> <li>I am related to a politication</li> <li>Not applicable</li> </ul>	ed person								
FOR NON-INDIVIDUAL INVESTORS ONLY	(If No, please attach L If the Entity involved/p ◯ Gaming/Gambling/	Jltimate Beneficiary Ov providing any of the foll Lottery/Casino Service	es O Foreign Exc		below) O NO	○ NO								
6. DECLARATION, CONSEN	I & SIGNATURES	(To be signed as per M	Node of Holding)											

I/We have read and understood the contents of the Scheme Information Document, Statement of Additional Information and Key Information Memorandum of the aforesaid Scheme(s) of L&T Mutual Fund including the sections on "Who cannot invest", "Foreign Account Tax Compliance Act (FATCA) / Common Reporting Standard (CRS)" ("Reporting Guidelines")" and "Important Note on Anti Money Laundering, Now-YourCustomer and Investor Protection". I/We hereby apply for allotment/purchase of Units in the Scheme(s) and agree to abide by the terms and conditions applicable thereto. I/We hereby declare that I/We andrea authorised to make this investment and that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any authority in India. I/We hereby authorise L&T Mutual Fund ("the Fund"), its Investment Manager ("L'TIM") and its agents to disclose details of my investment to my bank(s)/ Fund's bank(s) and/or Distributor/Broker/Investment Adviser/any governmental or regulatory authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing schemes of various Mutual Fund is from amongst which the Scheme(s) is being recommended to me/us. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the information given in this application form is correct, complete and truly stated. I/We accept and agree to abide by the terms and conditions and privacy policy (as mentioned on www.ltfs.com) with respect to my/our dealings with L&T Mutual Fund/its Investment Manager through various channels. In case there is any change in the information (especially pertaining to Reporting Guidelines) basis the information / documents received by LTIM/Fund/Registrar and Transfer Agent ("RTA") from ot I/We have read and understood the contents of the Scheme Information Document. Statement of Additional Information and Key Information Memorandum of the aforesaid Scheme(s) of L&T Mutual Fund including the sections on

IWe hereby accord my/our consent to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/us, including all changes, updates to such information as and when provided by me/ us to the group companies of L&T Financial Services for any valid business purposes including marketing or sales promotion or with any statutory or judicial authorities, without any prior intimation to me/us, until notified by me/us otherwise. APPLICABLE FOR INVESTMENT THROUGH SEBI REGISTERED INVESTMENT ADVISER / PORTFOLIO MANAGER : I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan to the above mentioned SEBI Registered -Investment Adviser/ Portfolio Manager

	🛋 (Sole/First Unit Holder)	🛋 (Second Unit Holder)	💋 (Third Uni	K (Third Unit Holder)						
Date	ΜΙΜΙΥΙΥΙΥΙΥ		Place							
	<b>call</b> 1800 4190 200 or 1800 2000 400	whatsapp @ 9326929294	email investor.line@Intmf.co.in	www.Intmf.com						
	Join us on WhatsApp @ 9326929294 for financial and non-finan Int-investment-management). (For Resident individual investors Note: Effective February 1, 2021, units will be allotted only up	only with mode of holding as single).	, C	,						

actions registered prior or post February 1, 2021. Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday.



Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

### **GENERAL INSTRUCTIONS**

Please read the below instructions carefully before filling the form. Please fill up the form in English in BLOCK LETTERS with black or dark ink. All information sought in the form is mandatory except where it is specifically indicated as optional. All instructions & notes are subject to SEBI & AMFI guidelines as amended from time to time. Please note in case of any error while filling the form all applicants must sign against the corrections.

 Applicant Information: Please furnish the Folio Number, Name and PAN of Sole / First Applicant in Section 1 of the Form. Your transaction would be processed in the specified folio.

Your personal information and bank account details would apply to this investment as well.

**Contact Details:** Please provide the Mobile Number and E-Mail Address of the Sole / First Applicant in the form in case of Individuals and Key Contact in case of Non Individuals. This would help us seamlessly communicate with you on your investments.

KYC is mandatory. Please enclose copies of KYC acknowledgement letters for all applicants. \*\*PEKRN required for Micro investments upto Rs. 50,000 in a year.

\* 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).

Permanent Account Number (PAN): Furnishing of PAN is mandatory for all applicants except where specific dispensation is provided under law.

## 2. Additional Purchase Request:

- a. Please mention the name of the Scheme where you plan to make your investment and your preferred option. If you do not indicate your preferred option, your application would be processed based on the terms & conditions set out in the Scheme Information Document.
- b. If you are not investing through a Distributor, please suffix "Direct Plan" after the scheme name.
- c. Your investment cheque should be crossed "Account Payee only" and drawn favoring the scheme name where the investment is in a specific scheme
- d. Please ensure that the investment cheque issued by you complies with CTS 2010 requirements stipulated by the Reserve Bank of India. The words "CTS 2010" should appear on the face of the cheque.
- e. Payments made by Cash/Money Order/Postal Order, Non- MICR cheques Outstation cheques are not accepted. Post dated cheques will not be accepted except for investment made under Systematic investment Plan.
- f. Third Party Payments for investments are not accepted except in the below cases :
  - Payment by employer on behalf of employees under SIP or lump sum subscription through Pay Roll deductions or deductions out of expense re imbursements.
  - Custodian on behalf of an FPI or Client made by Custodian.
  - Payment by an AMC to its empanelled distributor on account of commission/ incentive etc. in the form of Mutual Fund units through SIP or lump sum/one time subscription.
  - Payment by a Corporate to its Agent/Dealer/Distributor on account of commission or incentive payable for sale of its goods/services in the form of Mutual Fund units through SIP or lump sum/one time subscription.
- g. Effective February 1, 2021, units will be allotted to the investors only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.

In the above cases, necessary declaration / banker's certificate needs to be provided confirming the source of funds for the investment. Please refer the SAI for more details.

If you wish to hold your investments in the Dematerialised mode, please provide the name and DP ID of your Depository Participant and your Beneficiary Account Number. Please also attach a copy of the Client Master that you may have received from your Depository Participant.

Please ensure that the sequence of names in the application form matches the sequence in which the Demat account is held. If the sequence of names does not match with the Demat account details provided therein, the Units will not be transferred to the Demat Account and the Units will be held in the physical mode.

Please note that this is an additional facility offered to unit holders who wish to hold units in the dematerialised mode. This is not a mandatory requirement and unit holders can continue holding units physically with the mutual fund. If you do not wish to hold your mutual fund units in the dematerialised form, please strike off this section.

If the demat account details in the application form are incomplete/incorrect or does not match with the records of the Depository, units would be allotted and held electronically in the Fund's books and an Account Statement confirming the unit allotment would be sent to you.

## 3. Switch Request:

- a. Please ensure that the Target and Source schemes are correctly indicated.
- b. Please specify the amount/number of units you wish to switch. If you wish to switch all units, tick against the box provided.
- c. If your switch request specifies both amount and units for switch, the latter will be processed.
- d. If the balance in the source scheme/option, after taking the switch into account falls below the minimum redemption size (either in amount or units whichever is less), the entire balance in the Source scheme will be switched to the Target Scheme.
- e. Note: Switch transactions are also subject to realization of funds in the respective schemes account with effect from February 1, 2021.

#### 4. Redemption Request:

- a. Please specify scheme details and the amount/number of units you wish to redeem. If you wish to redeem all units, tick against the box provided.
- b. If your redemption request specifies both amount and units for redemption, the latter will be processed.
- c. A redemption will be processed only for the amount which has been realized.
- d. To safeguard investors against fraudulent action, where the redemption request is received along with Change of address/bank request, the AMC reserves the right to release the payment only after completing necessary additional checks.
- If your redemption request is followed within 10 days from your request for change in bank account, payout of redemption proceeds is subject to applicable cooling off period.
- 5. Signatures: All signatures should be in English or any other Indian Language. Thumb impressions should be from the left hand in case of males and right hand in case of females. All such thumb impressions need to be attested by a Magistrate, Notary Public or Special Executive Magistrate under his/her official seal.
- 6. Employees Unique Identification Number (EUIN): If you are making this investment based on an investment advise received from your distributor, please quote the Employees Unique Identification Number (EUIN) of your relationship manager in the Application Form.

If your distributor has, however, not given you any advice pertaining to the investment or your investment decision is not withstanding the advice provided by your distributor regarding inappropriateness of the scheme vis-a-vis your investment needs, the EUIN box may be left blank. In this case, please sign in the space provided in Section B to confirm your acceptance to the declaration stated therein.

### 7. KYC Details:

7. KIC Details.		
Date of KYC Submission	Current KYC Status	What is required
Upto June 24, 2015	KYC Registered-New KYC	Section 5(e), (f), (g) and (h) is NOT mandatory. Please complete in case of any change in information
	KYC Verified by CVL MF	Submit the following along with the investment: KYC Modification form along with the required supporting documents. Section 5 completely filled
With effect from June 25, 2015	KYC Registered-New KYC	Section 5 with all sections mandatorily filled
	KYC Under Process/ KYC submitted*	Section 5 with all sections mandatorily filled

\*The Under process status should not be older than 15 days.

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# **Transaction Form For STP & SWP**



Please refer to the general instru	ctions for assistance and	complete all sections in En	ıglish. For legibility, pleas	e use BLOCK LETTERS i	n black or dark ink.		Time	e Stamp
Distributor/RIA Co	de Sul	b-Distributor ARN	Sub-Distr	ibutor Code	EUI	N		nch Code
Initial Commission will be	id by the investor dia	ly to the distribute of the	od on opposite of the	oriouo festere in the "	a the service and	od by the Distail	tor	
Initial Commission will be pain Transaction Charges: SEBI (Mutinivestments sourced by him. The	•	•			•	•		transaction charges for
Investments sourced by him. The No transaction charges would be Investor's Declaration where EUIN	e levied if you are not inves	ting through a Distributor o	or your investment amount	is less than Rs.10,000/ If	f this is the first time, you	are investing in any n	nutual fund, please ticl	k here
person of the above distributor and	d/or notwithstanding the advi	ce of inappropriateness, if an	ny, provided by the employee	/relationship manager/sale	s person of distributor an	d the distributor has n	ot charged any adviso	ry fees on this transaction.
		⊯ 2nd /	Applicant		<i>⊯</i> 3rd	Applicant		
1. APPLICANT INFO	ORMATION							
Name of Sole/1st Unit H	lolderFi	rst Name	Middle N		Last Nan	ne	olio No.	
PAN/PEKRN**	First Unit Ho	lder	\$	econd Unit Holder			Third Unit Holder	<u>,                                     </u>
KIN*	First Uhit Holder		Se	çond Urlit Hþlder			Third Unit Hold	er
Date of Birth* (1st Unit Hold	der) D   D   M   M   Y	YYYY Date of	Birth* (2nd Unit Holder	) DIDIMIMIYI	Y   Y   Y Date of	of Birth* (3rd Unit	Holder) D   D   N	/ M Y Y Y Y
Mobile No. +91-			E-mail	ID				
KYC is mandatory. Please en ^ 14 digit KYC Identification							r.	
2. SYSTEMATIC WI	THDRAWAL PLA	N (SWP) - Please	note that the value	e of the unit balance	ce in the source s	scheme should	be at least Rs.	25,000
Scheme Name L&T				Option (V	) Growth O IDC	W Pavout O ID	CW Reinvestme	nt O Bonus^
IDCW Frequency (√wh	erever applicable)	O Daily O Weel	kly O Monthly*		$\bigcirc$ Annual <sup>^</sup> $\bigcirc$ Se			in O Donido
				,				
Withdrawal preference	(✓)		OR	Capital Apple	opreciation (Availa	able for GROWT	<b>H</b> plan only)	
Withdrawal frequency	. ,	○ Quarterly	○ Semi-Annual	O Annual				OR O Till balance
Withdrawal date (✓) ○ ^Available in select sci		$0$ th* $\bigcirc$ 15th $\bigcirc$ 20th $\bigcirc$	○ 25th ○ 28th With	drawal period Fron	n <u>IVI IVI T T 1</u>		/	OR O Till balance
3. SYSTEMATIC TR	•	(STP) - Please note	e that the value of t	he unit balance in	the source sche	me should be a	nt least Rs. 25,0	00
Scheme Name L&T				Option (✓)	⊖ Growth ⊖ ID	CW@ Payout C	IDCW <sup>@</sup> Reinve	stment O Bonus^
IDCW <sup>@</sup> Frequency (√w	herever applicable)	O Daily O Weekly	y O Monthly*	Quarterly O A	nnual^ 🔿 Semi-	Annual <sup>^</sup>		
To Scheme L&T				Option (✓)	⊖ Growth ⊖ ID	CW <sup>@</sup> Payout ◯	IDCW <sup>@</sup> Reinve	stment O Bonus^
IDCW <sup>@</sup> Frequency (√w	herever applicable)	O Daily O Weekly	y ○ Monthly*	◯ Quarterly ◯ A	nnual^ 🔿 Semi-/	Annual^		
<sup>@</sup> Note: IDCW stands for sale price that represent								
in accordance with the R	Regulations).				. <u>.</u>			$\sim$ OR $\bigcirc$ Till balance
Transfer preference $(\checkmark)$ Transfer frequency	⊖ Amount (₹) ⊖ Daily		tal Appreciation (Availa	ble for GROWIH plan	only) From	10		
(Please (✓) anyone)	○ Weekly			Wed O Thu	⊖ Fri			
	<ul> <li>Fortnightly</li> <li>Monthly*</li> </ul>		○ <b>15th*</b> ○ 5th ○	7th 0 10th	* 0 15th	◯ 20th	○ 25th	○ 28th
	Quarterly			7th 0 10th	* 0 15th	○ 20th	○ 25th	○ 28th
*Default option if not se Note: Effective February amount. Net Asset Value	1, 2021, units will be		ceipt of subscription					
4. DECLARATION, 0	. ,		•	• •			••• p••••• •••••	.,
I/We have read and under nor been induced by any								
registered distributors bas commissions to me/us (in	sed on my/our asses	sment of various fac	ctors including the s	ervice rendered by	the distributor. Als	o, the AMFI reg	istered distribute	or has disclosed the
I/We hereby accord my/o information as and when	provided by me/ us t	o the group compan	ies of L&T Financial	Services for any va				
any statutory or judicial au	uthorities, without an	y prior intimation to m	ne/us, until notified b	y me/us otherwise.		-	-	
🗾 📈 (Sole	/First Unit Holder)		🛋 (Secon	d Unit Holder)		Ľ	(Third Unit Holde	
ACKNOWLEDGE	MENT SLIP (To b	e filled in by the Ap	plicant)					L&T Financial Services
Folio No	Deseived f		Nerre	of the Cole/First Li-	it Holdon		$\Box$	Mutual Fund

Folio No Scheme/Plan/Option	Received from	Nam	e of the Sole/Fi	rst Unit Holder			For Office Use Only
SWP Instalment amount			,	O Semi Annual			Acknowledgement Stamp & Date
○ STP Instalment amount		Frequency( ) O Daily</th <th>O Weekly</th> <th><ul> <li>Fortnightly</li> </ul></th> <th><ul> <li>Monthly</li> </ul></th> <th><ul> <li>Quarterly</li> </ul></th> <th>07</th>	O Weekly	<ul> <li>Fortnightly</li> </ul>	<ul> <li>Monthly</li> </ul>	<ul> <li>Quarterly</li> </ul>	07

## **GENERAL INSTRUCTIONS**

Please read the below instructions carefully before filling the form. Please fill up the form in English in BLOCK LETTERS with black or dark ink. All information sought in the form is mandatory except where it is specifically indicated as optional. All instructions & notes are subject to SEBI & AMFI guidelines as amended from time to time. Please note in case of any error while filling the form all applicants must sign against the corrections.

1. Applicant Information: Please furnish the Folio Number, Name and PAN of Sole / First Applicant Section 1 of the Form. Your investment would be processed in the specified folio

Your personal information and bank account details would apply to this investment as well.

Contact Details: Please provide the Mobile Number and E-Mail Address of the Sole / First Applicant in the form in case of Individuals and Key Contact in case of Non Individuals. This would help us seamlessly communicate with you on your investments.

Permanent Account Number (PAN): Furnishing of PAN is mandatory for all applicants except where specific dispensation is provided under law.

## 2. Systematic Withdrawal Plan (SWP):

- a. The minimum withdrawal size is Rs.500 except for L&T Liquid Fund and L&T Cash Fund. For L&T Liquid Fund and L&T Cash Fund it is Rs. 1000.
- b. SWP will be registered within 7 calendar days from the date of your request.
- You can cancel a registered SWP at any time by submitting a written request. The cancellation will be processed within 15 days.
- d. If your SWP request specifies both amount and units, the SWP will be processed on the basis of amount.
- e. If the scheme/plan/option is not mentioned and there is only one scheme/plan/ option available in the folio, the same will be processed.

## 3. Systematic Transfer Plan (STP):

- a. The minimum transfer size is Rs.500 except for L&T Liquid Fund and L&T Cash Fund. For L&T Liquid Fund and L&T Cash Fund it is Rs. 1000.
- b. STP will be registered within 7 calendar days from the date of your request.
- You can cancel a registered STP at any time by submitting a written request. The C. cancellation will be processed within 15 days.

- d. If the plan/option if the source scheme is not mentioned and there is only one plan/option available in the folio, the STP will be processed.
- If the plan/option of the target scheme is not mentioned, the STP will be processed as per the default options mentioned in the Scheme Information Document of the respective scheme.
- In case the criterion of the minimum amount for the purpose of transfer of units f. under the STP facility is not met, the AMC reserves the right to discontinue the STP/cancel the registration for STP..
- g. Daily STP is availble only for fixed amounts.
- h STP transfers can be made on a daily basis i.e. Monday to Friday subject to such days being business days.
- Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.
- 4. Signatures: All signatures should be in English or any other Indian Language. Thumb impressions should be from the left hand in case of males and right hand in case of females. All such thumb impressions need to be attested by a Magistrate, Notary Public or Special Executive Magistrate under his/her official seal.
- 5. Employees Unique Identification Number (EUIN): If you are making this investment based on an investment advise received from your distributor, please quote the Employees Unique Identification Number (EUIN) of your relationship manager in the Application Form.

If your distributor has, however, not given you any advice pertaining to the investment or your investment decision is not withstanding the advice provided by your distributor regarding inappropriateness of the scheme vis-a-vis your investment needs, the EUIN box may be left blank. In this case, please sign in the space provided in Section B to confirm your acceptance to the declaration stated therein.

call 1800 4190 200 or 1800 2000 400

email investor.line@Intmf.co.in

www.ltfs.com

Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021. Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

# **IDCW Transfer Plan Enrolment Form**

Please refer to the general in	structions for assistance	and complete all sectio	ns in English For le	gibility, please use BLOCK LET	Time Stamp
Distributor/RIA Code	Sub-Distributor		listributor Code	EUIN	Branch Code
nitial Commission will be paid by t	he investor directly to the distri	butor, based on assessment	of various factors includ	ling the service rendered by the Distrib	putor.
investments sourced by him. The transa	ction charges deductible are Rs. 150	)/- if you are investing in Mutual Fu	inds for the first time. If you a		or has opted to receive transaction charges for charges would be deducted over 3-4 instalments. y mutual fund, please tick here
					advice by the employee/relationship manager/sales s not charged any advisory fees on this transaction.
Z Sole/1st Applicant				🗷 3rd Applicant	
APPLICANT INFORMAT	ION				
Name of Sole/1st Unit Holder	First Name	Midd	le Name	Last Name	Folio No.
PAN/PEKRN**	First Unit Holder		Şecor d Un it Ho der		Third Unit Holdþr
KIN*	t Uhit Holder		Se¢ond Urlit Hblddr		Third Unit Holder
Date of Birth* (1st Unit Holder)		Date of Birth* (2nd Unit He	older) D D M M Y	□ Y   Y   Y Date of Birth* (3rd Ur	nit Holder)
Mahila Na +01		E	ail ID		
		ent letters for all applicants.	**PEKRN required for Mi	cro investments upto Rs. 50,000 in a y Central KYC Records Registry (CKYCR)	
SOURCE SCHEME (Fro	m where you wish to t	ransfer the amount)	TARGET SCHEI	ME ( To where you wish to t	ransfer the amount)
Scheme Name L&T			Scheme Name L	&Τ	
Plan (✓) D	irect	Non-direct	Plan (√) □ D	Direct Non-dire	ct
Option (✓)	DCW <sup>@</sup> Reinvestment	IDCW <sup>@</sup> Payout	Option (√) G	rowth* Bonus^ IDCW <sup>@</sup> R	einvestment IDCW <sup>@</sup> Payout
IDCW <sup>@</sup> frequency			IDCW <sup>@</sup> frequency		
Default option if not selected	^Available in se	lect schemes only			
Note: Effective February 1, 202 amount. Net Asset Value (NAV)	1, units will be allotted only will be applied based on real	upon receipt of subscript ization of funds for all pur	ion amount by the Fu chases including syste	IND House for utilisation irrespecti ematic transactions registered prio	ve of any scheme category/investmen r or post February 1, 2021.
	zed gains, as may be decla				alization Reserve), which is part of the f distributable surplus as calculated in
DECLARATION, CONS					
					tional Information and the terms and conditions of the Scheme(s)/Plan(s)
I/We hereby accord my/our co	ided by me/ us to the group	companies of L&T Finan	cial Services for any v	valid business purposes including	cluding all changes, updates to such marketing or sales promotion or with
Sole/First Applic	ant/Guardian	Sec	ond Applicant		Third Applicant
		(Not applicable	if first applicant is minor;	) (Not applie	cable if first applicant is minor)
ACKNOWLEDGEMENT SLIP	(To be filled in by the Appl	icant)			L&T Financial Services
Received from		F	olio no/ Application no	D:	

Acknowledgement Stamp & Date

Subject to further verification and furnishing of mandatory information/ documents. Please retain this slip until processe	1
--	---

Mobile No:

Request submitted \_

PAN:

## FEATURES AND TERMS AND CONDITIIONS OF IDCW TRANSFER PLAN

Please read the below instructions carefully before filling the form. Please fill up the form in English in BLOCK LETTERS with black ink. All information sought in the form is mandatory except where it is specifically indicated as optional.

- IDCW stands for 'Income Distribution cum Capital Withdrawal option'
- IDCW Transfer Plan (DTP) facility is available in the IDCW options under all open ended schemes of the Fund except L&T Tax Advantage Fund where in the said scheme can only be the Source scheme and not the Target Scheme.
- The minimum amount of IDCW eligible for transfer under the DTP facility is Rs. 100 (as reduced by the amount of applicable statutory levy). In case the amount of IDCW is less than or equal to Rs. 100 per folio, the same will get compulsorily reinvested in the Source Scheme as per applicable NAV.
- Under the DTP facility, IDCW declared in the Sources Scheme will be automatically invested in the Target Scheme as per the applicable NAV of the Business Day immediately succeeding the record date.
- The provisions pertaining to "Minimum Initial Application Amount" and "Minimum Additional Application Amount" in respect of the Target Scheme will not be applicable for the investments made through the DTP facility.
- Units allotted under the DTP facility will be subject to exit load as per the provisions specified in the Scheme Information Document of the Target Scheme.
- Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.
- Permanent Account Number (PAN): Furnishing of PAN is mandatory for all applicants except where specific dispensation is provided under law.

call 1800 4190 200 or 1800 2000 400

email investor.line@Intmf.co.in

www.Intmf.com

CL08022

Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.

Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

Banker's Certificate (In case of Demand Draft/Pay Order/Any Other pre-funded instrument)



7

## To whomsoever it may concern:

I/We hereby confirm the following details regarding the instrument issued by us:

INSTRU	UMENT	DET	AILS																									
Instrument T	уре	Demar	nd Dra	aft [	Pay	Order	/ Bank	er's Ch	neque											Date				/   M	Y	Υ	Y	Y
Instrument N	lumber									Inst	rume	ent Arr	nount (ii	ו Rs.)														
Instrument A	mount (ir	n words	)																									
In Favour of	/ Favouri	ing																										
Payable at																												
DETAIL	LS OF E	BANK	ACC	OUI	NT DE	BITE	ED FO	RISS	UIN	G TH	IE IN	NSTR	RUME	NT														
Bank Accour	nt No.															Acco	unt Ty	pe ( <b>√</b> )	Sa	aving	s 🗌 (	Curre	ent	NRE	: 🗌 N	IRO	FC	CNR
Bank Name /	/ Branch																											
Name of Sol	le/1st Un	it Holde	er		Fire	st Nam					Midd	lle Na					Last I				Fo	lio N	lo.					
PAN/PEKRN				irs <b>t</b> U	ni <b>(</b> Hold	ler						\$e	corld U	n <mark>it Ho</mark>	lder									Hold	er			
KIN*		Fir	\$t Uhi	t Hold	der								ond Ur											nit Ho				
Date of Birth*	* (1st Unit	Holder)		)   M	MIY	YY	Y I	Date of	Birth*	(2nd	Unit H	Holder)	DD	MIN	/   Y		Y	Date o	f Birth	* (3rc	I Unit	Holde	er)		MIN	ЛТХ	Y	ΥΙΥ
Mobile No. +												nail ID																
KYC is mandat ^ 14 digit KYC	•						-			••			•								-							
IF THE											~		J					J			,							
I/We further of	declare tl	hat I/we	are r	eaiste	ered as	a Bar	nk/bran	ch as n	nentio	ned b	below	/:																
Under the Re				5									Name	of Re	gulat	or												
In the Countr	-												Col	intry N	lame													
Registration	-												Registi	ation	Numl	ber												
I/We confirm	having c									regar	d to t	the Be	eneficia	ry and	l to th	ne sourc	e of th	ne fun	ds rec	eive	d fron	n hir	n, as	per t	he st:	anda	ards (	of Anti-
Money Launo	-						it in our	<sup>r</sup> counti	ту.																			
BRANC	СН МАР	NAGE	R/DE	CLA	RAN	Γ(S)																						
Name	Fir	st Name	2				Middle	Name					Last N				Emplo	oyee (	Code									
Address																												
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					🛋 Si	ignatur	re										Banl	k & Br	anch	Seal	(mar	ndato	ory)					
Important No	ote: If is c	larified	that th	ne ba	nkers c	certifica	ate sug	gested	l abov	re is r	ecom	nmeno	datory i	n natu	re as	there m	nay be	e exist	ing Ba	ınk L	etters	s / C	ertifi	cates	/ Dec	clara	tions	s which
will conform	to the sp	irit of th	e requ	uired	details	are me	entione	ed in the	e certi	ficate	•																	
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# **Third Party Payment Declaration Form**



Please refer to the T FOR OFFICE	, ,	nt Rules and Instructions carefully b	efore completing this Form		
	Date of Rece	ipt	Folio No.		Branch Trans. No.
1. BENEFICIA	AL INVESTOR	INFORMATION (Refer instruct	ion no. 2a)		
Folio No. (For existir	a investor)			Application No.	
		R (Refer instruction no. 2b)		Application No.	
		TION (Refer instruction no. 3)			
		N MAKING THE PAYMENT)			
Nationality					
PAN/PEKRN**	First	Unit Holder	secorid Unit Holder		Third Unit Holder
KIN*	First Uhit Ho		Se¢on¢ Urlit Holder		Third Unit Holder
Date of Birth* (1st Uni			2nd Unit Holder) D D M M Y	VIVIVI Date of Birth* (3r	d Unit Holder)   D   D   M   M   Y   Y   Y   Y
Mobile No. +91-			E-mail ID		
^ 14 digit KYC Identifica	ation Number (KIN) CT PERSON & D	f KYC acknowledgement letters for all ap and Date of Birth is mandatory for Individ DESIGNATION (in case of Non-Indi	lual(s) who has registered under Cent	ral KYC Records Registry (CKYC	
MAILING ADDRE	SS (P.O. Box Add	dress may not be sufficient)			
City/Town		State	F	Pin	
	S	Oldic			
Tel. (O) (ISD/STD)		Tel. (R) (ISD/STD)		Mobile + 9 1	
Fax		Email ID			
RELATIONSHIP O	F THIRD PARTY	WITH THE BENEFICIAL INVESTO	OR (Refer Instruction No. 3) [Ple	ase tick (✓) as applicable]	
Status of the Beneficial Investor	FPI Client		Employee(s)	Empanelled Distributor	Agent Deale Distributor
Relationship of Third Party with the Beneficial Investor	Custodian	n No. of Custodian	Employer	AMC	Corporate
Declaration by Third Party	I/We declare that Client and the so	Till D D M M Y Y Y Y the payment is made on behalf of FPI/ urce of this payment is from o us by FPI/Client.	made on behalf of employee(s),as per the list enclosed herewith, under	s made on behalf of empa r distributors on accoun commission/incentive etc.ir form of Mutual Fund units the Systematic Investment	nent is I/We declare that the payment anelled is made on behalf of agents dealer/distributor on account of commission/incentive payable for sale of its goods/services.in the form of Mutual Fund units throug Systematic Investment Plan or lump sum/one time subscription
3. THIRD PAF	RTY PAYMENT	DETAILS (Refer instruction no.	4) - Maximum value should no	ot exceed Rs. 50,000	
Mode of Payment	(Please tick √)	Mandatory Enclosure(s)*			
Cheque Pay Order Demand Draft Banker's Cheque	9	passbook/ statement of bank acco Procured against registered pay Any one of the following (please Letter from Bank Manager with Debit instruction from the bank A copy of the passbook/bank s Procured against cash (For invest	unt or letter from the bank certify <b>r-in account:</b> <b>a tick</b> ✓) details of account holder's name, b <i>c</i> , mentioning the bank account du tatement evidencing the debit for stments below ₹ 50,000/- only): of a Demand Draft / Pay Order ac	ing that the third party mainta pank account number and PAN etails and name of the Third I rissuance of a Demand Draf	N as per bank records (if available) or Party, or
RTGS NEFT Fund Transfer		Copy of the Instruction to the Bank	stating the Bank Account Numb		
* L&T Mutual Fund / Third Party for estab			ives the right to seek information	i and/or optain such other ad	dditional documents/information from t
Amount# in figures	(₹)	in words			
Cheque/DD/PO/UTR	No.		Cheau	e/DD/PO/RTGS Date	MMYYYYY
Pay-in Bank A/c No.					
Name of the Bank					
Branch		Bank City			
		Current NRE NRO			(please specify)

(please specify)

## 4. DECLARATION & SIGNATURE (Refer instruction no. 5)

## THIRD PARTY DECLARATION

I/We confirm having read and understood the Third Party Payment rules, as given below and hereby agree to be bound by the same.

I/We declare that the information declared herein is true and correct, which L&T Mutual Fund is entitled to verify directly or indirectly. I/We agree to furnish such further information as L&T Mutual Fund may require from me/us. I/We agree that, if any such declarations made by me/us are found to be incorrect or incomplete, L&T Mutual Fund is not bound to pay any interest or compensation of whatsoever nature on the said payment received from me/us and shall have absolute discretion to reject / not process the Application Form received from the Beneficial Investor(s) and refund the subscription monies.

I/We hereby declare that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India. I/We will assume personal liability for any claim, loss and/or damage of whatsoever nature that L&T Mutual Fund may suffer as a result of accepting the aforesaid payment from me/us towards processing of the transaction in favour of the Beneficial Investor(s) as detailed in the Application Form.

## APPLICABLE TO NRIS ONLY :

I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account /FCNR Account.

Please (✓) Yes No If yes, (✓) Repatriation basis Non-repatriation basis

Signature of the Third Party

## **BENEFICIAL INVESTOR(S) DECLARATION & SIGNATURE/S**

I/We certify that the information declared herein by the Third Party is true and correct.

I/We acknowledge that L&T Mutual Fund reserves the right in its sole discretion to reject/not process the Application Form and refund the payment received from the aforesaid Third Party and the declaration made by the Third Party will apply solely to my/our transaction as the Beneficial Investor(s) detailed in the Application Form. L&T Mutual Fund will not be liable for any damages or losses or any claims of whatsoever nature arising out of any delay or failure to process this transaction due to occurrences beyond the control of L&T Mutual Fund.

Sole/First Applicant/Guardian

Second Applicant

C Third Applicant

\* Authorised signatory on behalf of employee(s), as per the list enclosed.

D | D | M | M | Y | Y | Y | Y

#### THIRD PARTY PAYMENT RULES

- In accordance with AMFI best practice guidelines Circular No. 16/2010-11, pertaining to "risk mitigation process against Third Party instruments and other payment modes for mutual fund subscriptions", mutual funds/asset management companies shall ensure that Third-Party payments are not used for mutual fund subscriptions.
- 2a. The following words and expressions shall have the meaning specified herein:
  - (a) "Beneficial Investor" is the first named applicant/investor in whose name the application for subscription of Units is applied for with the Mutual Fund.
  - (b) "Third Party" means any person making payment towards subscription of Units in the name of the Beneficial Investor.
  - (c) "Third Party payment" is referred to as a payment made through instruments issued from a bank account other than that of the first named applicant/ investor mentioned in the application form.

In case of payments from joint bank account, the first holder of the mutual fund folio has to be one of the joint holders of the bank account from which the payment is made.

### Illustrations

<u>Illustration 1:</u> An Application submitted in joint names of A, B & C along with cheque issued from a bank account in names of B, C & Y. This will be considered as Third Party payment.

**Illustration 2:** An Application submitted in joint names of A, B & C along with cheque issued from a bank account in names of C, A & B. This will not be considered as Third Party payment.

**Illustration 3:** An Application submitted in joint names of A, B & C along with cheque issued from a bank account in name of A. This will not be considered as Third Party payment.

- 2b. L&T Mutual Fund will not accept subscriptions with Third Party payments except in the following exceptional cases, which is subject to submission of requisite documentation/ declarations:
  - Payment by Employer on behalf of employee(s) under Systematic Investment Plan (SIP) or lump sum/one-time subscription, through Payroll deductions or deductions out of expense re imbursements.
  - (ii) Custodian on behalf of an Foreign Institutional Investor (FII) or a Client
  - Payment by an AMC to its empanelled distributor on account of commission/ incentive etc. in the form of Mutual Fund units through SIP or lump sum/one time subscription.
  - (iv) Payment by a Corporate to its Agent/Dealer/Distributor on account of commission or incentive payable for sale of its goods/services in the form of Mutual Fund units through SIP or lump sum/one time subscription.
- 2c. Applications submitted through the above mentioned 'exceptional cases' are required to comply with the following, without which applications for subscriptions for units will be rejected / not processed / refunded.
  - KYC Acknowledgement letter (as issued by CDSL Ventures Limited) of the Beneficial Investor and the person making the payment i.e. Third Party.
  - (ii) Declaration Form containing the details of the bank account from which the payment is made and the relationship with the investor(s). The declaration has to be given by the person making the payment i.e., third party. The said form shall be available at Investor Service Centres of the Fund or can be downloaded from our website www.ltfs.com.
- 2d. Investor(s) are requested to note that any application for subscription of Units of the Scheme(s) of L&T Mutual Fund accompanied with Third Party payment other than the above mentioned exceptional cases as described in Rule (2b) above is liable for rejection without any recourse to Third Party or the applicant investor(s).

The above mentioned Third Party Payment Rules are subject to change from time to time. Please contact any of the Investor Service Centres of L&T Mutual Fund for any further information or updates on the same.

### 1. GENERAL INSTRUCTIONS

Please read the terms of the Key Information Memorandum, the Scheme Information Document carefully before making an investment decision and filling up the Application Form. Investors are deemed to have accepted the terms of subject to which these offers are being made and bind themselves to the terms upon signing the Application Form and tendering payment for investment.

### Manner of filling Application Form

Manner must be completed in BLOCK LETTERS in ENGLISH.

Please tick in appropriate box for relevant options wherever applicable. Signatures should be in English or in any Indian Language. Thumb impressions must be attested by a Magistrate/Notary Public under his/her official seal. Submission of Application: Duly completed applications along with full payment must be remitted at the designated Collection Centres or may be routed through Agents of L&T Mutual Fund. Note: Kindly retain the acknowledgement slip initiated/stamped by the collecting authority.

### 2. BENEFICIAL INVESTOR INFORMATION

- a. The Third Party should provide the Folio Number of the Beneficial Investor already having an account in any of the L&T Mutual Fund Schemes in Section 1. In case the Beneficial Investor does not have a Folio Number, the Third Party should mention the Application Number as stated in the Application Form. Name must be written in full.
- b. Investors are requested to provide the name of Guardian or name of the FII/ Client, if the Beneficial Investor is a FII/Client. Please note that the name of the First/Sole Applicant should be match with the details mentioned in the Application Form. If the Beneficial Investors are employees, a separate list of employees mentioning their codes and signatures and list of authorised signatories on behalf of employees along with the KYC compliance and PAN details of each employees shall be furnished by the employer on its letterhead.

If the Beneficial Investors are empanelled distributors, a separate list of the distributors mentioning their ARN numbers and signatures shall be furnished.

If the Beneficial Investors are agents/distributors/dealers, a separate list of the agents/distributors/dealers with their signatures and list of authorised signatories on behalf of agents/distributors/dealers along with the KYC compliance and PAN details of each agent/distributor/dealer shall be furnished by the employer on the letter head.

#### 3. THIRD PARTY INFORMATION

"Third Party" Custodian or Employer, making payment towards subscription of Units in the name of the Beneficial Investor(s).

Full Name and relationship of Third Party with the Beneficial Investor must be provided.

The Relationship declared by the Third Party will suggest that the payment made on behalf of Beneficial Investor(s) is:

- a. On behalf of employee under Systematic Investment Plans or as lump sum/onetime subscription, through Payroll deductions or deductions out of expense re imbursements. made by employer; or
- b. On behalf of an FPI or a Client made by the Custodian.
- c. Payment by an AMC to its empanelled distributor on account of commission/ incentive etc. in the form of Mutual Fund units through SIP or lump sum/one time subscription.
- d. Payment by a Corporate to its Agent/Dealer/Distributor on account of commission or incentive payable for sale of its goods/services in the form of Mutual Fund units through SIP or lump sum/one time subscription.

Mailing address and contact details of Third Party must be written in full.

## 4. THIRD PARTY PAYMENT DETAILS

Third Party must provide in the Declaration Form the details of the Pay-in Bank Account i.e. account from which subscription payment is made in the name of the Beneficial Investor(s).

The Declaration Form with incomplete payment details shall be rejected. The following document(s) is/are required to be submitted by Third Party as per the mode of payment selected:

#### (i) Source of funds - if paid by cheque

In case the account number and account holder name of the third party is not preprinted on the cheque, then the third party should provide any one of the following documents:

- 1. A copy of the bank passbook or a statement of bank account having the name and address of the account holder and account number; or
- 2. A letter (in original) from the bank on its letterhead certifying that the third party maintains an account with the bank, along with information like bank account number, bank branch, account type, the MICR code of the branch & IFSC Code (where available). The said letter should be certified by the bank manager with his / her full signature, name, employee code, bank seal and contact number.

The original documents along with the documents mentioned above should be submitted to the Official Points of Acceptance of L&T Mutual Fund. The copy of such documents will be verified with the original documents to the satisfaction of the L&T Mutual Fund / Registrar and Transfer Agent. The original documents will be returned across the counter after due verification.

- (ii) Source of funds if funded by pre-funded investments such as Pay Order, Demand Draft, Banker's cheque etc. against registered Pay-in account
- a proof of debit to the investor's bank account in the form of a bank manager's certificate with details of account holder's name, bank account number and PAN as per bank records, if available, or
- a copy of the acknowledgement from the bank, wherein the instructions to debit carry the bank account details and name of the investor as an account holder are available, or
- c. a copy of the passbook/bank statement evidencing the debit for issuance of a DD.

# (iii) Source of funds - if paid by RTGS, Bank Account-to-Account Transfer, NEFT, ECS, etc.

- 1. In such case, investor is required to provide a copy which has been provided to the Bank indicating the account number and the debit instructions.
- 2. For payment through online mode AMC may match payer account details with registered Pay-in bank accounts of the Investor.

#### (iv) Source of funds - if paid by a pre-funded instrument issued by the Bank against Cash

Subscription through a pre-funded instrument procured against cash shall only be accepted for investments below ₹ 50,000/-. Investor is required to provide a banker's certificate for issuance of a DD against cash stating the investor's name, bank account number and PAN as per bank record (if available).

However, investors are requested to note that such bank account number of the investor is same as the one of the registered bank account mandate(s) with the mutual fund or with the bank details mentioned in the Application Form.

#### 5. SIGNATURE(S)

Signature(s) should be in English or in any Indian Language. Declarations on behalf of minors/FII clients/Employee/Agent/Dealer/Distributor should be signed by their Guardian/Custodian/Employer/AMC/Corporate respectively.

#### 6. PERMANENT ACCOUNT NUMBER

As per SEBI Circular dated April 27,2007, Permanent Account Number (PAN) has been made the sole identification number for all participants transacting in the securities market, irrespective of the amount of transaction, effective July 2, 2007. Transactions by unit holder/investors who fail to submit copy of PAN are liable to be rejected.

Accordingly, it is mandatory for all investors to quote their Permanent Account Number (PAN) and submit certified copy of the PAN card issued by the Income Tax Department, irrespective of the amount of investment, while making an application for Purchase of Units. In case of joint holding, PAN details of all holders should be submitted. In case the application is on behalf of minor, PAN details of the Guardian must be submitted. Furnishing an incorrect PAN or not furnishing these details could invite a penalty of ₹ 10,000 as per the extant provisions of the Income Tax Act, 1961.

However, there are certain nature of transactions and type of clients for which PAN is not mandatory, as mentioned below:

- Micro SIPs: SIPs upto ₹ 50,000/- per year per investor;
- · Investments from investors residing in the state of Sikkim;
- Investments from Central Government, State Government and the official appointed by the Courts e.g. Official Liquidator, Court Receiver etc. (under the category of Government).

In all the above cases, Investors are requested to submit such documents as mentioned under "Non PAN based KYC applicability" under the Section "Know Your Customer".

#### 7. PREVENTION OF MONEY LAUNDERING

SEBI vide its circular reference number ISD/CIR/RR/AML/1/06 dated January 18, 2006 mandated that all intermediaries including Mutual Funds should formulate and implement a proper policy framework as per the guidelines on anti money laundering measures and also to adopt a Know Your Customer (KYC) policy.

The Third Party should ensure that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designated for the purpose of any contravention or evasion of the provisions of the Income Tax Act, Prevention of Money Laundering Act (PMLA), Prevention of Corruption Act and / or any other applicable law in force and also any laws enacted by the Government of India from to to time or any rules, regulations, notifications or directions issued thereunder.

To ensure appropriate identification of the Third Party and with a view to monitor transactions for the prevention of money laundering, L&T Mutual Fund reserves the right to seek information and/or obtain and retain documentation for establishing the identity of the third party, proof of residence, source of funds, etc. It may reverify identity and obtain any incomplete or additional information for this purpose.

L&T Mutual Fund and their Directors, employees and agents shall not be liable in any manner for any claims arising whatsoever on account of freezing the folios/ rejection of any application / allotment of Units or mandatory redemption of Units due to non compliance with the provisions of the Act, SEBI/AMFI circular(s) and KYC policy and / or where the AMC believes that transaction is suspicious in nature within the purview of the Act and SEBI/AMFI circular(s) and reporting the same to FIU-IND.

## INSTRUCTIONS FOR THIRD PARTY PAYMENT DECLARATION FORM (contd.)

## 8. KNOW YOUR CUSTOMER (KYC) COMPLIANCE

- a. KYC is mandatory for investment in mutual funds and all applicants need to comply with this requirement.
  - b. With effect from 1st February 2017, any individual customer who is new to KRA system and whose KYC is not registered or verified in the KRA system, needs to fill in new KYC form viz., "CKYC Form" to comply with the KYC requirements. For more information on KYC requirements, please visit our web site (www.ltfs.com) or speak to your investment adviser.

For non-Individual applicants, KYC Compliance requires identification of the customer by seeking relevant information on incorporation, commencement of business, tax status, nature of entity, nature of business, address and certain details of Promoters/Partners/Trustees/ Whole Time Directors/Kartas of HUF. SEBI has appointed KYC Registration Agencies ( KRA) to facilitate a single KYC across all SEBI registered intermediaries. For more information on KYC requirements, please visit our web site or speak to your investment adviser.

c. If the investment is being made on behalf of a minor applicant, the Guardian needs to comply with KYC requirements.

d. If the investment is being made by a Constituted Attorney on behalf of an applicant, the Attorney needs to comply with KYC requirements.

## Non PAN Based KYC applicability

For certain nature of transactions and type of clients, PAN is not mandatory. In such cases, common standard KYC through CVL will not apply. In such cases, the client will have to submit certain documents as elaborated below and KYC will be handled by the AMC/Registrar & Transfer Agent i.e. Computer Age Management Services Private Limited directly. Such nature of transaction and type of clients and the documents required for successful completion of KYC is mentioned below:

A. Micro SIPs: Micro schemes such as Micro SIPs upto ₹ 50,000/- per year per investor

Documents required

- Standard specified identification instruments like Voter ID card, Government/ Defence ID card, Card of reputed employer, Driving Licence, Passport in lieu of PAN.
- 2. Proof of address copy. It is clarified that where photo identification document contains the address of the investor, a separate proof of address is not required.

 Supporting documents copy shall be self-attested by the investor/attested by the ARN holder mentioning the ARN number or attested by any competent authority.

However, it is clarified that Investors with PAN are required to follow PAN based common standard KYC through CVL procedure as mentioned above.

## B. Investments from investors residing in Sikkim

## Documents required

- Proof of address of Sikkim state and application form should mention the same address.
- 2. Address proof shall be self-attested by the investor/attested by the ARN holder mentioning the ARN number or attested by any competent authority.
- C. Investments from Central Government, State Government and the officials appointed by the courts, e.g., Official Liquidator, Court receiver, etc., (under the category of Government)

#### Documents required

- 1. Proof of identity as documentary evidence in support of the claim for such an exemption.
- 2. Such proof shall be self-attested by the investor/attested by the ARN holder mentioning the ARN number or attested by any competent authority.

For complying with the KYC requirement, all the investors could approach POS or CVL for submitting their KYC Application Form (KAF) and the mandatory documents of Proof of Identity and Proof of Address. The list of all documents required to fill up the form and the detailed process is mentioned above and can be found in the KYC Application Form. An updated list of POS is available at www.ltfs.com and at www. cvlindia.com.

After verification of the KYC Application Form and accompanying documents, investors will receive a letter certifying their KYC compliance. Investors are requested to visit the Knowledge Centre Section of our website www.ltfs.com and also read the KYC section of Statement of Additional Information (SAI) in detail.

Investors are requested to note that KYC would be subject to verification of the veracity of the claim of the investors by collecting sufficient documentary evidence. The AMC reserves the right to ask for the necessary documentation to the satisfaction of the mutual fund.

The AMC, under powers delegated by the Trustee, shall have absolute discretion to reject any application, prevent further transactions by a Unit holder, if after due diligence, the investor/unitholder/a person making the payment on behalf of the investor does not fulfil the requirements of the KYC Policy or the AMC believes that the transaction is suspicious in nature with regard to money laundering.

call 1800 2000 400 or 1800 4190 200

email investor.line@Intmf.co.in

www.ltfs.com

Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday.

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Important Instructions:																				Y	4.		VI	~	KY	C Ser	vices
<ul><li>A. Fields marked with '*' are man</li><li>B. Tick '' wherever applicable.</li></ul>	idatory fie	elds.										d guid ian M		Vehi	cle Act	1988	8 is :	avail	lable	at t	he e	nd					
C. Please fill the form in English	and BLO	CK lette	ers.												availa												
D. Please fill the date in DD-MM-												-			ite app												
E. For particular section update, section number and strike off required to be updated.				OX								o face			скеа т	or acc	coun	ts of	pen	ea us	sing						
For office use only		Applica	ation	Туре	e*		Ne	w		Upo	date																
(To be filled by financial institution	,	KYC N															(Ma	anda	tory	for I	۲C	upd	late i	requ	est)		
		Accou	nt Ty	′pe*			No	rmal		Min	or	A	Aadł	haar (	OTP b	ased E	E-K)	/C (i	in no	on-fa	ce to	o fac	æ m	ode)			
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Name* (Same as ID proof) Maiden Name										] ]																	
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Father / Spouse Name*										1																	
Mother Name	D D -	MN	M -																								
Date of Birth*	M- Ma					F- Fe	mal	0		Γ	<b>- -</b>	Trans	aor	der													
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Residential Status*	_	ident Ir										eign N	lotic			Per		_		,							
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Certified copy of OVD or equivale																ubmit	ted	(anv	one	of th	ne fo	llowi	ina (	סער	3)		
A-Passport Number					010				piry	•		D -	_		- Y		Y		ono	or a			ing c	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	-)		
B-Voter ID Card						1 40	opoi		pny	Duto															РНС	)TO*	
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C-Driving Licence									Driv	/ing i	Licen	CeEx	рігу		9 <u>  V  </u>	<u> </u>	IVI	IVI	-	T T	Ĭ	Ť					
D-NREGA Job Card																											
E-National Population Reg																											
F-Proof of Possession of A	Aadhaar														custome												
II E-KYC Authentication														,	custome												
III Offline verification of Aadh										dhaar I	Number	to be ma	isked	1 by the	custome	r									photo v	imb Imp vithout c	
Address [For other than resident	Individua	l, pleas	se me	entio	n Ove	rseas	Ada	lressj																	tne	face	
Line 1*																		-						-			
Line 2															7	City	/Tov	vn/V	ïllad	e*							
District*					Pin/P	ost Co	ode*							State	 e/U.T (	-					ISC	) 31	66 C	oun	try Co	de*	
				_																					,		
3. CURRENT ADDR																											
Same as above mentioned a	-										-				- 4- 1			-l /			41	£-11-					
I. Certified copy of OVD or equiva	lient e-do	cumen			orOv		aineo	a thro	bugn	aigita		, bloce	ess	need	s to de	e subri	nitte	a (ar	nyor	ie or	the	IOIIO	wing	100	DS)		
B-Voter ID Card								7																			
C-Driving Licence																											
D-NREGA Job Card															1												
	viator Latt																										
E-National Population Reg		er																									
F-Proof of Possession of A	Aadhaar														asked by												
II E-KYC Authentication					No need	to attac	ch. Aad	dhaar c	card. If s	submitt	ted, Aad	haar Nui	nber	to be m	asked by	the cus	stome	r									
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III Offline verification of Aadh IV Deemed Proof of Address Address		ent Ty	pe co	1		to attac	h. Aac	dhaar c	ard. If s	submitt	fed, Aad	haar Nur	nber t	to be m	asked by		tomer					1 1			1 1		
III Offline verification of Aadh IV Deemed Proof of Address Address Line 1*		ent Ty	pe co	1		to attac	h. Aac	dhaar c	ard. If s		ted, Aad	haar Nur	nber t	to be m	asked by		tomer										
III Offline verification of Aadh IV Deemed Proof of Address Address		ent Ty		1			h. Aac	dhaar c	ard. If s		ted, Aad	haar Nur		to be m	asked by				illag	e*							

4. Contact I	Details (All communication	ons will be sent to Mobile num	ber/Email-ID provided) (Please refer instruction ${f C}$ at the end)	
Tel. (Off)	-	Tel. (Res)	Mobile	
Email ID				
5. Remarks	(If any)			
6. Applicant Dec	claration			
undertake to inform or untrue or mislea I hereby declare th any statute of legis time	n you of any changes therein, ding or misrepresenting. I an at I am not making this appli lation or any notifications/dire o receiving information from	, immediately. Incase any of the al n aware that I may be held liable for cation for the purpose contravention actions issued by any government	t of my knowledge and belief and I pove information is found to be false r it. on of any Act, Rules, Regulations or al or statutory authority from time to MS/Email on the above registered [Signature/Thumb Impression]	
Date: D D M	M - Y Y Y Y	Place:	Signature/Thumb Impression of Applic	cant
7. Attestation / F	For Office Use only			
Documents Received	Certified Copies	E-KYC data received	from UIDAI Data received from Offline verification Digital KYC Process	3
KY	C documents verification	carried out by	Institution details	
Date:	DD-MM-YY	YY	Name	
Emp. Name			Code	
Emp. Code Emp. Designation				
Emp. Branch				
	[Employee Signature	1	[Institution Stamp]	
In-F	Person Verification (IPV) of	carried out by	Institution details	
Date:	D D - M M - Y Y	YY		
Emp. Name				
Emp. Code [ Emp. Designation [ Emp. Branch			[Institution Stamp]	
	[Employee Signature	1		

#### General instructions:

- 1. Self-Certification of documents is mandatory.
- Copies of all documents that are submitted need to be compulsorily self-attested by the applicant and accompanied by originals for verification. In case
  the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the
  documents, as per the list mentioned under [F].
- 3. If any proof of identity or address is in a foreign language, then translation into English is required duly attested by the official as indicated above
- 4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- 5. If current & permanent addresses are different, then proofs for both have to be submitted.
- 6. Sole proprietor must make the application in his individual name & capacity.
- 7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport / PIO Card /OCI and overseas address proof is mandatory.
- 8. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
- 9. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board / Passport of Minor / Birth Certificate must be provided.

#### A. Clarification / Guidelines on filling 'Personal Details' section

- 1. Name: The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
- 2. One of the following is mandatory: Mother's name, Spouse's name, Father's name.

#### B. Clarification / Guidelines on filling 'Current Address details' section

- 1. In case of deemed PoA such as utility bill, the document need not be uploaded on CKYCR
- 2. PoA to be submitted only if the submitted PoI does not have current address or address as per PoI is invalid or not in force.
- 3. State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
- 4. In Section 2, one of I, II and III is to be selected. In case of online E-KYC authentication, II is to be selected.
- 5. In Section 3, one of I, II, III and IV is to be selected. In case of online E-KYC authentication, II is to be selected.
- 6. List of documents for 'Deemed Proof of Address'

## Document Code Description

- 01 Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill).
- 02 Property or Municipal tax receipt.
- 03 Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address.
- 04 Letter of allotment of accommodation from employer issued by State Government or Central Government Departments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies and leave and licence agreements with such employers allotting official accommodation.
- 7. Regulated Entity (RE) shall redact (first 8 digits) of the Aadhaar number from Aadhaar related data and documents such as proof of possession of Aadhaar, while uploading on CKYCR.
- 8. "Equivalent e-document" means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the client as per rule 9 of the Information Technology (Preservation and Retention of Information by Intermediaries Providing Digital Locker Facilities) Rules, 2016.
- 9. "Digital KYC process" has to be carried out as stipulated in the PML Rules, 2005.

#### C. Clarification / Guidelines on filling 'Contact details' section

- 1. Please mention two-digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999)
- 2. Do not add '0' in the beginning of Mobile number.

#### D. Clarification / Guidelines on filling 'Related Person details' section

1. Provide KYC number of related person, if available.

### E. Clarification on Minor

- 1. Guardian details are optional for minors above 10 years of age for opening of bank account only
- 2. However, in case guardian details are available for minor 10 years of age, the same (or CKYCR number of guardian) is to be uploaded.

## F. List of people authorized to attest the documents after verification with the originals:

- 1. Authorised officials of Asset Management Companies (AMC).
- 2. Authorised officials of Registrar & Transfer Agent (R&T) acting on behalf of the AMC.
- 3. KYD compliant mutual fund distributors.
- 4. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
- In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.
- 6. Government authorised officials who are empowered to issue Apostille Certificates.

#### G. List of people authorized to perform In Person Verification (IPV):

- 1. Authorised officials of Asset Management Companies (AMC).
- 2. Authorised officials of Registrar & Transfer Agent (R&T) acting on behalf of the AMC.
- 3. KYD compliant mutual fund distributors.
- 4. Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (for investors investing directly).
- 5. In case of NRI applicants, a person permitted to attest documents, may also conduct the In Person Verification and confirm this in the KYC Form.

## H. PAN Exempt Investor Category

- 1. Investments (including SIPs), in Mutual Fund schemes up to INR 50,000/- per investor per year per Mutual Fund.
- 2. Transactions undertaken on behalf of Central/State Government, by officials appointed by Courts, e.g., Official liquidator, Court receiver, etc.
- 3. Investors residing in the state of Sikkim.
- 4. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India

## List of two digit state / U.T codes as per Indian Motor Vehicle Act, 1988

State/U.T	Code
Andaman & Nicobar	AN
Andhra Pradesh	AP
Arunachal Pradesh	AR
Assam	AS
Bihar	BR
Chandigarh	СН
Chhattisgarh	CG
Dadra and Nagar Haveli	DN
Daman & Diu	DD
Delhi	DL
Goa	GA
Gujarat	GJ
Harvana	HR

State/U.T	Code
Himachal Pradesh	HP
Jammu & Kashmir	JK
Jharkhand	JH
Karnataka	KA
Kerala	KL
Lakshadweep	LD
Madhya Pradesh	MP
Maharashtra	MH
Manipur	MN
Meghalaya	ML
Mizoram	MZ
Nagaland	NL
Orissa	OR

State/U.T	Code
Pondicherry	PY
Punjab	PB
Rajasthan	RJ
Sikkim	SK
Tamil Nadu	TN
Telangana	TS
Tripura	TR
Uttar Pradesh	UP
Uttarkhand	UA
West Bengal	WB
Other	XX

# List of ISO 3166 two digit Country Code

Country	Country	Country	Country	Country	Country	Country	Country
Afghanistan	Code AF	Dominican Republic	Code DO	Libya	Code LY	Saint Pierre and Miquelon	Code PM
Aland Islands	AF	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT	Samoa	WS
	DZ	•••	SV		LU	San Marino	SM
Algeria American Samoa	AS	El Salvador	GO	Luxembourg Macao	MO		SIVI
		Equatorial Guinea				Sao Tome and Principe	
Andorra	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic of	MK	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	AI	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Island	MH	Slovakia	SK
Australia	AU	French Guiana	GF	Martinique	MQ	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Island	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Moyotte	ΥT	South Africa	ZA
Bahrain	BH	Gambia	GM	Mexico	МX	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SI
Bermuda	BM	Grenada	GD	Morocco	MA	Swaziland	SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	BO	Guam	GU	Myanmar	MM	Switzerland	CH
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Namibia	NA	Syrian Arab Republic	SY
			GG			Taiwan province of China	TW
Bosnia and Herzegovina Botswana	BA BW	Guernsey		Nauru	MZ		
		Guinea	GN	Nepal Netherlands	NP	Tajikistan	TJ
Bouvet Island	BV	Guinea-Bissau	GW	Netherlands	NL	Tanzania, United Republic of	TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	10	Haiti	HT	New Zealand	NZ	Timor-Leste	TL
Brunei Darussalam	BN	Heard Island and McDonald Islands	HM	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Tokelau	TK
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hong Kong	HK	Niue	NU	Trinidad and Tobago	TT
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	OM	Turks and Caicos Islands	TC
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF	Iraq	IQ	Palau	PW	Uganda	UG
Chad	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
Chile	CL	Isle of Man	IM	Panama	PA	United Arab Emirates	AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT	Paraguay	PY	United States	US
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	CO	Japan	JP	Philippines	PH	Uruguay	UY
Comoros	KM	Jersey	JE	Pitcaim	PN	Uzbekistan	UZ
Congo	CG	Jordan Kazakhatan	JO	Poland	PL	Vanuatu	VU
Congo, the Democratic Republic of the	CD	Kazakhstan	KZ	Portugal	PT	Venezuela, Bolivarian Republic of	VE
Cook Islands	CK	Kenya Kiribati	KE	Puerto Rica	PR	Viet Nam	VN
Costa Rica	CR	Kiribati	KI	Qatar	OA	Virgin Islands, British	VG
Cote d'Ivoire   Code d'Ivoire	CI	Korea, Democratic People's Republic of	KP	Reunion Reunion	RE	Virgin Island, U.S.	VI
Croatia	HR	Korea, Republic	KR	Romania	RO	Wallis and Futuna	WF
Cuba	CU	Kuwait	KW	Russian Federation	RU	Western Sahara	EH
Curacao   Curacao	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthelemy   Saint Barthelemy	BL	Zambia	ZM
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascensino and Tristan da Cunha	SH	Zimbabwe	ZW
Denmark	DK	Lebanon	LB	Saint Kittsand Nevis	KN		
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC		
Dominica	DM	Liberia	LR	Saint Martin (French Part)	MF		

Know Your Client (KYC	) Application Form		nentary CKYC Form filled by customers using old KYC form)	
For Individuals Only (Please fill the form in English Fields marked with * are mand	,	КҮС Туре	<ul> <li>Normal (PAN is mandatory)</li> <li>PAN Exempt Investors</li> </ul>	L&T Financial Services Mutual Fund
1. Identity Details (Please	efer instruction A at the en	d)		
PAN	F	Please enclose a duly att	ested copy of your PAN Card	
	Prefix	- irst Name	MiddleName	Last Name
Name* (same as ID proof)				
Maiden Name (If any*)				
Mother Name*				
Residential Status*	<ul><li>Resident Individual</li><li>Foreign National</li></ul>		Resident Indian on of Indian Origin	
Occupation Type*	S-Service Privat	e Sector 🗌 Publ	c Sector 🗌 Government Sector	
	O-Others Profe	_	Employed  Retired House	ewife 🗌 Student
	B-Business	∐ X-No	t Categorised	
2. FATCA/CRS Information	(Tick if Applicable)	Residence for Ta	R Purposes in Jurisdiction(s) Outside Indi	a (Please refer instruction <b>B</b> at the end)
Additional Details Require	ed* (Mandatory only if ab	ove option is ticked)		
Country of Jurisdiction of			Country Code of Jurisdiction of Re	esidence as per ISO 3166
Tax Identification Number	r or equivalent (If issued			
Place / City of Birth*		Country of E	irth*	Country Code as per ISO 3166
Line 2				
Line 3			City / Tow	/n / Village*
District*	Zip	/ Post Code*	State/UT Code	as per Indian Motor Vehicle Act, 1988
State/UT*		Countr	y*	Country Code as per ISO 3166
3. Details of Related Perso	n (Optional) (please refer i	nstruction G at the end)	(in case of additional related persons, plea	se fill 'Annexure B1')
Related Person	Deletion of Related Pe	erson KYC Num	ber of Related Person (if available*)	
Related Person Type*	Guardian of Minor	Assignee	Authorized Representati	
Name*	Prefix F	First Name	Middle Name	
	(If KYC number and name ar	e provided, below details of	section 6 are optional)	
Proof of Identity [Pol] of		. ,		
(Certified copy of <u>any one</u> of t	he following Proof of Identity	[Pol] needs to be submitt	<i>ed)</i> Passport Expiry Date	
B- Voter ID Card			Passport Expiry Date	
C- PAN Card				
D- Driving Licence			Driving Licence Expiry	
E- Aadhaar Card			с ,	
F- NREGA Job Card				
Z- Others (any docume	nt notified by the central	government)	Identification Nu	mber
4. Remarks (If any)				
therein, immediately. In case any c	of the above information is found to	be false or untrue or misleading	belief and I undertake to inform you of any changes or misrepresenting, I am aware that I may be held	
	tions issued by any governmental o	r statutory authority from time to		[Signature / Thumb Impression]
Date: DD – MM –	Y Y Y Y Place	xe:		Signature / Thumb Impression of Applicant
				Page 1

## Instructions/Guidelines for filling Individual KYC Application Form

## General Instructions:

- 1. Self-Certification of documents is mandatory.
- 2. KYC number of applicant is mandatory for update/change of KYC details.
- 3. For particular section update, please tick (🗸) in the box available before the section number and strike off the sections not required to be updated.
- 4. Copies of all documents that are submitted need to be compulsorily self-attested by the applicant and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the list mentioned under [I].
- 5. If any proof of identity or address is in a foreign language, then translation into English is required.
- 6. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- 7. If correspondence & permanent addresses are different, then proofs for both have to be submitted.
- 8. Sole proprietor must make the application in his individual name & capacity.
- For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCICard and overseas address proof is mandatory.
   In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
- 11. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/ Passport of Minor/Birth Certificate must be provided.

A. Clarification / Guidelines on filling 'Identity Details' section

- 1. Name: Please state the name with Prefix (Mr/Mrs/Ms/Dr/etc.). The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
- 2. Either father's name or spouse's name is to be mandatorily furnished. In case PAN is not available father's name is mandatory.
- B. Clarification/Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India
  - 1. Tax identification Number (TIN): TIN need not be reported if it has not been issued by the jurisdiction. However, if the said jurisdiction has issued a high integrity number with an equivalent level of identification (a "Functional equivalent"), the same may be reported. Examples of that type of number for individual include, a social security/ insurance number, citizen/personal identification/services code/number, and resident registration number)

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call 1800 4190 200 or 1800 2000 400

email investor.line@Intmf.co.in

www.ltfs.com

Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

Central KYC Registry   Know Your Custom	er (KYC) Application Form   Legal Entity/Other than Individuals
Important Instructions:	KYC Services
A. Fields marked with '*' are mandatory fields.	F. List of State/U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
B. Tick ' $\checkmark$ ' wherever applicable.	G. List of two-character ISO 3166 country codes is available at the end.
C. Please fill the date in DD-MM-YYYY format.	H. Please read section wise detailed guidelines/instructions at the end.
D. Please fill the form in English and in BLOCK letters.	I. For particular section update, please tick ( $\checkmark$ ) in the box available before the section
E. KYC number of applicant is mandatory for update application.	number and strike off the sections not required to be updated.
For office use only Application Ty	
(To be filled by financial institution) KYC Number	(Mandatory for KYC update request)
<b>1. Entity Details</b> * (Please refer instruct	ion <b>A</b> at the end)
Name*	
Entity Constitution Type* Others (Specify)	(Please refer instruction B at the end)
Date of Incorporation/Formation* $\square$ $\square$ $\square$ - $M$ $M$ -	Y         Y
Place of Incorporation/Formation*	Country of Incorporation/Formation* TIN or Equivalent Issuing Country
PAN*	Form 60 furnished
TIN/GST Registration Number	
2. PROOF OF IDENTITY (POI)* (Pleas	e refer instruction <b>B</b> at the end)
Officially valid document(s) in respect of person auth	orised to transact
Certificate of Incorporation/Formation	Registration Certificate Regn Certificate No.
Memorandum and Articles of Association	Partnership Deed Trust Deed
Resolution of Board/Managing Committee	Power of Attorney granted to its manager, officers or employees to transact on its behalf
Activity proof – 1 (For Sole Proprietorship Only)	Activity proof – 2 (For Sole Proprietorship Only)
3. ADDRESS (Please see instruction C	
3.1 Registered Office Address/Place	
Proof of Address* Certificate of Incorporation/	
Line 1*	
Line 2	City/Town/Village*
Line 3 District*	
3.2 Local Address in India (If differen	Pin/Post Code* ISO 3166 Country Code* ISO 3166 Country Code*
-	
Line 1*	
Line 2	
Line 3	City/Town/Village*
District*	Pin/Post Code*     ISO 3166 Country Code*
<b>4. Contact Details</b> (All communications w	vill be sent to Mobile number/Email-ID provided may be used) (Please refer instruction <b>D</b> at the end)
Tel. (Off)	Fax
Mobile	Email ID
Mobile         - <td>Email ID      </td>	Email ID
5. Number of Related Persons	(Please fill Annexure A-2 for each related persons & also refer instruction <b>E</b> at the end)

6. Remarks (If any)	
7. Applicant Declaration (Please refer instruction G at the end)	
<ul> <li>I hereby declare that the details furnished above are true and correct to the best of my knoinform you of any changes therein, immediately. Incase any of the above information misleading or misrepresenting. I am aware that I may be held liable for it.</li> <li>I hereby declare that I am not making this application for the purpose contravention of statute of legislation or any notifications/directions issued by any governmental or statutor. I hereby consent to receiving information from Central KYC Registry through SMS/Email o address. I also providing consent to MF/AMC/KRA to share this KYC data with CKYC CKYCR and other participating intermediaries as mandated by PMLA Act/Rules/SEBI guid</li> <li>Date: DD - MM - YYYY Place:</li> </ul>	n is found to be false or untrue or any Act, Rules, Regulations or any y authority from time to time n the above registered number/email CR, download the information from
Documents Received Certified Copies Equivalent e-document	
KYC documents verification carried out by	Institution details
Identity Verification Done Date: DD - MM - YYYY	Name
Emp. Name	Code
Emp. Name     Emp. Code	
Emp. Code	

Annexure A2 I Legal Entity I Other Central KYC Registry   Know Yo		ation Form   Related Person	CAMSKRA
<ul> <li>Important Instructions:</li> <li>A. Fields marked with <sup>(*)</sup> are mandatory fields</li> <li>B. Tick <sup>(√)</sup> wherever applicable.</li> <li>C. Please fill the date in DD-MM-YY format.</li> <li>D. Please fill the form in English and in BLOC</li> <li>E. KYC number of applicant is mandatory for application.</li> </ul>	G. List of two-characte H. Please read section CK letters. I. For particular section number and strike	ode as per Indian Motor Vehicle Act, 1988 is er ISO 3166 country codes is available at the n wise detailed guidelines/instructions at the on update, please tick ( $\checkmark$ ) in the box availat off the sections not required to be updated.	e end. end.
· • · • · · · · · · · · · · · · · · · ·	vplication Type*   New     'C Number   Image: Constraint of the second secon	Update Delete (M	landatory for KYC update and delete request)
1. Details of Related Person* (Pl	ease refer instruction <b>E</b> at th	e end)	
Addition of Related Person	Deletion of Related	d Person Up	date Related Person Details
KYC Number of Related Person (if available	*)	(If KYC number is available,	only 'Related Person Type' & 'Name' is mandatory
Related Person Type* Director	Promoter Karta	Trustee Partner Court Appe	pintment Official Proprietor
Beneficiary	Authorised Signatory		Attorney Holder Other (Please specify)
DIN (Director Identification Number)		(Mandatory if Related Persor	n Type is Director)
1.1 Personal Details (Please reference) Prefix	instruction E at the end) First Name	Middle Name	Last Name
Name* (Same as ID proof)			
Maiden Name			
Father / Spouse Name*			
Mother Name			
		<b>T T </b>	
Gender* M- Male	F- Female	T- Transgender 66 Country Code ()	
		Form 60 furnished	
PAN* 1.2 Proof of Identity and Address			
		Driving Licence Expiry Date       D       -       M	
	Pin/Post Code*	State/U.T Code*	ISO 3166 Country Code*
<b>1.3 Current Address Details</b> (Please Same as above mentioned address (In s		,	
I. Certified copy of OVD or equivalent e-docur			ed (anyone of the following OVDs)
A-Passport Number		gg	
B-Voter ID Card			
C-Driving Licence			
D-NREGA Job Card			
E-National Population Register Letter			
F-Proof of Possession of Aadhaar			
II E-KYC Authentication			
III Offline verification of Aadhaar			
IV Deemed PoA			

V	Self-Declaration
V	Self-Declaration

Address				
Line 1*				
Line 2				
Line 3				City/Town/Village*
District*		Pin/Post Code*	State/U.T C	code* ISO 3166 Country Code*
1.4 Contact Det	tails (All communications will	be sent on provided Mobile	no. / Email-ID provided) (I	Please refer instruction <b>D</b> at the end)
Tel. (Off)		el. (Res)		obile
Email ID				]
2. Applicant De	claration			
<ul> <li>inform you of any misleading or misre</li> <li>I hereby declare th statute of legislatior</li> <li>I hereby consent to address. I also pro</li> </ul>	at the details furnished above are true changes therein, immediately. Inca presenting, I am aware that I may be lat I am not making this application n or any notifications/directions issued receiving information from Central K' oviding consent to MF/AMC/KRA to participating intermediaries as manda	se any of the above informatio held liable for it. for the purpose contravention of l by any governmental or statutor (C Registry through SMS/Email of share this KYC data with CKY	n is found to be false or untru any Act, Rules, Regulations or y authority from time to time on the above registered number/e 'CR, download the information	ie or r any email
Date: D D M	M - Y Y Y Y	Place:		Signature/Thumb Impression of Applicant
6 Attestation /	For Office Use only			
Documents Received		E-KYC data received fr	om UIDAI 📃 Data received	d from Offline verification
	Digital KYC Process	Equivalent e-document		
KY	C documents verification carri	ed out by		Institution details
Date:	DD-MM-YYY	Y	Name	
Emp. Name			Code	
Emp. Code				
Emp. Designation				
Emp. Branch				
	[Employee Signature]			[Institution Stamp]

## Central KYC Registry | Instructions / Check list / Guidelines for filling Legal Entity / Other than Individuals KYC Application Form

K - Artificial Liability Partnership

A. Clarification / Guidelines on filling 'Entity Details' section

- 1. Entity Constitution Type
  - A Sole Partnership H - Trust O - Artificial Juridical Person B - Partnership Firm I – Liquidator
  - C HUF
  - D Private Limited Company
  - E Public Limited Company

J - Limited Liability Partnership

- P International Organisation or
- Agency/Foreign Embassy or Consular Office, etc.
- Q Not Categorized
  - R Others

F - Society

L - Public Sector Banks M - Central/State Government Department or Agency S - Foreign Portfolio Investors

G - Association of Persons (AOP)/Body of Individuals (BOI) N - Section 8 Companies (Companies Act, 2013)

- 2. In case of companies and partnerships, PAN of the entity is mandatory. In case of other entities, Form 60 may be obtained if PAN is not available.
- 3. One of the following is mandatory: Mother's name, Spouse's name, Father's name.

## B. Clarification / Guidelines on filling 'Proof of Identity [POI]' section

- Activity Proof 1 and Activity Proof 2 are applicable for accounts in case of proprietorship firms. Please refer to relevant instructions issued by the Reserve Bank of Α. India in this regard.
- B. Please refer to the relevant instructions issued by the regulator regarding applicable documents for the legal entity.
- C. Certified copy of document or equivalent e-document or OVD obtained through Digital KYC process to be submitted
- D. 'Equivalent e-document' means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the client as per rule 9 of the Information Technology (Preservation and Retention of Information by Intermediaries Providing Digital Locker Facilities) Rules, 2016.
- E. 'Digital KYC process' has to be carried out as stipulated in the PML Rules, 2005.
- F. KYC requirements for Foreign Portfolio Investors (FPIs) will be as specified by the concerned regulator from time to time.

## C. Clarification/Guidelines for filling Proof of Address [PoA]' section

- A. State/U.T Code and Pin/Post Code will not be mandatory for overseas addresses.
- B. Certified copy of document or equivalent e-document to be submitted.

#### D. Clarification/Guidelines for filling 'Related Person Details' section

- A. Please mention two-digit 'country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999)
- B. Do not add '0' in the beginning of Mobile number.

## E. Clarification/Guidelines for filling 'Related Person Details' section

- 1. Personal Details
  - The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected
- 2. Proof of Address [PoA]
  - PoA to be submitted only if the submitted Pol does not have an address or address as per Pol is invalid or not in force.
  - State/U.T Code and Pin/Post Code will not be mandatory for Overseas addresses.
  - In case of deemed PoA such as utility bill, the document need not be uploaded on CKYCR
  - REs may use the Self Declaration check box where Aadhaar authentication has been carried out successfully for a client and client wants to provide a current address, different from the address as per the identity information available in the Central Identities Data Repository.
- C. If KYC number of Related Person is available, no other details except 'Person Type' and 'Name of the Related' are required
- D. Regulated Entity (RE) shall redact (first 8 digits) of the Aadhaar number from Aadhaar related data and documents such as proof of possession of Aadhaar, while uploading on CKYCR.

#### F. Provision for capturing signature of multiple authorised persons is to be made by the RE.

#### G. List of people authorized to attest the documents after verification with the originals:

- 1. Authorised officials of Asset Management Companies (AMC).
- 2. Authorised officials of Registrar & Transfer Agent (R&T) acting on behalf of the AMC.
- 3. KYD compliant mutual fund distributors.
- 4. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
- 5. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.
- 6. Government authorised officials who are empowered to issue Apostille Certificates.

#### General instructions:

- 1. Self-Certification of documents is mandatory.
- 2. Copies of all documents that are submitted need to be compulsorily self-attested by the applicant and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the list mentioned under [F].
- 3. If any proof of identity or address is in a foreign language, then translation into English is required duly attested by the official as indicated above
- 4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- 5. If current & permanent addresses are different, then proofs for both have to be submitted.
- 6. Sole proprietor must make the application in his individual name & capacity.
- 7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport / PIO Card /OCI and overseas address proof is mandatory
- 8. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
- For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher 9. Secondary Board / Passport of Minor / Birth Certificate must be provided.

## List of two digit state / U.T codes as per Indian Motor Vehicle Act, 1988

State/U.T	Code
Andaman & Nicobar	AN
Andhra Pradesh	AP
Arunachal Pradesh	AR
Assam	AS
Bihar	BR
Chandigarh	СН
Chhattisgarh	CG
Dadra and Nagar Haveli	DN
Daman & Diu	DD
Delhi	DL
Goa	GA
Gujarat	GJ
Haryana	HR

State/U.T	Code
Himachal Pradesh	HP
Jammu & Kashmir	JK
Jharkhand	JH
Karnataka	KA
Kerala	KL
Lakshadweep	LD
Madhya Pradesh	MP
Maharashtra	MH
Manipur	MN
Meghalaya	ML
Mizoram	MZ
Nagaland	NL
Orissa	OR

State/U.T	Code
Pondicherry	PY
Punjab	PB
Rajasthan	RJ
Sikkim	SK
Tamil Nadu	TN
Telangana	TS
Tripura	TR
Uttar Pradesh	UP
Uttarkhand	UA
West Bengal	WB
Other	XX

## List of ISO 3166 two digit Country Code

Country	Country Code	Country	Country Code	Country	Country Code	Country	Country Code
Afghanistan	AF	Dominican Republic	DO	Libya	LY	Saint Pierre and Miguelon	PM
Aland Islands	AF	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL		EG	Lithuania	LT	Samoa	WS
Algeria	DZ	Egypt El Salvador	SV	Luxembourg	LU	San Marino	SM
American Samoa	AS		GO	0	MO		SIM
	AS	Equatorial Guinea	ER	Macao	MK	Sao Tome and Principe Saudi Arabia	SA
Andorra		Eritrea		Macedonia, the former Yugoslav Republic of			
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	AI	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Island	MH	Slovakia	SK
Australia	AU	French Guiana	GF	Martinique	MQ	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Island	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Moyotte	ΥT	South Africa	ZA
Bahrain	BH	Gambia	GM	Mexico	ΜX	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SI
Bermuda	BM	Grenada	GD	Morocco	MA	Swaziland	SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	BO	Guam	GU	Myanmar	MM	Switzerland	CH
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GU	Namibia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	MZ	Taiwan province of China	TW
Botswana	BW	Guinea	GN	Nepal	NP	Tajikistan	TJ
Bouvet Island	BV	Guinea-Bissau	GW	Netherlands	NL	Tanzania, United Republic of	TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	10	Haiti	HT	New Zealand	NZ	Timor-Leste	TL
Brunei Darussalam	BN	Heard Island and McDonald Islands	HM	Nicaragua	NI	Тодо	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Tokelau	TK
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hong Kong	HK	Niue	NU	Trinidad and Tobago	TT
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	OM	Turks and Caicos Islands	TC
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF	Iraq	IQ	Palau	PW	Uganda	UG
Chad	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
Chile	CL	Isle of Man	IM	Panama	PA	United Arab Emirates	AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT	Paraguay	PY	United States	US
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	CO	Japan	JP	Philippines	PH	Uruguay	UY
Comoros	KM		JE	Pitcaim	PN	Uzbekistan	UZ
		Jersey					
Congo	CG	Jordan Kanalikatan	JO	Poland	PL	Vanuatu	VU
Congo, the Democratic Republic of the	CD	Kazakhstan	KZ	Portugal	PT	Venezuela, Bolivarian Republic of	VE
Cook Islands	CK	Kenya	KE	Puerto Rica	PR	Viet Nam	VN
Costa Rica	CR	Kiribati	KI	Qatar	OA	Virgin Islands, British	VG
Cote d'Ivoire   Code d'Ivoire	CI	Korea, Democratic People's Republic of	KP	Reunion Reunion	RE	Virgin Island, U.S.	VI
Croatia	HR	Korea, Republic	KR	Romania	RO	Wallis and Futuna	WF
Cuba	CU	Kuwait	KW	Russian Federation	RU	Western Sahara	EH
Curacao   Curacao	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthelemy   Saint Barthelemy	BL	Zambia	ZM
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascensino and Tristan da Cunha	SH	Zimbabwe	ZW
Denmark	DK	Lebanon	LB	Saint Kittsand Nevis	KN		
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC		
Dominica	DM	Liberia	LR	Saint Martin (French Part)	MF		

## Computer Age Management Services Private Limited (CAMS) / CAMS Service Centre

Agartala: Advisor Chowmuhani (Ground Floor), Krishnanagar, Agartala, 799001. Agra: No. 8, II Floor, Maruti Tower, Sanjay Place, Agra 282002 Ahmedabad: 111-113, 1st Floor - Devpath Building, Off C G Road, Behind Lal Bungalow, Ellis Bridge. Ahmedabad, 380006 Ahmednagar. Office No.3, 1st Floor, Shree Parvati, Plot No.1/175, Opp. Mauli Sabhagruh, Zopadi Canteen, Savedi, Ahmednagar, Maharashtra 414003 Ajmer: AMC No. 423/30, Near Church, Brahampuri,Opp T B Hospital, Jaipur Road, Ajmer, 305001. Akola : Opp.RLT Science College, Civil Lines, Akola, 444001. Aligarh: City Enclave, Opp. Kumar Nursing Home, Ramghat Road, Aligarh, 202001 Allahabad: 30/2, A&B, Civil Lines Station, Besides Vishal Mega Mart, Strachey Road, Allahabad., 211001. Alleppey: Doctor's Tower Building, Door No. 14/2562, 1st floor, North of Iorn Bridge, Near Hotel Arcadia Regency., Alleppey, 688001 Alwar: 256A, Scheme No:1, Arya Nagar, Alwar, 301001. Amaravati : 81, Gulsham Tower, 2nd Floor, Near Panchsheel Talkies, Amaravati, 444601 Ambala: Opposite PEER, Bal Bhawan Road, Ground Floor, Ambala, Haryana - 134 003 Amritsar: 3rd Floor, Bearing Unit No. 313, Mukut House, Amritsar- 143001. Anand: 101, A.P. Tower, B/H, Sardhar Gunj, Next to Nathwani Chambers, Anand, 388001. Anantapur: 15-570-33, I Floor, Pallavi Towers, Subhas Road, Opp Canara Bank, Anantapur, 515 001. Andheri: 351, Icon, 501, 5th floor, Western Express Highway, Andheri East, Mumbai - 400069. Ankleshwar: 1st Floor, Prem Prakash Tower, B/H B.N. Chambers, Ankleshwar, Mahadev Road, Ankleshwar- 389 001 Asansol: Block - G 1st Floor, P C Chatteriee Market Complex, Rambandhu Talab P O Ushagram, Asansol, 713303 Aurangabad : 2nd Floor, Block No D - 21-D-22, Motiwala Trade Center, Nirala Bazar, New Samarth Nagar , Opp HDFC Bank, Aurangabad, 431001. Balasore: B C Sen Road, Balasore, 756001. Bangalore: Trade Centre, 1st Floor, 45, Dikensen Road, (Next to Manipal Centre), Bangalore; 560 042. Bangalore: First Floor, 17/1-(272) 12th Cross Road, Wilson Garden, Banglore-560027 Bareilly: F-62-63, Second Floor, Butler Plaza, Civil Lines, Bareilly 243001 Basti: Office no 3, Ist Floor, Jamia Shopping Complex, (OppositePandey School)., Station Road, Basti, 272002. Belgaum: Classic Complex, Block No. 104, 1st Floor, Saraf Colony, Khanapur Road, Tilakwadi, Belgaum-590006. Bellary: 60/5, Mullangi Compound, Gandhinagar Main Road, (Old Gopalswamy Road), Bellary, 583101. Berhampur: Kalika Temple Street, Ground Floor, Beside SBI Bazar Branch, Berhampur, 760002 Bhagalpur, Krishna, I Floor, NearMahadev Cinema, Dr.R.P.Road, Bhagalpur, 812002. Bharuch: A-111, First Floor, R K Casta, Behind Patel Super Market Station Road, Bharuch-392001. Bhatinda: 2907 GH,GT Road, Near Zila Parishad, BHATINDA, 151001. Bhavnagar: 305-306, Sterling Point, Waghawadi Road, OPP. HDFC BANK, Bhavnagar; 364002. Bhilai: 1st Floor, Plot No. 3, Block No. 1, Priyadarshini Parisar West, Behind IDBI bank, Nehru Nagar, Bhilai- 490020 Bhilwara: Indraparstha tower, Second floor, Shyam ki sabji mandi , Near Mukharji garden, Bhilwara, 311001. Bhopal: Plot no 10, 2nd Floor, Alankar Complex, Near ICICI Bank, MP Nagar, Zone II, Bhopal, 462011. Borivali: 501-Tiara CTS 617, 617/1-4, Off. Chandavarkar Lane, Maharashtra Nagar, Borivali West, Mumbai 400 092 Bhubaneswar: Plot No. 501/1741/1846 Premises No. 203,2nd Floor, Kharvel Nagar, Unit-3,Bhubaneswar 751 001 Bhuj: Office No.4-5, First Floor, RTO Relocation Commercial Complex-B, Opposite Fire Station, Near RTO Circle, Bhuj, Kutch 370001. Bhusawal(Parent: Jalgaon TP): 3, Adelade Apartment, Christain Mohala, Behind Gulshan-E-Iran Hotel, Amardeep Talkies Road, Bhusawal, 425201 Bikaner: Behind Rajasthan Patrika, In front of Vijaya Bank, 1404, Amar Singh Pura, Bikaner, 334001. Bilaspur: 2nd Floor, Gwalani Chambers, St Xavier School Road, In front of CIT (Income Tax Office), Vyapar Vihar Bilaspur, 495 001. Bokaro: Mazzanine Floor, F-4, City Centre, Sector 4, Bokaro Steel City, Bokaro , 827004. Burdwan: 1st Floor, Above Exide Showroom, 399, G T Road, Burdwan, 713101. Calicut: 29/97G 2nd Floor, Gulf Air Building, Mavoor Road, Arayidathupalam, Calicut, 673016. Chandigarh: Deepak Tower, SCO 154-155, 1st Floor, Sector 17-C, Chandigarh, 160 017. Chandrapur: Opp Mustafa Décor, Near Bangalore Bakery, Kasturba Road, Chandrapur, 442402 Chennai: Ground Floor No.178/10, Kodambakkam High Road, Opp. Hotel Palmgrove, Nungambakkam, Chennai, 600 034 . Chhindwara: 2nd Floor, Parasia Road, Near Surya Lodge, Sood Complex, Above Nagpur CT Scan, Chhindwara 480001, Madhya Pradesh Chittorgarh: 3 Ashok Nagar, Near Heera Vatika, Chittorgarh, Rajasthan, 312001. Cochin: Modavil, Door No.39/2638, DJ, 2nd Floor, 2A, M G Road, Cochin 682016 Coimbatore: No 1334; Thadagam Road, Thirumoorthy Layout R.S. Puram, Behind Venkleswara Bakery, Coimbatore - 641002 Cuttack: Near Indian Overseas Bank, Cantonment Road, Mata Math, Cuttack, 753001. Darbhanga: Shahi Complex, 1st Floor, Near RB Memorial hospital VI.P. Road Benta, Laheriasarai, Darbhanga- 846001 Davenegere: 13, Ist Floor, Akkamahadevi Samaj Complex, Church Road, P.J.Extension, Devengere, 577002. Dehradun: 204/121 Nari Shilp Mandir Marg, Old Connaught Place, Dehradun, 248001. Deoghar: S S M Jalan Road, Ground floor, Opp. Hotel Ashoke, Caster Town, Deoghar, 814112 . Dhanbad: Urmila Towers , Room No: 111(1st Floor) , Bank More, Dhanbad, 826001 Dharmapuri : 16A/63A, Pidamaneri Road, Near Indoor Stadium, Dharmapuri , 636 701 Dhule : House No 3140, Opp. Liberty Furniture, Jamnalal Bajaj Road, Near Tower Garden, Dhule- 424001 Durgapur: Plot no. 3601, Nazrul Sarani, City Centre, Durgapur- 713216 Erode: 171E, Seshaiyer Complex, 1st Floor, Agraharam Street, Erode, 638001. Faizabad: 1/13/196, A, Civil Lines, Behind Tirupati Hotel, Faizabad- 224001 Faridhabad: B-49, Ist Floor, Nehru Ground, Behind Anupam Sweet House, NIT, Faridhabad, 121001. Gandhidham: Shyam Sadan, First Floor, Plot No. 120, Sector 1/A, Gandhidhanam-370201 Gandhinagar: 507, 5th Floor, Shree Ugati Corporate Park, Opp Pratik Mall, Nr HDFC Bank, Kudasan, Gandhinagar - 382421. Ghaziabad: B-11, LGF RDC, Rajnagar, Ghaziabad 201002 Ghatkopar(Mumbai): Platinum Mall, Office No.307,3rd Floor, Jawahar Road, Ghatkapor East, Mumbai-400077 Goa: Office No.103,1st Floor, Unitech City Centre, M.G. Road, Panaji, Goa 403001 Gondal (Parent Rajkot): A/177, Kailash Complex, Opp. Khedut Decor, GONDAL, 360 311. Gorakhpur: Shop No. 5 & 6, 3rd Floor, Cross Road The Mall, A D Tiraha Bank Road, Gorakhpur 273001 Gulbarga: Pal Complex, 1st Floor, Opp. City Bus Stop, SuperMarket, Gulbarga, 585 101. Guntur: D No.31-13-1158,1st Floor, 13/1 Arundelpet, Ward No.6, Guntur-522002 Gurgaon: Unit No. 115, First Floor, Vipul Agora Building, Sector-28, Mehrauli Gurgaon Road, Chakkarpur, Gurgaon 122 001 Guwahati: Piyali Phukan Road, K C Path, House no - 1, Rehabari , Guwahati - 781008 Gwalior: G-6 Global Apartment, Opp. Income Tax Office, City Centre. , Gwalior, 474002. Haldia: Mouza -Basudevpur, J.L. No. 126, Haldia, Municipality Ward No. 10, Durgachak, Haldia, Dist. Purba Medinipur, West Bengal 721602. Haldwani: Durga City Centre, Nainital Road, Haldwani , Haldwani , 263139. Haridwar: F-3, Hotel Shaurya, New Model Colony, Haridwar, Uttarkhand-249408 Hazaribag: Municipal Market, Annanda Chowk, Hazaribagh, 825301. Himmatnagar: D-78 First Floor, New Durga Bazar, Near Railway Crossing, Himmatnagar, 383 001. Hisar: 12, Opp. Bank of Baroda, Red Square Market, Hisar, 125001. Hoshiarpur : Near Archies Gallery, Shimla Pahari Chowk, Hoshiarpur, 146 001. Hosur: Survey No. 25/204, Attibele Road, HCF Post, Mathigiri, Above Time Kids School, Opposite to Kuttys Frozen Foods, Hosur 635110.. Hubli: No.204 - 205, 1st Floor, 'B 'Block, Kundagol Complex, Opp. Court, Club Road, Hubli, 580029. Hyderabad: No. 15-31-2M-1/4, 1st Floor, 14-A, MIG KPHB Colony, Kukutpally, Hyderabad 500072 Hyderabad: 208, II Floor, Jade Arcade, Paradise Circle, Secunderabad, 500 003. Indore: 101, Shalimar Corporate Centre, 8-B, South tukogunj, Opp. Greenpark, Indore, 452 001. Jabalpur: 8, Ground Floor, Datt Towers, Behind Commercial Automobiles, Napier Town, Jabalpur, 482001. Jaipur: R-7, Yudhisthir Marg ,C-Scheme, Behind Ashok Nagar Police Station, Jaipur, 302 001. Jalandhar: 144, Vijay Nagar, Near Capital Small Finance Bank, Football Chowk, Jalandhar City-144001. Jalgaon: 70, Navipeth, Opp. Old Bus Stand, Jalgaon, 425001. Jalna : Shop No 6, Ground Floor, Anand Plaza Complex, Bharat Nagar, Shivaji Putla Road, Jalna, 431 203. Jalpaiguri: Babu Para, Beside Meenaar Apartment, Ward No. VIII, Kotwali Police Station, PO & Dist Jalpaiguri 735101, West Bengal Jamnagar: 207, Manek Centre, P.N. Marg, Jamnagar, 361008. Jammu: JRDS Heights, Lane Opp. S&S Computers , Near RBI Building, Sector 14, Nanak Nagar, 180004. Jamshedpur: Millennium Tower, "R" Road, Room No:15 First Floor, Bistupur, Jamshedpur, -831001 Janakpuri: 306,3rd Floor,DDA-2 Building,District Centre, Janakpuri, New Delhi-110058 Jaunpur : 248, Fort Road, Near Amber Hotel, Jaunpur , 222001. Jhansi: 372/18 D, 1st Floor above IDBI Bank, Beside V-Mart, Near "RASKHAN", Gwalior Road, Jhansi – 284001 Jodhpur: 1/5, Nirmal Tower, Ist Chopasani Road, Jodhpur, 342003. Junagadh: "Aastha Plus", 202A, 2nd Floor, Sardarbag Road, NR Alkapuri, Opp. Zansi Rani Statue, Junagadh, 362001 Kadapa: Bandi Subbaramaiah Complex, D.No:3/1718, Shop No: 8, Raja Reddy Street, Kadapa, 516001 Kakinada: Door No. 25-4-29, 1st Floor, Kommireddy Vari Street, Beside Warf Road, Opp.Swathi Medicals, Kakinada- 533001 Kalyani: A - 1/50, Block- A, Dist Nadia, Kalyani, 741235. Kannur: Room No.14/435, Casa Marina Shopping Centre, Talap, Kannur, 670004. Kanpur: I Floor 106 to 108, CITY CENTRE Phase II, 63/2, THE MALL, Kanpur, 208 001. Karimnagar: HNo.7-1-257, Upstairs S B H, Mangammathota, Karimnagar , 505 001. Karnal (Parent :Panipat TP): 29, Avtar Colony, Behind Vishal Mega Mart, Karnal, 132001. Karur: 126, G.V.P.Towers, Kovai Road, Basement of Axis Bank, Karur , 639002. Katni: 1st FLOOR, GURUNANAK DHARMAKANTA, Jabalpur Road, BARGAWAN, KATNI, 483 501. Khammam : Shop No: 11 - 2 - 31/3, 1st floor, Philips Complex, Balajinagar, Wyra Road, Near Baburao Petrol Bunk, KHAMMAM, 507 001. Kharagpur: "Silver Palace", OT Road, Inda-Kharagpur,G.P-Barakola,P.S- Kharagpur Local,Pin-721305, Dist-West Midnapore Kolhapur: 2 B, 3rd Floor, Ayodhya Towers, Station Road, Kolhapur, 416001. Kolkata: Kankaria Centre,2/1, 2nd Floor,Russell Street,Kolkata 700071 Kolkata : 3/1, R.N. Mukherjee Road, 3rd Floor, Office space - 3C, "Shreeram Chambers", Kolkata - 700 001. Kollam: Uthram Chambers, Ground Floor, Thamarakulam, Kollam, 691006 Kota: B-33 'Kalyan Bhawan, Triangle Part, Vallabh Nagar, Kota, 324007. Kottayam: 1307 B, Puthenparambil Building, KSACS Road, Opp. ESIC Office, Behind Malayala Manorama, Muttambalam PO, Kottayam-686501 Kumbakonam: No. 28/8, 1st Floor, Balakrishna Colony, Pachaiappa Street, Near VPV Lodge, Kumbakonam - 612 001. Kurnool: Shop Nos. 26 & 27, Door No. 39/265A & 39/265B, 2nd Floor, Skanda Shopping Mall, Old Chad Talkies, Vaddageri, 39th Ward, Kurnool- 518001 Lucknow: Office No. 107, First Floor, Vaishali Arcade Building, Plot No. 11,6 Park Road, Lucknow-226001. Ludhiana: U/GF, Prince Market, Green Field, Near Traffic Lights, Sarabha Nagar Pulli, Pakhowal Road, Ludhiana, 141 002. Madurai: Shop No. 3, 2nd Floor, Suriya Towers, 272/ 273 - Goodshed Street, Madurai - 625 001.Malda: Daxhinapan Abasan, Opp Lane of Hotel Kalinga, SM Pally, Malda, 732 101 Mangalore: No. G 4 & G 5, Inland Monarch, Opp. Karnataka Bank, Kadri Main Road, Kadri, Mangalore, 575 003. Manipal: Shop No A2, Basement Floor, Academy Tower, Opposite Corporation Bank, Manipal, 576104 Mapusa (Parent ISC: Goa): Office No.503, Buildmore Business Park, New Canca By Pass Road, Ximer, Mapusa, Goa 403507 Margao: F4 - Classic Heritage near Axis Bank, opp. BPS club Pajifold Margao, Goa 403601 Mathura: 159/160 Vikas Bazar, Mathura, 281001. Meerut: 108 Ist Floor Shivam Plaza, Opposite Eves Cinema, Hapur Road, Meerut, 250002. Mehsana: 1st Floor, Subhadra Complex, Urban Bank Road, Mehsana, 384 002. Moga: 9 No. New Town, Opposite Jaswal Hotel, Daman Building, Moga 142001 Moradabad: H 21-22, 1st Floor, Ram Ganga Vihar Shopping Complex, Moradabad, 244001. Mumbai: Rajabahdur Compound, Ground Floor, Opp Allahabad Bank, Behind ICICI Bank, 30, Mumbai Samachar Marg, Fort, Mumbai, 400 023 Muzaffarpur: Brahman Toli Durgasthan, Gola Road, Muzaffarpur, 842001. Mysore: No.1, 1st Floor, CH.26 7th Main, 5th Cross, (Above Trishakthi Medicals), Saraswati Puram, Mysore, 570009 Nadiad : F 134, 1st Floor, Ghantakarna Complex, Gunj Bazar, Nadiad- 387001 Nagpur: 145 Lendra, New Ramdaspeth, Nagpur, 440 010. Namakkal: 156A/1, First Floor, Lakshmi Vilas Building, Opp. To District Registrar Office, Trichy Road, Namakkal, 637001. Nanded: Shop No.8,9,Cellar Raj Mohammed Complex,Main Road,Shri Nagar,Nanded 431605 Nasik: 1st Floor,Shraddha Niketan,Tilak Wadi,Opp.Hotel City Pride,Sharanpur Road,Nasik-422002. Navasari: 214-215,2nd floor, Shivani Park,Opp.Shankheswar Complex,Kaliawadi,Navsari -396445,Gujarat Nellore: 97/56, I Floor Immadisetty Towers, Ranganayakulapet Road, Santhapet, Nellore, 524001. New Delhi : : 401 to 404, 4th Floor, Kanchan Junga Building Barakhamba Road, New Delhi 110 Noida: E-3, Ground Floor, Sector 3, Near Fresh Food Factory, Noida- 201301 Palakkad: Door No. 18/507 (3) Anugraha, Garden Street, College Road, Palakkad 678 001 Palanpur: Gopal Trade Center, Shop No.13-14,3rd Floor, Near BK Mercantile Bank, Opposite Old Gunj, Palanpur 385001 Panipat: SCO 83-84, Devi Lal Shopping Complex, Opp RBS Bank, G.T.Road, Panipat, 132103. Patiala: 35, New Lal Bagh, Opposite Polo Ground, Patiala 147001 Pitampura(New Delhi): Aggarwal Cyber Plaza-II, Commercial Unit No. 371, 3rd Floor, Plot No. C-7, Netaji Subhash Place, Pitampura, New Delhi 110034. Patna: G-3, Ground Floor, Om Vihar Complex, SP Verma Road, Near Saket Tower, Patna, 800 001. Pondicherry: S-8, 100, Jawaharlal Nehru Street, (New Complex, Opp. Indian Coffee House), Pondicherry, 605001. Pune: Vartak Pride, 1st Floor, Survay No.46, City Survay No.1477 Hingne Budruk, D.P. Road, Behind Dinanath Mangeshkar Hospital, Karvenagar, Pune 411052. Rae Bareli: 17, Anand Nagar Complex, Rae Bareli, 229001. Raipur: HIG,C-23, Sector - 1, Devendra Nagar, Raipur, 492004. Rajahmundry: Door No: 6-2-12, 1st Floor, Rajeswari Nilayam, Near Vamsikrishna Hospital, Nyapathi Vari Street, T Nagar, Rajahmundry, 533 101. Rajapalayam: No 59 A/1, Railway Feeder Road, Near Railway Station, Rajapalayam, 626117. Rajkot: Office 207 - 210, Everest Building, Harihar Chowk, Opp Shastri Maidan, Limda Chowk, Rajkot, 360001. Ranchi: 4, HB Road, No: 206, 2nd Floor Shri Lok Complex, H B Road Near Firayalal, Ranchi, 834001 Ratlam: 18, Ram Bagh, Near Schoolar's School, Ratlam, 457001. Ratnagiri: Orchid Tower, Ground Floor, Gala No. 06, S. V. No. 301/Paiki 1/2, Nachane Muncipal Aat, Arogya Mandir, Nachane Link Road, Ratnagiri, Mahar ashtra-415612. Rohtak: SCO 06, Ground Floor, MR Complex, Near Sonipat Stand, Delhi Road, Rohtak 124001 Roorkee: 22 CIVIL LINES GROUND FLOOR, HOTEL KRISH RESIDENCY, Roorkee, 247667. Rourkela: JBS Market Complex, 2nd Floor, Udit Nagar, Rourkela-769012 Sagar: Opp. Somani Automobiles , Bhagwanganj , Sagar , 470002 Saharanpur: I Floor, Krishna Complex, Opp. Hathi Gate, Court Road, Saharanpur, 247001 Salem: No.2, I Floor Vivekananda Street, New Fairlands, Salem, 636016. Sambalpur: Opp Town High School, Sansarak, Sambalpur, 768001. Sangli : Jiveshwar Krupa Bldg, Shop. NO.2, Ground Floor, Tilak Chowk, Harbhat Road, Sangli – 416416 Satara: 117/A/3/22, Shukrawar Peth, Sargam Apartment, Satara, 415002. Seerampore: 47/5/1, Raja Rammohan Roy Sarani, PO.Mallickpara, District Hoogly, Seerampore 712203 Shahjahanpur: Bijlipura, Near Old Distt Hospital, Near Old Distt Hospital, Shahjahanpur, 242001. Shillong: 3rd Floor, RPG Complex, Keating Road, Shillong, 793001 Shimla: I Floor, Opp. Panchayat Bhawan Main gate, Bus stand, Shimla ,171001. Shimoga: Near Gutti Nursing Home, Kuvempu Road, Shimoga, 577201 Siliguri: 78, Haren Mukherjee Road, 1st floor, Beside SBI Hakimpara, Siliguri 734001 Sirsa: Beside Overbridge, Next to Nissan car showroom, Hissar Road, Sirsa , 25055. Sitapur: Arya Nagar, Near Arya Kanya School, Sitapur, Sitapur, Sitapur, 261001 Solan : 1st Floor, Above Sharma General Store, Near Sanki Rest house, The Mall, Solan, 173 212. Solapur: Flat No 109, 1st Floor, A Wing, Kalyani Tower, 126 Siddheshwar Peth, Near Pangal High School, Solapur, 413001. Sriganganagar: 18 L Block, Sri Ganganagar, Sri Ganganagar, 335001. Srikakulam: Door No 4-4-96, First Floor, Vijaya Ganapathi Temple Back Side, Nanubala Street, Srikakulam, 532 001. Sultanpur: 967, Civil Lines, Near Pant Stadium, Sultanpur, 228001 Surat: Shop No. G-5, International Commerce Center, Near Kadiwala School, Majura Gate, Ring Road, Surat 395002 Surendranagar: Shop No 12, M.D. Residency, Swastik Cross Road, Surendranagar 363 001 Tambaram: III Floor, B R Complex, No.66, Door No.11A, Ramakrishna Iyer Street, Opp.National Cinema Theatre, West Tambaram, Chennai-600045 Thane: Dev Corpora, 1st Floor, Office No. 102, Cadbury Junction, Eastern Express way, Thane(West) 400 601. Tirupur:1(1), Binny Compound, II Street, Kumaran Road, Tirupur, Tamil Nadu, 641601. Thiruvalla: 1st Floor, Room No-61(63), International Shopping Mall, Opp.St. Thomas Evangelical Church, Above Thomson Bakery, Manjady, Thiruvalla, Kerala-689105 Tinsukia: Bangiya Vidyalaya Road, Near Old Post Office, Durgabari, District Tinsukia, Assam 786 125 Tirunelveli: No.F4, Magnem Suraksaa Apartments, Thiruvananthapuram Road, Tirunelveli 627002 Tirupathi: Shop No 6, Door No 19-10-8 (Opposite Passport office), AIR Bypass Road, Tirupathi 517501. Trichur: Room No. 26 & 27, DEE PEE PLAZA, Kokkalai, Trichur, 680001. Trichy: No 8, I Floor, 8th Cross West Extn, Thillainagar, Trichy, 620018. Kokkalai, 680001. Trivandrum: R S Complex, Opposite of LIC Building, Pattom PO, Trivandrum, 695004. Tuticorin: 4B/A 16, Mangal Mall Complex, Ground Floor, Mani Nagar, Tuticorin, 628 003. Udaipur: 32, Ahinsapuri, Fatehpura Circle, Udaipur 313001. Ujiain : Office at 109, 1st Floor, Siddhi Vinayak Trade Center, Shahid Park, Ujiain - 456 010. Vadodara: 103 Aries Complex, BPC Road, Off R.C. Dutt Road, Alkapuri, Vadodara ,390 007. Valsad: 3rd floor, Gita Nivas, opp Head Post Office, Halar Cross Lane, Valsad, 396001. Vapi: 208, 2nd Floor, Heena Arcade, Opp: Tirupati Tower Near G I D C Char Raasta, Vapi, 396195 Varanasi: Office No 1, 2nd Floor, Bhawani Market, Building No D-58/2-A1, Rathyatra, Beside Kuber Complex Varanasi, 221010 Vashi: BSEL Tech Park, B-505, Plot no 39/5 & 39/5A, Sector 30A, Opp. Vashi Railway Station, Vashi, Navi Mumbai-400705. Vaso(Parent Goa): No DU8, Upper Ground Floor, Behind Techoclean Clinic, Suvidha Complex, Near ICICI Bank, Vasco da gama, 403802. Vellore: AKT Complex, 2nd Floor, Room No 1, 3 New Sankaranpalayam Road Tolgate, Vellore-632001. Vijayawada: 40-1-68, Rao & Ratnam Complex, Near Chennupati Petrol Pump, M.G Road, Labbipet, Vijayawada, 520 010. Visakhapatnam: Flat No. GF2, D. No. 47-3-2/2, Vigneswara Plaza, 5th Lane, Dwarakanagar, Visakhapatnam-530016 Warangal: A.B.K Mall, Near Old Bus Depot road, F-7, Ist Floor, Ramnagar, Hanamkonda, Warangal, 506001. Yamuna Nagar: 124-B/R Model Town, Yamunanagar, 135 001. Yavatmal: Pushpam, Tilakwadi, Opp. Dr. Shrotri Hospital, Yavatma, 445 001,



## L&T Investment Management Limited - Investor Service Centres

Ahmedabad: 1st Floor, Unit No. 101 & 104, Ratnaraj Spring Nr, Navrangpura Bus stand Navrangpura 380015 Banglore : N-111(47-43), Manipal Cenre, First Floor, North Block, Dickenson Road, Banglore 560042 Banglore : Site No.92, Zed Square, 1 Floor, Industrial Layout, JNC Road, th 5 Block, Koramangala, Bangalore - 560 095 Bhavnagar : L&T Financial Services, Shop No.FF-5, Gopi Arcade, Waghawadi Road, Bhavnagar 364002 Bhopal : Alankar Complex, Mezannine Floor, Above ICICI Bank, M.P.Nagar Zone-II, Bhopal-462011 Bhubaneshwar: 428/3818, 2nd Floor, Jaydev Nagar, Kalpana Square, Near Pantho Nivas, Bhubaneswar-751002 Borivali (Mumbai) : Office No.308, 3rd Floor, Jalaram Business Center, Ganjawala Lane, Borivali - West, Mumbai - 400092 Chandigarh : SCO 147-148, Madhya Marg, Second Floor, Sector 8 C, Chandigarh - 160008 Chennai : 6th Floor, K.G.N. Towers, No. 62 Ethiraj Salai ( Commander- In- Chief Road), Egmore, Chennai-600105 Cochin : Govardhan Buildings, No. 66/3118-A, 2nd Floor, Chittoor Road, Ernakulam, Kochi - 682 035 Coimbatore : NO. 2-8, Third Floor, "ATRIA", Dr. NRN Layout, Papanaickenpalayam, Coimbatore - 641 037. Dalamal (Mumbai): 407 Office No.811,8th Floor, Raheja Center, Free Press Journal Road, Nariman Point, Mumbai 400021 Ghatkopar (Mumbai): Office No. 504, 5th Floor, Zest Business Spaces, M.G. Road, Ghatkopar (East), Mumbai- 400077 Goa: 6th Floor, Bhanav Apartment, Near Mahalaxmi Temple, Dr. Atmaram Borkar Road, Panjim, Goa 403001 Gurgaon (New Delhi): Office no 221, 2nd Floor, Galleria Market, DLF City Phase 4, Gurgaon - 122002 Hyderabad : 2 Floor, Unit No. 203/2, Block - I, 6-3-1192/1/1, Kundanbagh, White House, Begumpet, Hyderabad - 500 016 Indore : "Dhan Trident" Block No. B-3, PU- 4, Scheme No. 54, Near Vijay Nagar Square, Indore- 452010 Jaipur : Shop No. 307, Third Floor, Trimurty VJaycity Point, Ashok Marg, C - Scheme, Jaipur 302001 Jalandhar : SCO-3, 2nd Floor, Puda Complex, Adjoining Andhra Bank, Opp. Suvidha Centre, Jalandhar City- 144001 Jamnagar : G-43, Ground Floor, Madhav Plaza, Opp SBI Bank, Near Lal Bunglow Jamnagar -361001 Jamshedpur : 3B, Rear Side, 3rd Floor, Fairdeal Complex, H No 7, Main Road Bishtupur, Jamshedpur-831001 Kalina (Mumbai): Ground Floor, Brindavan, Plot No 177, CST Road, Kalina, Santacruz (E), Mumbai-400098 Kalina Mumbai (HO): 6th Floor, Brindavan, Plot No 177, CST Road, Kalina, Santacruz (E), Mumbai 400 098 Kanpur: 14/116, D-2, 1 Floor, Vodafone Tower, Near Leelamani Hospital, Civil Lines, Kanpur 208 001. Kolhapur : L&T Financial Services, 1st Floor, F01&F02, Jaduben Plaza, 1108/E, Shahupuri, Kolhapur 416001 Kolkata : 4th Floor, 2/1, Kankaria Centre, Russell Street, Kolkata - 700 071 Lucknow : Land No.12, Shop No.106, 1st Floor, Saran Chamber, Near Civil Hospital, Lucknow - 226 001 Ludhiana : SBI Building, Second Floor, Plot No. 19, House 223/2, Rani Jhansi Road, Near Khalsa College for Women, Ludhiana, Punjab 141001 Nagpur : Office No.501, 5th Floor, Shriram Shyam Tower, Sadar, Nagpur-440012 Nashik : 3rd Floor, Office No. 3&4, Bedmuthas Navkar Heights, Sharanpur Road, New Pandit Colony, Nashik 422005 New Delhi : 5th Floor, DCM Building, Barakhamba Road, New Delhi 110001 Panipat : NK Tower, 2nd Floor, GT Road, Panipat-132103 Patna : Office no.201,2nd Floor, Grand Aley Manawar, Ward No. 2, Circle No. 6, Street No.20, Holding No.256, Survey Plot No. 877, Gandhi Maidan Road, Patna-800001 Pune: 3rd Floor, Office No. 301 and 302, Zenith Complex, K B Joshi Path, Shivaji Nagar, Pune 411005 Rajkot : 2nd Floor, Office No. 212, 214 to 215, Part B, Nath Edifice, Dr. Yagnik, Road, Race Course Road, Rajkot 360001 Surat : 8th Floor, Office No. 800A, International Trade Center, Near Majura Gate, Ring Road, Surat 395002 Thane (Mumbai) : 6th Floor, Unit No.627 and 628, Lodha Supremus II, Road No. 22, Wagle Estate, Thane West 400604 Trichy : Sri Krishna Arcade, No.60, First Floor, Thennur High Road, Trichy 621017 Trivandrum : Parmeswara Towers, T C 15/1948(4), Ganapathy Kovil Road, Opp. Canara Bank, Vazhuthacaud, Trivandrum - 695014. Vadodara : Office No .202 - 203, Second Floor, Sahyog Attrium, Old Padra Road, Vadodara - 390015 Varanasi : 2ND Floor, Unit D-64/127-CH, Arihant Complex, Sigra, Varanasi-221010 Vijayawada : D 27-23-252, Gopala Reddy Road, 4th Floor, Flat No. 402, Bhaskar Plaza, Governorpet, Vijayawada, Andhra Pradesh - 520 002.

### L&T Investment Management Limited - Sales Offices

Agra : First Floor, 108, Anand Vrindavan, Sanjay Place, Agra - 282002. Allahabad : FF109 & FF110, 1st Floor, Vinayak Complex, Elgin Road, Civil Lines, Allahabad-211 001. Amritsar: G.R.Tower, 1st Floor, Mall Road, Amritsar-143001. Anand: "Imperial Square", 3rd Floor, Office No. 304 & 305, Amul Dairy Road, Near Ganesh Chowkdi, Anand. 388001 Aurangabad: 1st Floor, Renuka Krupa, Bhagya Nagar, Adalat Road, Auragabad-431005. Calicut: 2nd Floor, Trade Link Complex, Challapuram Road, Mankave Junction, Calicut- 673007. Cuttack : 1st Floor, OSL Tower-3, Badambadi Square, Cuttack-753009. Dehradun : 2nd Floor, 75-A, Mak Plaza, Rajpur Road, Dehradun- 248001. Durgapur : B-27, Biplabi Rasbihari ,Basu Sarani, Bidhan Nagar, Sector 2A, Durgapur -713212. Gorakhpur: Shop No.10, Radhika Complex, Medical Road, Near Ashuran Chowk, Gorakhpur-273004 (U.P) Guwahati : 3 Floor, Kushan Plaza, Dispur, G.S. Road, Guwahati - 781 006. Gwalior: 52, Kailash Vihar, City Center, Gwalior (MP), Pin-474009 Hubli: 1st Floor ,Mohinder Plaza,Opp Galgali Nursing Home,Deshpande Nagar, Travellers Bunglow Road, Hubli -580029. Jabalpur : 2<sup>nd</sup> Floor, Digamber Towers, Near Chanchalla Bai College, Wright Town, Jabalpur, MP-482002 Jodhpur : C-44, 2nd Floor, (Rear Portion), 5th Avenue, PWD Rd, Jodhpur, Rajasthan -324001 Madurai : Rakesh Towers, No.30C, 3rd Floor, Subramania Pillai Street Bypass Road, S.S Colony, Madurai 625016. Mangalore: 1st Floor, Aadheesh Avenue, Shop No.106, Opposite Besant College, M G Road, Kodiala Bail, Manglore-575003. Muzaffarpur: Ramrekha Complex, Ground Floor, Chapara Lodhi Road, Power House Chowk, Maripur, Muzaffarpur - 842001 Mysore : Kiran Mansion, First Floor, No. 646, Chamaraja Double Road, Mysore- 570024. Pondicherry: 1st Floor, No:144, 100 feet road, ECR Main Road, Pakkamudayanpet, Lawspet, Pondicherry- 605008. Raipur : 1st floor, Simran Tower, Opposite LIC Building, Pandri, Raipur-492004. Ranchi : 1st Floor, 45, Garikhana, Near PNB, Harmu Road, Ranchi -834001. Rourkela : Sector 19, L&T House, Ambagan, Rourkela -769005. Siliguri : 3rd Floor, Infinity Square, Sevoke Road, Siliguri-734001. Udaipur: 32/1105, Centre Point, 2nd Floor, Opp. B.N. College, Udaipur - 313001. Vapi: 1st Floor, Office No-2, Jayam Complex, Plot No-32/B, 1st Phase, Near GIDC Char Rasta, Vapi, Gujarat Vishakapatnam: D.No: 47-14-5/1, Flat No: 303, 2rd Floor, Eswara Paradise, Beside StateBank of India, Dwarakanagar Main road, Visakhapatnam- 530016.

## The Fund's website www.ltfs.com will be an official point of acceptance for accepting transactions in the units of the Scheme of the Fund.

Further, CAMS will be the official point of acceptance for electronic transactions received from specified banks, financial institutions, distribution channels, etc. (mobilised on behalf of their clients) with whom the AMC has entered/may enter into specific arrangements for purchase/sale/switch of units.

Applications from Institutional investors will be accepted by LTIML via facsimile on 1800 4190 500 as well as via an electronic email sent at - transact@ Intmf.co.in, subject to satisfaction of requirements specified by LTIML.

For further details please call at 1800 2000 400 or 1800 4190 200.

Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday.